

Minutes of the Meeting of University Research Advisory Committee

Dated: 28th July, 2017

The Meeting of the University Research Advisory Committee convened on 28th July instant was chaired by Hon'ble Vice Chancellor. The Agenda of the Committee was to deliberate on amending the existing Research Ordinance of Kumaun University, 2014 in compliance of the UGC Regulations, 2016; to consider allowing the Faculties of Commerce Department to supervise the research scholar of Management and vice-versa; appointment of experts for evaluation of Ph.D. Thesis; revisions of fee structure/remuneration for examination; qualification of Research Supervisors etc. The following were present in the Meeting:

1. Prof. D.K. Naurial, Vice-Chancellor, Kumaun University, Nainital - Chairman
2. Director, D.S.B.Campus Nainital - Member
3. Director, S.S.J.Campus Almora - Member
4. Dean, Faculty of Art, D.S.B.Campus Nainital - Member
5. Dean, Faculty of Science, D.S.B.Campus Nainital - Member
6. Dean, Faculty of Technology, D.S.B.Campus Nainital - Member
7. Dean, Faculty of Education, S.S.J.Campus Almora - Member
8. Dean, Faculty of Law, S.S.J.Campus Almora - Member
9. Joint Director (Art), Research & Extension, Kumaun University Nainital - Member
10. Joint Director (Science), Research & Extension, Kumaun University Nainital - Member
11. Joint Director (Commerce & Management), Research & Extension, Kumaun University Nainital - Member
12. Director, IQAC, Kumaun University Nainital - Member
13. Dr. Suresh Dalakoti, Member, Executive Council, Kumaun University Nainital - Member

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| 14. | Sri Arvind Padiyar, Member, Executive Council, Kumaun University Nainital | - | Member |
| 15. | Registrar, Kumaun University Nainital | - | Member |

Proceedings and Decisions

1. The Minutes of the Meeting and the decisions taken in the earlier Meeting dated 30.7.2016 were approved.
2. The University Grants Commission has issued Minimum Standard and Measures for Award of M.Phil./Ph.D. Degree Regulation, 2016. The new UGC Regulation seeks to introduce some important amendments in the Research Ordinances of all the universities to ensure the quality research, therefore, in order to bring the Research Ordinance of Kumaun University in conformity with the UGC Regulation, 2016, the existing Research Ordinance of the University was amended. The Power-point presentation of the amended Research Ordinance was presented by Prof. J.S. Bisht. Detailed deliberations were made in the Meeting on the Ordinance. The amended Research Ordinance was approved. Amended Ordinance is enclosed herewith.
3. The question whether the Commerce Faculty can supervise the Research Scholar of Management Studies and vice-versa was raised by Dr. Suresh Dalakoti, Member-Executive Council. Prof. S.P.S. Mehta argued that inter-departmental supervisors may be allowed to supervise the research scholars of Commerce and Management Studies. But the Chair and rest of the House differed. It was unanimously resolved that both the Departments should appoint their supervisors to supervise their respective research scholars and distinction should be maintained for academic excellence.
4. The qualification of research supervisors shall be regulated according to the UGC Regulation, 2016 concerning the teachers who have not started research supervision so far. However, the teachers already guiding research they shall continue to guide the research

irrespective of the qualification prescribed by the Regulation, 2016 in view of their experience in research supervision.

5. External experts having their name in the bibliography and has specified expertise in the area of the subject to which the research relate should be appointed for evaluation of Ph.D. Thesis.
6. In case of lack of expertise available with the Department of Geology/Centre of Advanced Studies to guide advanced research, the experts from specialised Institutions in Geology are appointed as Supervisors since they insist their appointment as Supervisors and do not agree to be appointed as the Co-supervisors. Prof. Santosh Kumar apprised the Committee that for getting grants-in-aid for the maintenance of "Centre of Advanced Studies" as a special centre with the Department of Geology a certain number of Research Scholars must be engaged in research. Therefore, Co-supervisors can only be appointed from the Department of Geology as against the norm laid down in the UGC Regulation, 2016. Hon'ble Vice Chancellor gave his approval to the proposition.
7. Revision of fee structure and remuneration rates/honorarium for various examination work of the Directorate was approved.
8. On the question of fellowship to JRFs it was unanimously resolved by the House that for the purposes of fellowship the Ph.D. Program be treated to have commenced from the date of admission to the Program.
9. The Ph.D. Thesis can be submitted after the completion of three years from the date of registration. The period of three years will include one year of course work.
10. Hon'ble Vice Chancellor suggested that the nomenclature of the Research Advisory Committee should be changed as "University Research Advisory Committee." The whole House unanimously approved the suggestion.
11. Hon'ble Vice Chancellor suggested that the limit of the title should not exceed 80 letters. The whole House agreed with the suggestion.

12. Prof. P.K. Gupta, Joint Director, who is also working as the Public Information Officer of the Research Directorate mooted the proposition that in the event of penalty being saddled on the PIO by the State Information Commission for failure in providing the information the burden of pecuniary penalty must be borne by the University. Hon'ble Chairman while giving his approval in principle instructed the Director to convene a fresh meeting for the purpose.

The meeting ended with the vote of thanks to the Hon'ble Vice Chancellor, all members of URAC and the staff of R&E Directorate by the Director.



Director
Kumaun University
Nainital

Vice-Chancellor
Kumaun University
Nainital



कुमाऊँ विश्वविद्यालय, नैनीताल (उत्तराखण्ड)

KUMAUN UNIVERSITY NAINITAL(UTTARAKHAND)

(Sponsored Research and Industrial Consultancy Cell)

No. SRICC/RAC meeting/2018/ *Memo*

Dated :24 January, 2018

The Meeting of the University Research Advisory Committee convened on 28th July 2017 instant was chaired by Hon'ble Vice Chancellor. The Agenda of the Committee was to deliberate on amending the existing Research Ordinance of Kumaun University, 2014 in compliance of the UGC Regulations, 2016; to consider allowing the Faculties of Commerce Department to supervise the research scholar of Management and vice-versa; appointment of experts for evaluation of Ph.D. Thesis; revisions of fee structure/ remuneration for examination; qualification of Research Supervisors etc. The following were present in the Meeting:

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(Contd...2)

Executive Council. Prof. S.P.S. Mehta argued that inter-departmental supervisors may be allowed to supervise the research scholars of Commerce and Management Studies. But the chair and rest of the House differed. It was unanimously resolved that both the Departments should appoint their supervisors to supervise their respective research scholars and distinction should be maintained for academic excellence.

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The meeting ended with the vote of thanks to the Hon'ble Vice Chancellor, all members of URAC and the staff of R & E Directorate by the Director.



Director
Sponsored Research and Industrial Consultancy Cell
Nainital



Vice-Chancellor
Kumaun University
Nainital

(Contd...3)

Endt.No. SRICC/RAC meeting/2017/Memo

Dated: 24 January, 2018

Copy forwarded to the following for information & circulation among their departments -

1. Director, D.S.B.Campus Nainital/S.S.J.Campus Almora.
2. Dean, Faculty of Science/Arts/Commerce & Management/Education/ Law, Kumaun University Nainital.
3. Director, I.C.T., Kumaun University, Nainital.
4. Elected Members, Executive Council, Kumaun University Nainital.
5. Registrar, Kumaun University, Nainital.
6. Finance Officer, Kumaun University, Nainital.
7. PS to VC for kind information to Hon'ble Vice-Chancellor.

Director
Sponsored Research and Industrial Consultancy Cell
Kumaun University Nainital

प्रेषक,

एम०एम० सेमवाल,
संयुक्त सचिव,
उत्तराखण्ड शासन।

सेवा में,

1. कुलपति,
उत्तराखण्ड आवासीय विश्वविद्यालय,
अल्मोड़ा।
2. कुलसचिव,
उत्तराखण्ड मुक्त विश्वविद्यालय,
हल्द्वानी।
3. कुलसचिव,
दून विश्वविद्यालय,
केदारपुरम, देहरादून।
4. कुलसचिव,
श्री देव सुमन उत्तराखण्ड विश्वविद्यालय,
बादशाहीथोल, टिहरी।
5. कुलसचिव,
कुमाऊँ विश्वविद्यालय,
नैनीताल।

उच्च शिक्षा अनुभाग-6

देहरादून, दिनांक 19 जनवरी, 2018

विषय:- "विश्वविद्यालय अनुदान आयोग (एम.फिल./पी.एच.डी.) उपाधि प्रदान करने हेतु न्यूनतम मानदंड और प्रक्रिया, विनियम 2016" के सम्बन्ध में।

महोदय,

उपर्युक्त विषयक मुख्य सचिव, उत्तराखण्ड शासन के पत्र संख्या--1374/नि.स.-मु.स./2017, 29 दिसम्बर, 2017 की छायाप्रति संलग्नकों सहित प्रेषित करते हुए मुझे यह कहने का निदेश हुआ है कि सचिव, श्री राज्यपाल, उत्तराखण्ड के पत्र दिनांक 28.12.2017 के साथ संलग्न मानव संसाधन विकास मंत्रालय द्वारा "विश्वविद्यालय अनुदान आयोग (एम.फिल./पी.एच.डी.) उपाधि प्रदान करने हेतु न्यूनतम मानदंड और प्रक्रिया, विनियम 2016" सम्बन्धी जारी अधिसूचना संख्या-278, दिनांक 05 जुलाई, 2016 का अनुपालन सुनिश्चित करते हुए कृत कार्यवाही की आख्या शासन को उपलब्ध कराने का कष्ट करें।

संलग्नक:-यथोपरि।

भवदीय,



(एम०एम० सेमवाल)
संयुक्त सचिव।

कुमाऊँ विश्वविद्यालय, नैनीताल-263001(उत्तराखण्ड)

KUMAUN UNIVERSITY, NAINITAL-263001(UTTARAKHAND)

Sponsored Research and Industrial Consultancy Cell(SRICC)

Office: 05942-235213, Fax: 05942 -235213, E-mail: sriccku@gmail.com

पत्रांक - एसआरआईसीसी/2018/यूजीसी ओडि02016/1844

दिनांक -19 जनवरी, 2018

प्रतिलिपि - निम्नांकित को इस आशय से प्रेषित कि उपरोक्त संलग्नक "University Grants Commission (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees)Regulations, 2016 (In supersession of the UGC (Minimum Standards and Procedure for Awards of M.Phil./Ph.D. Degree) Regulation, 2009, notified in The Gazette of India[No.28,Part-III-Section 4]for the week July 11-July 17, 2009] की सूचना अपने अधीनस्थों में प्रसारित करने का कष्ट करें जिसे कुमाऊँ विश्वविद्यालय नैनीताल की वेबसाइट www.kunainital.ac.in के लिंक Sponsored Research and Industrial Consultancy Cell में देखा जा सकता है -

- 1- निदेशक, डी०एस०बी० परिसर नैनीताल/एस०एस०जे० परिसर अल्मोड़ा।
- 2- समस्त प्राचार्य, सम्बद्ध कुमाऊँ विश्वविद्यालय नैनीताल।
- 3- निजी सचिव कुलपति को माननीय कुलपति जी के सूचनार्थ प्रेषित।

संलग्न - उपर्युक्तानुसार।


19/01/2018
प्रो०(राजीव उपाध्याय)
निदेशक



कुमाऊँ विश्वविद्यालय, नैनीताल-263001(उत्तराखण्ड)

KUMAUN UNIVERSITY, NAINITAL-263001(UTTARAKHAND)

Sponsored Research and Industrial Consultancy Cell(SRICC)

Office 05942-235213, Fax: 05942 -235213, E-mail: sriccku@gmail.com

No. RAC meeting/2019/

Dated : 28th Nov., 2019

A meeting of the Research Advisory Committee of Kumaun University Nainital was held on 28th November., 2019 at 11.30 a.m. in the Chamber of Hon'ble Vice-Chancellor, Kumaun University, Nainital. The following were present.

1. Prof. K.S.Rana, Vice Chancellor, Chairman.
2. Prof. H.C.Chandola, Director , SRICC, Kumaun University, Nainital.
3. Dr.Mahesh Kumar,Registrar, Kumaun University, Nainital
4. Mr.Dinesh Rana, Finance Controller , Kumaun University, Nainital.
5. Mr.Arvind Padiyar, Member, Executive Council , Kumaun University,Nainital.
6. Mr.Kewal Sati, Member, Executive Council , Kumaun University, Nainital.
7. Prof. S.P.S. Mehta, Dean. Science Faculty, Kumaun University,Nainital.
8. Prof.B.P. Sigal, H.O.D. Commerce and Management Faculty,S.S.J.Campus, Almora.
9. Prof. Rajeev Upadhyay, Director IQAC, Kumaun University, Nainital.
10. Prof.Sanjay Kumar Tamta, Joint Director, Arts, SRICC, Kumaun University, Nainital.
11. Prof. Ashish Tewari, Joint Director, SRICC, Kumaun University, Nainital.
12. Prof. Amit Joshi, Joint Director, , SRICC, Kumaun University, Nainital.
13. Prof. J.S.Bisht, Joint Director, SRICC, Kumaun University, Nainital.
14. Prof.M.C.Joshi, H.O.D, I.T, Kumaun University, Nainital.
15. Prof.Ajay Arora, H.O.D. Deptt of Tourism, Kumaun University, Nainital.

All the issues in the agenda (as well as those raised by members during the meeting) were discussed in detail and the following decisions were taken unanimously :

1. The notification from M.H.R.D (U.G.C) New Delhi dated 27-08-2018 for U.G.C (minimum standard and Procedure for award of M.Phil/Ph.D degree) (1st amendment) Regulations 2018, as mentioned below was put before R.A.C for amending the K.U Research ordinance 2016 (at appropriate places).

"Provided that a relaxation of 5% of marks (from 50% to 45%) shall be allowed for the candidates belonging to SC/ST/OBC (Non-Creamy layers)/Differently-abled category in the entrance examination conducted by the Universities.

Provided Further that, if in spite of the above relaxation, the seats allotted for SC/ST/OBC(Non-Creamy layers)/Differently-Abled categories remain unfilled, the concerned Universities shall launch a Special Admission Drive, for that particular category within one month from the date of closure of admissions of General Category. The concerned University will devise its own admission procedure,

along with eligibility conditions to ensure that most of the seats under these categories are filled."

- a) The qualifying marks for SC/ST/OBC(non creamy layer)/ differently abled shall be relaxed by 5% (50% to 45%). There shall be a special drive to induct SC/ST/OBC /other reserved category candidates wherein the qualifying marks shall be brought down by another 5% (45% to 40%) after a waiting period of one month.
- b) U.G.C 2016 clause regarding EWS (10% Reservation) will be included in the Kumaun University Research Ordinance 2018. The EWS candidates shall be accommodated by enhancing the number of candidates permissible for PhD supervision. One additional seat reserved for EWS candidates only will be permissible under a Professor as well as under an Associate Professor.
- c) Max marks for entrance test (RDET) shall be 100. Evaluation would be uniform and shall be from a total of 100 marks. When reported, one marks will be awarded for each wrong answer /wrong question.
- d) The Process for selection of candidates shall include an interview (100 marks) also after RDET or JRF (NET/Inspire) /UGC Teacher fellowship holder/M.Phil (by KU).

The break-up of max marks (100) shall be as follows :

- i- 70% from the Score of RDET/ JRF/Teacher Fellowship/ M.Phil from K.U
- ii- 30% from the Interview Score.

Note - For NET (JRF), 70% marks shall be from the NET(JRF) test (applicable after 2015 where score is mentioned) or 70% marks from the Masters Course (applicable to candidates who qualified NET before 2015) which dose not have a mention of NET score).

For teacher Category Candidates : 70% of the Marks obtained in their NET score (Or P.G Score in absence of Net score).

The applicants must register online for the interview on payment of Rs 300 (registration fee Rs 250 + Portal fee Rs 50)

The committee for the interview process shall have the following as members :

Convener (RDC), Director (Research), Joint Director representing the faculty and one co-opted member from the faculty nominated by the Convener.

There shall be a provision of 'sitting charges' for the members of the committee as follows:

Rs. 1000 for the convener and Rs 500 each for other members.

e) The duration of the Ph.D Program shall be as following:

(I) Minimum time to be eligible for thesis submission: 3 years (Including course work of 6 months)

(II) Maximum time permissible : 6 years (From the date of registration)

On completion of 6 years if the candidate is unable to submit the final thesis, the Hon'ble Vice Chancellor may allow a one year extension provided the candidate requests for the said extension 3 months in advance (Before expiry of 6 years period). The registration will get terminated otherwise automatically.

(III) On completion of 7 years, there will be a provision of re-registration on payment of a fee of Rs. 5000 subject to the approval of the concerned RDC and Convener provided the candidate request for it 3 months in advance (before expiry of 7 year period). The candidate will get an extended maximum period of one year to submit his/her thesis. Thereafter the thesis will not be accepted for its submission in any case.

2- For University Campuses, the pre- submission seminar and the final viva -voce exam of the Ph.D/D.Sc will be held at the place of work of the supervisor (with due information to the convener) while the same will be held at the place of work of the convener/HOD for all other case.

3- Clause : 3.2 in the format for MoU regarding joint supervision to be deleted. The corrigendum may be mailed to all co-supervisor of institutes with whom the MoU has already been signed.

4- (a) **Evaluation of Ph.D Thesis** : Concerning evaluation of Ph.D thesis and the result of such evaluation, the following procedure will be followed.

Two Positive reports shall be necessary for the fixing viva-voce and award of Ph.D degree.

Since the experts have three options (Accepted, Rejected and Revised), the following procedure /methodology is required to be adopted :

(i) If one of the report is positive and other examiner has asked for revision : The information regarding revision with the report shall be given to the candidate (Concealing the name of examiner) for a chance for revision of thesis and the thesis after revision shall be sent to the concerned examiner for its re-evaluation and his report will be final.

(ii) If one of the report is negative and the other examiner has asked for revision: The revision sought by the examiner shall be brought to the notice of the candidate (Concealing the name of examiner) and the revised thesis shall be sent to the third examiner as well as to that asking revision. If the thesis is rejected

When after revision by the third examiner / revision examiner the thesis stands rejected.

(iii) If both the examiner ask for revision of the thesis, the candidate must be informed about the said revision (Concealing the name of examiners) and the revised thesis by the the scholar shall be sent to the same set of examiners for its re-evaluation. If both the examiner give a positive report thesis will be accepted. Otherwise, it will stand rejected.

(iv) If one report is positive and other is negative, the thesis will be sent to a third examiner, the opinion of whom will be final.

b) Evaluation of D.Sc. Thesis-

Three positive reports shall be necessary for the award of D.Sc. degree.

Since the experts have three options (Accepted, Rejected and Revised), the following procedure /methodology is required to be adopted :

i) If two report are positive and one is negative, the thesis will be sent to fourth examiner whose report will be final. If two reports are negative and one is positive, it will stand rejected.

ii) If two reports are positive and one of examiner has asked for revision: The candidate shall be asked to submit revised version and the revised thesis shall be sent to all the examiners. If the report after this is positive, the thesis shall be accepted. In case the revised thesis is rejected it would be sent to a fourth examiner whose report will be final.

iii) if one report is positive ,one is negative and one examiner has asked for revision: Thesis shall be sent to a fourth examiner. Simultaneously, the candidate will also be informed for the revision of the thesis and revised thesis shall be sent to all the examiners. If the report of both the examiners is positive (leading to 3 positive reports) thesis shall be accepted, otherwise it will stand rejected.

iv) If one report is positive and two examiners have asked for revision, the candidate will be asked for the revision of the thesis and the revised thesis will be sent to all the examiners. If both the reports from revision examiner are positive, the thesis will be accepted. If one report from revision examiner is negative the thesis will be sent to fourth examiner whose report will be final.

5) The Ph.D entrance examination and Ph.D course work exam may be conducted in coordination with the controller of Examinations . All the question papers shall of course be provided by the the Research Directorate.

6) The expenses for conducting the RDC meeting to the tune of Rs= 1000/= shall be paid to the convener subject to the availability of funds.

The following resolutions were also unanimously adopted :

- (1)** Ph.d submission fee shall be Rs. 15,000/- (the decision was taken in view of the huge expenses incurred by the university - almost Rs. 25,000 per student)
- (2)** If the examiners do not send their reports within 3 months the Hon'ble Vice Chancellor may send the same to other examiner/examiners.
- (3)** The final Viva Voce Examination must be conducted within 3 months of receiving the reports. If there is delay on the part of the external examiner, the Hon'ble Vice Chancellor may invite the other examiner for the said purpose.
- (4)** The Hon'ble Vice Chancellor authorised the Research Cell to decide the amount of remuneration to be paid to it staff member (non teaching) for their efforts to enhance efficiency and effectiveness.
- (5)** The Convener should inform the University office about the date of RDC meeting at least 10 days in advance in order to communicate the same to the research scholars in time.
- (6)** University logo will not be used in any thesis, synopsis or summery and the Ph.D thesis should be printed on both sides with double spacing and 12 point Time New Roman or equivalent fonts.
- (7)** For the Ph.D thesis written in a language other than English, the title of the thesis must be written in Roman also.
- (8)** For a candidate absent in one RDC, a second chance may be given to him for presentation.
- (9)** Ph.D/ D.Litt/D.Sc degree of Kumaun University shall be awarded to a candidate only once in one subject.

Signed -



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