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In Pursuance of the provisions of clause (13) of article 348 of the constitution of India, the Governor is pleased to order the publication of the following English Translation of notification No. 5919/XV-10-77-41(13)-76 dated November 7, 1977:

No. 5919/XV-10-77-41(13)-76

Dated Lucknow, November 7, 1977

In exercise of the power conferred by Sub-section (1) of section 50 of the Uttar Pradesh State Universities Act, 1973 (President's Act no. 10 of 1973, as amended and reenacted by the Uttar Pradesh Universities (Re-enactment and Amendment) Act 1974 (U.P. Act, no. 29 of 1974) the Governor is pleased to make the following First Statutes for the University of Kumaun.

**First Statutes of the
University of Kumaun**

CHAPTER I

PRELIMINARY

Section 50(1) 1.01 (1) These statutes may be called the Kumaun University first Statutes 1977.

(2) They shall come into force on November 20, 1977.

Section 50(1) 1.02 (1) All existing Statutes and all such Ordinances in force in the University, as are inconsistent with these statute, are to the extent of such inconsistency, hereby rescinded and shall forthwith cease to have effect except a respect things done or omitted to be done before the commencement of these statutes.

(2) The Uttar Pradesh State University First Statutes (Age of Superannuation, Scales of Pay and Qualifications of Teacher) 1975, issued with Government notification no. 4646/XV-10-75 dated July 25, 1975, as amended by Government notification no. 7251/XV-10-75-60(115-73), dated October 20, 1975 as also amended from time to time shall in relation to the University of Kumaun stand repealed with effect from the date of such commencement of these Statutes.

Section 50(1) 1.03 In these Statutes, unless the context otherwise require –

(a) 'Act' means the Uttar Pradesh State Universities Act, 1973 (President's Act No. 10 of 1973), as re-enacted and amended by the Uttar Pradesh

Universities (Re-enactment and Amendment) Act, 1974 (U.P. Act No. 29 of 1974);

(b) 'Clause' means a clause of the Statutes in which that expression occurs;

(c) 'Section' means a section of the Act;

(d) 'Universities' means the University of Kumaun; and

Section 49 and 50

1.04 In these Statutes, all references to the age of a teacher, shall be construed to be references to the age according to the date of birth of the teacher concerned as mentioned in the certificate of his High School Examination or that of any other Examination recognized as equivalent thereof

CHAPTER II OFFICERS AND OTHER FUNCTIONARIES OF THE UNIVERSITY

The Chancellor

Section 10(4)

2.01 (1) The Chancellor may, while considering any matter

and 47(c)

referred to him under section 68. call for such documents of information from the University or parties concerned, as he may deem necessary, and may, in any other case, call for any documents or information from the University.

(2) Where the Chancellor calls for any document or information from the University under clause (1). It shall be the duty of the Registrar to insure that such documents or information are promptly supplied to him.

(3) If in the opinion of the Chancellor the Vice-Chancellor willfully omits or refuses to carry out the Provisions of the Act or abuses the power vested in him and if it appears to the Chancellor that the continuance of the Vice-Chancellor in office is detrimental to the interests of the University, the Chancellor may, after making such enquiry as he deems proper, by order remove the Vice-Chancellor.

(4) The Chancellor shall have power to suspend the Vice-Chancellor during the pendency or in contemplation of any inquiry referred to in clause(3).

The Vice-chancellor

Section 13(9)
and 49(c)

2.02 The Vice-Chancellor shall have power to call for such documents and information from an affiliated college in respect of any matter connected with teaching, examination, research, finance or any matter affecting the discipline of efficiency of teaching in the college, as he thinks fit.

Finance Officer

Section 9(c)

2.03 When the Office of Finance Officer is vacant or when the Finance Officer is, by reason of illness, absence or any other cause unable of perform the duties of his office, the duties of the Office shall be performed by one of Deans of the Faculties nominated by the Vice-Chancellor and if for any reason the same is not possible, then by the Registrar or by such Officer as may be nominated by the Vice-Chancellor.

Sections 15(7)
and 49(c)

2.04 The Finance Officer -

(a) shall exercise general supervision over the funds of the University;

(b) may advise it in any financial matter either sue motto or on his advise being sought;

(c) shall keep a constant watch on the state of the cash and bank balances and on the state or investment;

(d) shall collect the incomes, disburse the payments and maintain the accounts Of the University;

(e) shall ensure that the Registrar of building, land, furniture and equipments are maintained update and that stock checking of equipment and other consumable material in conducted regularly in the University;

(f) shall probe into any unauthorized expenditure and other financial irregularities and suggest

to the competent authority, disciplinary action against persons at fault;

(g) may call for any information or return from any department or unit of the University that he may consider necessary for the performance of his duties;

(h) shall arrange for the conduct of continuous internal audit of the accounts of the University, and shall pre-audit such bills as may be required in accordance with any standing orders in that behalf;

(i) shall perform such other functions in respect of financial matters as may be as signed to him by the Executive Council or the Vice-Chancellor;

(j) shall, subject to provisions of the Act and Statutes, exercise disciplinary control in terms of clauses (2) and (3) of Statute 2.06 over all the employees in the Audit and Accounts Section of the University below the rank of the Assistant Registrar (Accounts) and shall supervise the work of the Deputy/Assistant Registrar(Accounts) and the Accounts Officer.

Sections13(9)
15(7) & 49(c)

2.05 If any difference of opinion arises between the Vice Chancellor and the Finance Officer on any matter concerning the performance of the function of the Finance Officer, the question shall be referred to the State Government whose decision shall be final and binding on both the officers.

The Registrar

Section 13(9)
16(4),21(i)(vii)

2.60 (1) Subject to the provisions of the Statutes, the Registrar shall have disciplinary control over all employees of the University, other then the following, namely –

21(8) and 49
c) and (e)

- (a) Officers of the University;
- (b) Deputy Registrar and Assistant Registrar;

(c) Teacher of the University, whether in relation to their work as teacher or while holding any

remunerative office or in any other capacity, such as
`examiner or invigilator;

(d) The Librarian;

(e) Employees in the University in the Accounts
and Audit Section.

(2) The Power to take disciplinary action under
clause(1) shall include the power . in order dismissal,
reduction in rank reversion, termination or compulsory
retirement of an referred to in the said clause and shall
employee include the power to suspend such employee
during the pendency, or in contemplation of an inquiry.

(3) No order of dismissal removal or reduction in
rank shall be made under clause (2) except after an
enquiry in which the employee has been informed of the
charges against him and given a reasonable opportunity
of being heard in respect of those charges;

Provided that where it is proposed after such
inquiry, to impose upon him any such penalty, may be
imposed on the basis of evidence adduced during such
inquiry and it shall not be necessary to give such person
any opportunity of making representation on the penalty
proposed :

Provided further that this clause shall not apply -

(a) Where the order is passed on the ground
of conduct which has led to the conviction of the
employee on a criminal charge, or

(b) Where the authority empowered to pass
such order is satisfied for the reasons to be recorded in
writing that it is not reasonably practicable to hold such
inquiry.

(c) In the following cases, notwithstanding
that the order is based on any charge (including a
charge of misconduct or inefficiency). if such order does
not disclose on its face that it was passed on such
basis:-

(i) An order of reversion of an officiating promoted to his substantive rank.

(ii) An order of termination service of a temporary employee.

(iii) An order of compulsory retirement of an employee after he attains the age of fifty years.

Section 21 & 49

2.07 An employee of the University aggrieved by an order referred to in Statutes 2.06 may prefer an appeal (through the Registrar) to the Disciplinary Committee constituted under Statutes 8.01 within fifteen days from the date of service of such order on him. The decision of the Committee on such appeal shall be final

Section 16

2.08 Subject to the provisions of the Act, it shall be the duty of Registrar

(a) to be the custodian of all the *properties of the University unless otherwise provided for by the Executive Council;

(b) to issue all notices convening meetings of the various authorized referred to in section 16 (4) with the approval of the competent authorized concerned and to keep the minutes of all such meetings;

(c) to conduct the official correspondence of the court, the Executive Council and the Academic Council;

(d) to exercise all such powers as may be necessary of expedient for carrying into effect the orders of the Chancellor, Vice-Chancellor or various authorities or bodies of the University of which he acts as secretary;

(e) to represent the University, in suit or proceedings by or against or University sign powers of attorney and verify pleadings.

Deans of Faculties

Sections 27(4) and 49(b)

2.09 (1) If a casual vacancy occurs in the office of the Deans of a Faculty the senior most professor, and where no professor is available in that Faculty, the

senior most teacher in the Faculty shall perform the duties of the Dean.

(2) No person shall continue to be a Dean after he has ceased to hold the post by virtue of which he came: to hold the office of Dean.

Sections 27(4) 64(2) and 75 2.10 (1) Except in case of the Faculty where there is only one Professor; a teacher who on (3)(b) the date of Commencement of these Statutes has

(a) held the office of Dean for the period of three years or more, shall be deemed to have had his turn and the teacher next eligible in order of seniority shall assume office as Dean with effect from the commencement of these Statutes;

(b) Not completed three years as Dean shall continue to hold the office of Dean till the completion of the period of three year and on such completion the teacher next eligible in order of seniority shall assume office an Dean;

(2) For the purpose of computing the period during which a teacher has held the office of Dean –

(a) any period during which such teacher was prevented from entering upon or continuing in office of Dean by an order of any Officer of the University or of any court shall be excluded;

(b) any period during which such teacher has under an order of any Officer of the University or of any court, been allowed to hold the office of Dean, it being ultimately found that he was not legally entitled to hold such office during that period, shall count towards his term of office of Dean when he next gets his turn.

Sections 18 and 49(c) 2.11 The Dean of the Faculty shall have the following duties and power

(i) He shall preside at all meetings of Board of Faculty and shall see that the various decisions of the Board are implemented.

(ii) He shall be responsible for bringing the financial and other needs of the faculty to the notice of the Vice Chancellor.

(iii) He shall take necessary measures for the proper custody and maintenance of libraries, laboratories and other assets of the departments comprised in the faculty.

(iv) He shall have the right to be present and to speak at any meeting of the Boards of Studies pertaining to his Faculty but shall have no right to vote thereat unless he is member thereof.

The Dean of Students Welfare

Section 18 & 21(xvii) and 49(c)

2.12 The Dean of Students Welfare shall be appointed from amongst the teachers of the University, who possess teaching experience of not less than 10 years and who are not below the rank of an Associate Professor, by the Executive Council on the recommendation of Vice Chancellor 21¹⁰

Section 11 and 49

2.13 The Teacher Who is appointed as Dean of Students Welfare shall perform his duties as Dean in addition to his own duties as teacher:

Section 49

2.14 The term of office of the Dean of Students Welfare shall be three years unless determined earlier by the Executive Council

Provided that the Dean of Students Welfare holding office as such on the date immediately preceding the date of commencement of these Statutes shall be deemed to have been appointed under Statutes 2.12.

Sections 18 and 49(c)

2.15 (1) The Dean of Students Welfare shall be assisted by a set of teachers (to be selected in the manner laid down in the Ordinances), who shall perform their duties in addition to their normal duties of teacher. The teachers so selected shall be called Assistant Deans of Students' Welfare.

(2) One of the Assistant Deans of Students Welfare shall be appointed from amongst the lady

¹⁰ 21th Amendments dt. 20-6-88 VC. Authorized for DWS

teachers of the University who shall look after the welfare of the girl Students.

Section 18 &
49(a) and (d)

2.16 (1) It shall be duty of the Deans of Students Welfare and the Assistant Deans of Students Welfare to assist generally the Students in matter requiring help and guidance and in particular, to help and advise Students and prospective students in -

- (i) Obtaining admission to University and its courses;
 - (ii) The choice of suitable courses
 - (iii) Finding living accommodation;
 - (iv) Making messing arrangements;
 - (v) Obtaining medical advice and assistance;
 - (vi) Securing scholarship stipends, part time employment and mother pecuniary assistance;
 - (vii) Obtaining travel facilities for holidays and educational excursion;
 - (viii) Securing facilities for further studies aboard; and
 - (ix) So 443333 4 c456conducting themselves in power pursuit of S academic studies as to maintain the traditions of the University.
- (2) The Dean of the Students Welfare may communicate with the guardian of the student in respect of any matter requiring his assistance when necessary.

Section 49(c)

2.17 The Dean or Students Welfare shall exercise general control over the Superintendent of Assistant Superintendent or Physical Education if any and the University Medical Officer. He shall perform such other duties as may be assigned to him by the Executive Council or the Vice Chancellor.

Section 13(9)

2.18 The Vice Chancellor may consult the Dean of Students Welfare before taking action against a student as disciplinary grounds.

Section 49(d) 2.19 The Dean of Students Welfare may be paid such honorarium out of the funds of the University as the Vice Chancellor may fix with prior approval of the State Government

Heads of the Department

Section 49 2.20

1.(a) The appointment of the Head of the Department shall be made by the Vice-Chancellor in pursuance to the principle of rotation strictly amongst the Professors of the Department. Such appointment shall be intimated to the Executive Council¹¹.

(b) The tenure of the Head of the Department shall be of three year duration from the date of appointment.

(c) In case there is no Professor in the Department, rotation of Headship of the Department will be amongst the Associate Professor. If there is not Professor or Associate Professor in the Department, then Assistant Professor shall act as head of the Department.

(d) In the event of pendency in the appointment of the Head of the Department or remaining absent due to leave the Vice-Chancellor, purely on adhoc basis assessing the situation prevalent, may direct next senior person in order of seniority to respond the duties of the Head of the Department or to perform as head of the Department as the case may be.

(e) A Professor or Associate Professor may decline the offer of appointment as the Head of the Department, in which case the offer will go to the next person in order of seniority.

(f) A Head of the Department may resign from the Headship anytime during his/her tenure of the office

¹¹ *Substituted vide Chancellor order 1290/G.S./Edu./C-3-11/2012 dt. 17-07-2012*

in such case offer of appointment will go to the next eligible person in order of seniority.

(g) If a teacher declines or resigns the offer of appointment as 'Head of the Department, he/she shall not be considered for appointment again till the completion of the term of next incumbent.

(h) The rotation of Headship will be implemented from the date of the approval from His/Her Excellency the Chancellor of the University, and to be incorporated in the State University Statute/Act.

(i) A person appointed as Head of the Department shall perform such functions as prescribed in the Ordinances.

The Librarian

Section 49

2.21 (1) The University may, with the prior approval of the State Government, appoint a whole time librarian. The Librarian shall be appointed by the Executive Council on the recommendation of the selection Committee, consisting of the following, namely -

(a) The Vice Chancellor;

(b) Two experts in Library Science, to be nominated by the Chancellor.

(2) Until the Librarian appointed under clause (1) assumes charge of his office the Executive Council may appoint an Honorary Librarian from amongst the Professors of the University for such terms as it thinks fit.

Section 49(c)

2.22 The qualifications of the Librarian shall be such as may be provided for in the Ordinances.

Section 49(c)

2.23 The emoluments of the Librarian shall be such as may be approved by the State Government

Section 49(c)

2.24 It shall be the duty of the Librarian to maintain the Library of the University and to origins its service in the manner most conducive to the interest of teaching and research.

Section 49(c) 2.25 The Librarian shall be under the disciplinary control of the Vice Chancellor.

Provided that he shall have a right of appeal to the Executive Council against any order of the Vice Chancellor passed in the disciplinary proceeding against him.

The Proctor

Sections 18 & 46(c) 2.26 The Proctor shall be appointed from amongst the teacher of the University by the Executive Council on the recommendation of the Vice-Chancellor. The proctor shall assist the Vice-Chancellor in the exercise of his disciplinary authority in respect of students of the University and shall also exercise such power and perform such duties in respect of discipline as may be assigned to him by the Vice-Chancellor in this behalf.

Section 49(c) 2.27 The Proctor shall be assisted by Assistant Proctors whose number shall be fixed by the Executive Council or time to time.

Section 49(c) 2.28 The Assistant Proctor shall be appointed by the Vice Chancellor in consultation with the Proctor.

Section 49(c) 2.29 The Proctor and the Assistant Proctor and 49(e) shall hold office for one year and shall be eligible for reappointment:

Provided that for so long his successor is not appointed every Proctor or Assistant Proctor shall continue in office:

Provided further that the Executive Council may, on the recommendation of the Vice Chancellor, remove the Proctor before the expiry of the said period:

Section 49(c) and 49(e) Provided also that the Vice-Chancellor may remove an Assistant Proctor before the expiry of the said period:

2.30 The Proctor and the Assistant Proctors maybe paid such honorarium out of the funds of the University, as

may be fixed by the Vice-Chancellor with prior approval of the State Government.

CHAPTER A

Section 9(1) 2.0-A The member of the Executive Council shall be the officers of the University. ¹³

CHAPTER III

The Executive Council

Section 20(1) (c) 3.01 The Deans of Faculties, who shall be members of the Executive Council under section 20 (1)(c) shall be chosen in the order in which the names of various Faculties are enumerated in Statutes 7.01.

Section 20(1) (d) 3.02 Representation of Professors, Associate Professors and Assistant Professors of the University under clause (i) of section 20 (1) (d) shall be as follows-

(a) One Professor to be selected by rotation in order of seniority;

(b) One Associate Professor to be selected by rotation in order of seniority ;

(c) One Assistant Professor to be selected by rotation in order of seniority ;

Section 20(1) (d) 3.03 Three Principals and the two other (d) teachers of affiliated College. who shall be member of the Executive Council under sub-clause(ii) of section 20 (1) (d) shall be selected by rotation in order of seniority as such Principals and teachers as the case may be.

Section 20(1) (f) 3.04 Persons elected under clause (f) of Section 20 (1) shall cease to be members of the Executive Council on their subsequently becoming students of or accepting service in the University as Institute an affiliated college, a hail or college or a hostel of the University.

¹³ *Thirteen amendments vide dated 4-3-85 (new chapter A inserted)*

Section 49(a) and (b) 3.05 No person shall be or continue to be a member of Executive Council in more then one capacity, and,

whenever a person becomes a member of the Executive Council in more than one capacity, he shall within two weeks thereof choose the capacity, in which he desires to be member of the Executive Council and shall vacate the other seat. Where he does not so choose, the seat held by him earlier in point of time shall be deemed to have been vacated with effect from the date of expiry of the aforesaid period of two weeks.

- Section 21(8) 3.06 The Executive Council may, by resolution passed by a majority of its total membership, delegate such of its powers as it deems fit to an officer or authority of the University subject to such conditions as may specified in the resolution.
- Section 20 & 49(b) 3.07 The meeting of the Executive Council shall be called under the directions of the Vice-Chancellor.
- Section 20& 49(b) 3.08 The Executive Council shall obtain the opinion of the Finance Officer before considering any proposal involving financial implications.

CHAPTER IV

The Court

- Section 22(1) (vii) 4.01 Two provosts and wardens of the hostels and halls of the University and its constituent colleges/institutes, if any, who shall be member of the Court under clause (vii) of section 22 (1), shall be selected by rotation on the basis of longest continuous service as such provosts or wardens.
- Sections 22(1) (vii) 4.02 (1) Fifteen teachers who shall be members of the Court under(ix) of the section 22 (1), shall be selected in the following manner:-
- (a) Two Associate Professors of the University;
 - (b) Four Assistant Professors of the University;
 - (c) The Dean of the Student's Welfare;
 - (d) Three Principals of affiliated colleges;
 - (e) Five other teachers of affiliated colleges;

(2) The above Associate Professors, Assistant Professors, Principals and other teachers shall be selected in order of seniority as Associate Professors, Assistant Professors, Principals or other teachers as the case may be.

Sections 22(1) (x)
and 64(3)

4.03 (1) Two representatives of the Management of affiliated colleges who shall be members of the Court under clause (x) of section 22(1) shall be selected by the Vice Chancellor by rotation.

(2) The Management represented shall be free to send to any meeting of the Court any of its members (including President).

Registration of Graduates and their Representation in Court

Section 16(4)

4.04 The Registrar shall maintain in his Office a Register of Registered Graduates, hereinafter in this Chapter called the Register.

Section 49(q)

4.05 The Register shall contain the following particulars;

(a) The name and addresses of the Registered Graduates.

(b) The Year of their graduation.

(c) The name of the University or the college from which they graduated.

(d) The date on which the name of the graduate was entered in the Register.

(e) Such other details as the Executive Council may from time to time direct.

Note:- The names of the Register Graduates who are dead shall be struck off.

Section 49(q)

4.06 Every graduate of the University from the date of the convocation at which the degree by virtue of which he is to be registered was conferred or would have been conferred on him if he were present thereat shall, on an application in the form approved by the Executive Council and on payment of fee of rupees fifty-one be entitled to have his name registered in the Register. The

application shall be made by the graduate himself, and may either be delivered to the Registrar personally or sent by registered post. If two or more application received in the same cover, they shall be rejected.

Provided that every graduate from a college, originally affiliated to any other University and now affiliated to the University, may also apply for Registration as a Registered Graduate in the University, provided that he is not Registered Graduate of any other University on the basis of the same degree.]

Section 49(q)

4.07 On receipt of the application, the Registrar shall, if he finds that the graduate is duly qualified and the prescribed fee has been paid enter the name of the applicant in the Register.

4.08 A registered graduate whose name has been borne on the Register for one year or more on June 30, preceding the date of notification for the election shall be entitled to vote at the election of the representatives of registered graduates;

Provided that the restriction of one year shall not apply to the first election of registered graduates to the Court to be held on the publication of these Statutes.

Section 22(1) (xi)

4.09 A registered graduate shall be eligible to seek election

and 49(9)

under clause(xi) of section 22(1), if his name has been borne on the Register for at least three years on June 30, preceding the date of election;

Provided that the restriction of three years shall not apply to the first election of registered graduates to the Court to be held on the publication of these Statutes.

Section 22(1) and 49(q)

4.10 A representative of registered graduate elected under clause(xi) shall cease to be a member on entering the service of the University an institute, a constituent college an affiliated College, a hostel or a hail or being connected with the Management of the affiliated college, a hall or hostel or on becoming a student, and the seat so vacated shall be filled up by the person available who

- secured the next highest votes at the time of the previous election for the residue of his term.
- Section 22(1) (xi) (xii) 4.11 A registered graduate, who is already a member of the Court in another capacity, may seek election as a representative of registered graduates, and on his being so elected the provision of Statutes 3.05 shall mutandis apply
- Section 22(2) (xi) 4.12 The Election of the registered graduates under this Chapter shall be held in accordance with the system proportional representation by means of single transferable vote as laid , down in Appendix A.
- Sections 22 (2) and 46(b) 4.13 The term of the members of the Court shall commence from the date of the first meeting of the Court.

CHAPTER V

Academic Council

- Section 25(2) (vii)25(3) and 49(b) 5.01 Three Principals of affiliated colleges of the University, who shall be members of the Academic Council under clause(vii) of section 25(2), shall be selected in order of seniority as Principal of such colleges.
- Section 25(2) (viii) and 49 5.02 Fifteen teachers who shall be members of the Academic Council under clause (viii of section 25 (2)) shall be selected in the following manner :
- (a) Seven Assistant Professors of the University by rotation in order of seniority;
 - (b) Eight teachers of the affiliated college (not being Principals) by rotation in order of seniority.

Notes:- (1) Not more than two Assistant Professors from the same faculty, and not more than two teacher from the same affiliated college shall be members under this Statutes.

(2) In the event of more than two Assistant Professors of the University from the same faculty and more than two teachers of the same college being

entitled to be members of the Academic Council under this Statutes the two senior most Assistant Professors and two senior most teachers, shall be, members of the Academic Council. Teachers so passed over shall their turn in rotation next time.

Sections 25 who (2)(xi) and 49(b)

5.03 Five persons of academic eminence shall be members of the academic Council under clause (xi) of section 25 (2)) shall be co-opted by the members mentioned in clause (1) to (xi) of the section, who shall be called to a meeting by Registrar, from amongst person who are not employees of the University a constituent college, an Institute, an affiliated college, a hail or hostel.

Section 25 and 49(b)

5.04 Member under clause (vi),(vii),(viii) (xi) of section 25(2), shall hold office for a period of three years.

Section 49(b)

5.05 Subject of the provisions of the Act, these Statutes and the Ordinances, the Academic Council shall have the following powers, namely -

(i) to scrutinize and make its recommendations or proposals submitted by the Board of Studies through the Faculties in regard to the courses of study and to recommend principles and criteria on which examiners and the inspectors may be appointed, for the consideration of the Executive Council;

(ii) to report on any matter referred or entrusted to it by the Court or the Executive Council;

(iii) to advise the Executive Council in regard to the recognition of the diplomas and degrees of the other Universities and institutions and in regard to their equivalence with diplomas and degree's of the University or the Intermediate Examination conducted by the Board of High School and Intermediate Education, Uttar Pradesh;

(iv) to advise the Executive Council in regard to the qualifications required to be possessed by persons imparting instruction in particular subject for the various degrees and diplomas of University; and

(v) to perform in relation to academic matters all such duties and to do all such acts as may be necessary for the proper carrying out of the provisions of the Act, the Statutes and the Ordinances.

Sections 25 & 49(b)

5.06 The meetings of the Academic Council shall be called under the directions of the Vice-Chancellor.

CHAPTER VI

The Finance Committee

Section 49(b)

6.01 The term of membership of the person referred to in clause(d) of the section 26 (1), shall be one year; provided that he shall continue in office till the election of the successor. No such member shall hold office successively for more than three terms.

Section 26 (3) and 49(b)

6.02 Items of new expenditure not already included in the financial estimates, shall be referred to the Financial Committee in the cases of -

(i) Non-recurring expenditure, if it involves an expenditure of ten thousand Rupees or above :

(ii) Recurring expenditure, if it involves an expenditure of ten thousand Rupee or above:

Provided that it shall not be permissible for any officer or authority of the University to treat an item which has been split into several parts falling under a budget head as several items of smaller amount and with old it from the Finance Committee.

Section 26(3) and 49(a)

6.03 The Finance Committee, shall on or before such date as may be provided for in this behalf by the Ordinances consider all time of expenditure referred to it under Statutes 6.02 or Statutes 6.04 and shall make and communicate to the Executive Council as soon as may be, its recommendations thereon.

Section 26(3)

6.04 If the Executive Council, at any time after the consideration of the annual financial estimates e the budget) proposes any revision thereof involving recurring

or nonrecurring expenditure of the amounts referred to in Statutes 6.02 , the Executive Council shall refer the proposal to the Finance Committee.

- Section 26(1) and 49(a) 6.05 If the annual accounts and the financial estimates of the University prepared by the Finance Officer shall be laid before the Finance Committee for consideration and there after submitted to the Executive Council for the approval.
- Section 26(3) and 49(a) 6.06 A member of the Finance Committee shall have the right to record a minute of dissent, if he does not agree with any decision of the Finance Committee.
- Section 26(3) and 49(a) 6.07 The Finance Committee shall meet and at least twice every year to examine the accounts to scrutinizes proposals for expenditure.
- Section 15(7) and 49(c) 6.08 The meeting of the Finance Committee shall be convened under the directions of the Vice Chancellor, and all notices for convening such meetings shall be issued by the Finance Officer who shall keep the minutes of all such meetings.

CHAPTER VII The Faculties

- Section 27(1) 7.01 The University shall have the following Faculties, namely:
- (a) Faculty of Arts.
 - (b) Faculty of Commerce.
 - (c) Faculty of Education.
 - (d) Faculty of Law.
 - (e) Faculty of Science.
 - (f) Faculty of Technology ^{12, 13}
 - (g) Faculty of Medical Science. *
 - (h) Faculty of Visual Arts. *
- Section 27(3) 7.02 (1) A Board of Faculty other than the Faculties of Commerce, Law and Education, and Technology shall be constituted as follows-
- (i) The Dean of the Faculty who shall be the Chairman;
 - (ii) One senior-most teacher who shall either be a principal or senior-most teacher of a Post-graduate department for each of the subjects comprised in the Faculty and recognized up to Post-graduate standard.
 - (iii) One senior-most teacher who shall be a Principal or senior-most teacher of a department for each of the subjects comprised in the Faculty and recognized up to first degree standard only.
 - (iv) Three senior-most teachers in the Faculty other than Principals and teachers maintained in clause (ii) and (iii) above provided that no two teachers shall profess the same subject and belong to the same college where there in more than one college recognized

¹² *I-Inserted vide twenty fifth amendment date 8-9-88*

* Inserted vide order no. 1236/GS/KVAF/2006 Dated 20 June 2006

for the teaching of the subject. The teachers so Passed over shall not loss their turn in rotation next time.

(v) All Professors of the University and of constituent college in subjects comprised in Faculty; (vi) Four persons possessing expert knowledge of the subjects comprised in the Faculty or subjects allied to them, not in the service of the University or any of its colleges, to be nominated by the Vice-Chancellor from the following categories-

(a) Professors in Universities;

(b) Present or retired Principals of Post Graduate colleges;

(c) Directors of Research Institutes;

Provided that the number of members from category (b) shall not exceed three.

(2) The teachers under items (ii), (iii) and (iv) of clause (1) shall be chosen by rotation in order of seniority.

Section 27(3)

7.03 The Board of the Faculty, of Commerce shall be constituted as follows : .

(i) The Dean of the Faculty, who shall be the chairman.

(ii) All Professors of the University and of constituent colleges, if any, belonging to the Faculty

(iii) Five teachers of the subject who shall be either Principals or the senior-most teachers of the post-graduate department by rotation in order of seniority from among teachers of affiliated colleges.

(iv) Convener of the Board of Studies in Economics in the University.

(v) President of the Chamber of Commerce and Industry, U.P.

(vi) Three person possessing expert knowledge of the subjects comprised in the Faculty or subjects allied to them, not in the service of the University or any of its colleges, to be nominated by the Vice-Chancellor from the following categories:-

(a) Professor in Universities;

(b) Present or retired Principals of Post Graduate colleges;

(c) Directors of the Research Institutes

Provided that the number of members from category (b) shall not exceed one.

Section 27(3)

7.04 The Board of the Faculty of Law shall be constituted as follows

(i) The Dean of the Faculty, who shall be the chairman;

(ii) All Professors of the University and of constituent colleges, if any, belonging to the Faculty;

(iii) Five teachers of Law who shall be : either Principals or the senior-midst teachers by rotation in order in order of seniority from among teachers of the affiliated colleges, provided that one of them shall belong to a college, if any, teaching up to LL.M. standard;

(iv) Two teachers of Law other than Principals and teachers mentioned in clause(ii) above, by rotation in order of seniority from among teachers of affiliated colleges, provided both of them shall not belong to the same colleges. The teachers so passed over shall not loss their turn in rotation next time:

(v) Three persons possessing expert knowledge of the subjects comprised in the Faculty or subjects allied to them, not in the service of the University or any of its colleges to be nominated by the Vice-Chancellor from the following categories:

(a) Professors in University;

(b) Present or retired Principals of Post Graduate colleges; (c) Directors of Research Institutes;

Provided that the number of members from category (b) shall not exceed one.

(vi) The District Judge of Nainital.

Section 27(3)

7.05 The Board of the Faculty of Education shall be constituted as follows-

(i) The Dean of the Faculty, who shall be the chairman;

(ii) All Professors of the University and of constituent colleges, if any, belonging to the Faculty;

(iii) Five teachers of Education who shall be either Principals or the senior most teachers of departments by rotation in order of seniority from among teachers of affiliated colleges, provided that at least two of them shall belong to a department teaching up to M. Ed standard;

(iv) Regional Deputy Director of Education, Nainital (Ex-Officio).

(v) Three persons possessing expert knowledge of the subjects comprised in the Faculty or subjects allied to them, not in the service of the University or any of its colleges, to be nominated by the Vice-Chancellor from the following categories:

(a) Professors in Universities;

(b) Present or retired Principals of Post Graduate colleges.

Section 27(3)

7.05 (a) The Board of the Faculty of Technology shall be constituted as following-

(i) Dean of the Faculty, who shall be the chairman;

(ii) All Heads of the Department and all the Professors of the subjects taught in the Faculty;

(iii) One Associate Professor and one Assistant Professor from each Department in the Faculty by rotation in order of seniority for a period of one year;

(iv) The Heads of the Departments of the University of the subjects taught in the Departments of Faculty of Science;

(v) Five other persons, of whom two shall be teachers of technology subjects in University Institutes, other than Kumaun University, and

(vi) Three persons shall be the persons other than teachers possessing expert knowledge in Techn¹⁴ology.⁴

⁴ *Inserted vide twenty fifth amendments date 8-9-88*

Section 27(2)

7.06 The following shall be the departments comprised in the Faculty of Arts :

- [1] Economics.
- [2] Drawing and Painting.
- [3] English.
- [4] Geography.
- [5] Hindi.
- [6] History.
- [7] Music.
- [8] Political Science.
- [9] Psychology.
- [10] Sanskrit.
- [11] Sociology.
- [12] Statistics
- [13] Mathematics.
- [14] Defense Studies.³
- [15] Home Science.³
- [16] Urdu.³
- [17] Physical Education¹⁵

Section 27(2)

7.07 The following shall be the department comprised in the Faculty of Commerce:

- [1] Theory of Commerce.
- [2] Applied Commerce.

³ *Substituted vide twenty fifth amendments date 5-11-79*

¹⁵ *Substituted vide twenty fifth amendments date 20-10-87*

- Section 27(2) 7.09 The following shall be the department comprised in the Faculty of Law :
- [1] Law.
- Section 27(2) 7.09 The following shall be the department comprised in the Faculty of Science :
- [1] Botany.
 [2] Chemistry.
 [3] Defense Studies.
 [4] Geology.
 [5] Mathematics.
 [6] Physics.
 [7] Statistics.
 [8] Zoology.
 [9] Forestry.
 [10] Economics.³
 [11] Geography.³
- Section 27(2) 7.10 The following shall be the Departments comprised in the Faculty of Education :
- [1] Education.
- Section 27(2) 7.10A The following shall be the Departments comprised in the Faculty of Technology :
- [1] Computer Studies.
 [2] Remote Sensing Technology Applications.
 [3] Integrated Eco-development.
 [4] Resource Utilization Studies.
 [5] Energy Studies.
 [6] Management of Small Scale Industries & Business.⁴
- Section 27(3) 7.11 (1) Save as otherwise provided in this Chapter, member of the Board of the Faculty other than ex-officio members, shall hold office for the period of three years.
 (2) The meetings of the Board of Faculty shall be convened under the directions of its Chairman.

³ *Substituted vide amendment third date 5-11-79*

⁴ *Inserted vide twenty fifth amendment date 8-9-88*

Section 27(3)

7.12 Subject to the provisions of the Act, the Board of each Faculty shall have the following powers, namely:

(i) To make recommendations to the Academic Council regarding the courses of study, after consulting the Boards of Studies concerned;

(ii) To make recommendations to the Academic Council regarding the teaching and research work of the University in the subjects assigned to the Faculty:

(iii) To consider and make recommendations to the Academic Council on any question, pertaining to its sphere of work which may appear to it necessary and on any matter referred to it by the Academic Council.

Section 27(3)

7.13 Nothing contained in this Chapter shall be construed to authorize the opening of any Department of Teaching in the University not in existence at the commencement of these Statutes unless prior approval of the Chancellor has been obtained and necessary grant for it has been secured.

CHAPTER VIII
Other Authorities and Bodies
of
The University Disciplinary Committee

Section 49

8.01 The Executive Council shall constitute, for such term as it thinks fit, a Disciplinary Committee in the University which shall consist of the Vice-Chancellor and two other persons nominated by it:

Provided that if the Executive Council considers it expedient, it may constitute more than one such Committee to consider different cases or classes of cases.

(2) No teacher against whom any case, involving disciplinary action is pending shall serve as a member of the Disciplinary Committee dealing with the case.

(3) The Executive Council may at any stage transfer any case from one Disciplinary Committee to another Disciplinary Committee.

Section 49

8.02 (1) The Functions of the Disciplinary Committee shall be as follows:

(a) To decide any appeal preferred by an employee of the University under Statutes 2.07;

(b) To hold inquiry into cases involving disciplinary action against a teacher or the Librarian of the University:

(c) To recommend suspension of any employee referred to in sub-clauses (b) pending or in contemplation of inquiry against such employee

(d) To exercise such other powers and perform such other functions as may, from time to time, be entrusted to it by the Executive Council.

(2) In case of difference of opinion among members of the Committee, the decision of the majority shall prevail.

[(3) The decision or the report of the Disciplinary Committee shall be laid before the Executive Council as early as possible to enable the Executive Council to take its decision in the matter.]¹⁶

DEPARTMENTAL COMMITTEES

Section 49

8.03 There shall be a Departmental Committee in each Department of teaching in the University to assist the Head of the Department appointed under Statute 2.20.

Section 49

8.04 The Departmental Committee shall consist of:-

(i) The Head of the Department who shall be the Chairman;

(ii) All Professors in the Department and if there is no Professor then all Associate Professors in the Department;

(iii) In the case of a Department which has Professor as well as Associate Professors, two Associate Professors by rotation according to seniority for a period of three years;

(iv) In the case of a Department which has Associate Professors as well as Assistant Professors one Assistant Professors and in the case of department which has no Associate Professors two Assistant Professors, by rotation according to seniority for a period of three years;

Provided that for any matter specifically concerning any subject or specialty, the senior-most teacher of that subject or specialty if not already included according to this status, shall be specially invited for that matter.

Section 49

8.05 The following shall be the functions of the Departmental Committee :-

(i) to make recommendations regarding distribution of teaching work among the teachers of the Department;

(ii) to make suggestions regarding co-ordination of the research and other activities in the Department;

(iii) to make recommendations regarding appointment of staff in the Department for which the Head of the Department is the appointing authority;

(iv) to consider matters of general and academic interest to the Department;

Section 49

8.06 The Committee shall meet at least once in a quarter. The minutes of its meeting shall be submitted to the Vice-Chancellor.

EXAMINATIONS COMMITTEE

Section 29 and 49

8.07 The Examination Committee may, on the recommendation of the person or persons or the sub

committee referred to in sub section(3) of section 29,debar an examinee from appearing in any future examination or examinations if in the opinion of the Committee, such examinee was guilty of misbehavior or of using unfair means at any examination conducted by the University.

CHAPTER IX BOARDS

Section 49 9.01 The University may in addition to the Boards of Faculties and the Boards of Studies, have the Board of Students Welfare.

Section 49 & 51 9.02 The powers, functions and the constitution of the Board of Students Welfare shall be such as may be laid down in the Ordinances:

 Provided that the Ordinances relating to the Board of Students. Welfare shall provide for the representation of the students also, and term of such student representatives shall be one year.

Section 49 and 51 9.03 For so long as the new Board is not constituted in accordance with Statutes 9.02, the Board existing on the date immediately before the commencement of these Statutes shall continue to function.

CHAPTER X CLASSIFICATION OF TEACHER

Section 31 49 (d) 10.01 There shall be following classes of teachers of the University :

- (1) Professors
- (2) Associate Professors
- (3) Assistant Professors

Section 31 & 49(d) 10.02 Teachers of the University shall be appointed in the subject on whole-time basis in the scales of pay approved by the State Government :

Provided that part-time Faculties may be appointed in subjects in which in the opinion of the Academic Council such Faculties are required in the interest of the teaching or for other reasons. Such part-time Faculties may receive salary of the ordinarily not exceeding one-half of the „initial salary of the scale for the post to which they are appointed Persons working as Research Fellows or as Research Assistants may be called upon to act as part-time Faculties.

Section 31 & 49(d)

10.03 The Executive Council may, on the recommendations of the academic council, appoint :

(1) Professors of academic eminence and outstanding merit on special contract in accordance with the Ordinances in that behalf

(2) Honorary Emeritus Professors who shall

:-

(a) deliver Lectures on Special subject;

(b) guide research;

(c) be entitled to be present in meetings of the Board of Faculty concerned and to take part in its discussions but will not have the right of Vote;

(d) be provided with facilities for study and research in the library and laboratories of University as far as possible and

(e) be entitled to attend all Convocations

Provided that a person shall not, merely by virtue of holding the post of ea Professor in the Department as Honorary Emeritus Professor, be eligible to hold any office in the University or in any Authority or Body thereof.

Sections 21 (1) (xvii),
31 and 49(o)

10.04 Instructors - or Teaching Research Assistants may be appointed by the Executive Council on such terms and conditions as may be provided for in the Ordinances.

Sections 31 & 49(o)

10.05 (1) The Principal and other teachers of affiliated colleges shall be employed on whole time basis in the scales of pay approved by the state government.

(2) Subject to the provisions of clause(iv)of the Statutes 20102, the proportion of part time teachers shall not at any time, exceed one fourth of the strength of the full time teaching staff in the department concerned;

Provided that where the number of teachers in the department is less than four, the appointment of one part-time teacher may be permitted by the vice-Chancellor.

[Provided further that the proportion of part-time teachers in the law department may be one half of the strength of the full time teaching staff in that department.]"

CHAPTER XI

Part I

QUALIFICATION AND APPOINTMENT OF TEACHERS IN THE UNIVERSITY

Substitution of	For Statute 11.01 of the Kumaun University First Statutes
Statute 11.01	1977, hereinafter referred to as the said Statutes the following Statute shall be substituted, namely* :-

Qualification for the Teaching Positions and Librarian

(In accordance with the UGC regulations, 2009)

Note: -For teachers in the Faculty of Education, the Norms / Regulations of National Council of Teacher Education (NCTE) and for Pharmacy and Management, the Norms/Regulations of All India Council for Technical Education (AICTE) shall apply.

Relaxations for certain categories:-

1. A relaxation of 5% may be provided from 55% to 50% of the marks at Master level for SC/ST/PHYSICALLY AND VISUALLY HANDICAPPED Candidates.
2. Under the term of "Good Academic Records" a relaxation of 5% from 50% to 45% of the marks may be provided at relevant graduate degree level for SC/ST/PHYSICALLY AND VISUALLY HANDICAPPED candidates.
3. A relaxation of 5% may be provided from 55% to 50% of the marks to Ph.D. degree holders, who have passed their Master's Degree prior to 19th September, 1991.

* *Amendment No. 1280/GS/Shiksha/2009 dt. 20 July 2009.*

Substitution of
Statute 11.02

QUALIFICATION FOR TEACHING POSITIONS IN THE
DISCIPLINE OF ARTS, HUMANITIES, SCIENCES,
SOCIAL SCIENCES, COMMERCE, LANGUAGES, LAW,
JOURNALISM AND MASS COMMUNICATION
(Except Music, Management and Pharmacy)

ASSISTANT PROFESSOR

- i. Good academic record as defined by the university with at least 55% of the marks or equivalent grade where grading system is practiced at the Master's degree level in a relevant subject from an Indian University, or an equivalent degree from an accredited foreign university.
- ii. Besides fulfilling the above qualifications, the candidate should have cleared the National Eligibility Test (NET) conducted by the UGC, CSIR or similar test accredited by the UGC like SLET / SET.
- iii. Holders of Ph.D. degree as on the date of Notification of these Regulations, along with those candidate who are awarded a Ph.D. degree through a process of admission, registration, course work and external evaluation as laid down in the UGC (Minimum Standards and Procedures for award of M.Phil./ Ph.D. Degree), Regulations, 2009 and so adopted by the university, shall be exempted from NET/SLET/SET.
- iv. NET/SLET/SET shall also not be required for such Masters Programmes in disciplines for which NET/SLET/SET is not conducted subject to the conditions stipulated in the UGC Regulations.

ASSOCIATE PROFESSOR

- i. Good academic record with a Ph.D. degree in the concerned/allied/relevant discipline.
- ii. 55% of the marks, or equivalent grade wherever grading system is followed as the Master's degree level.
- iii. A minimum of five years of experience of teaching and / or research in an academic/research position equivalent to that of Assistant Professor in a University / College or Accredited Research Institution/industry excluding the period of Ph.D. research with evidence of published work and a minimum of 5 publications as books and/or research/policy papers in indexed /ISBN/ISSN numbered books/journals and University developed – ISBN/ISSN list of journals hosted in the website of the concerned University.

iv. Contribution to educational innovation, design of new curricula and courses and technology mediated teaching learning process with evidence of having guided doctoral candidates and research students.

v. A minimum score as stipulated in the Academic Performance Indicator (API) based.

Performance Based Appraisal System (PBAS) developed by UGC in these Regulations.

PROFESSOR

A. (i) An eminent scholar with Ph.D. qualification in the concerned/allied/ relevant discipline and published work of high quality, actively engaged in research with evidence of published work with a minimum of 10 publications as books and/or research / policy papers in indexed / ISBN/ISSN numbered journals and/or ISBN/ISSN numbered books and the University developed ISBN/ISSN numbered list of journals / books hosted in the website of the concerned University.

(ii) A minimum of 10 years of teaching experience in university/college, and/or experience in research at the university/National Level institutions/industries, including experience of guiding candidates for research at doctoral level;

(iii) Contribution to educational innovation, design of new curricula and courses and technology mediated teaching learning process.

(iv) A minimum score as stipulated in the Academic Performance Indicator (API) based on Performance Based Appraisal System (PBAS) developed by UGC in these Regulations.

OR

B. An outstanding professional with established reputation in the relevant field who has made significant contribution to knowledge.

MUSIC, PERFORMING ARTS, VISUAL ARTS AND OTHER TRADITIONAL INDIAN ART FORMS LIKE SCULPTURE ETC.

MUSIC AND DANCE DISCIPLINE :

1. ASSISTANT PROFESSOR :

Good academic record with at least 55% of the marks, or an equivalent grade to that of 55% wherever grading system is practiced at the Master's degree level, in the relevant subject or an equivalent degree from an Indian/Foreign University. Besides fulfilling the above qualifications.

A minimum score as stipulated in the Academic Performance Indicator (API) based.

Performance Based Appraisal System (PBAS) developed by UGC in these Regulations.

3. PROFESSOR:

An eminent scholar with a doctoral degree actively engaged in research with 10 years of experience in PG teaching and/or research at the University / National level institutions including experience of guiding research at doctoral level with outstanding performing achievements in the field of specialization.

OR

1. 'A' grade artist of AIR / TV
2. Twelve years of outstanding performing achievements in the field of specialization.
3. Significant contributions in the field of specializations and ability to guide research.
4. Participation in National / International Seminars / Conferences / Workshops and/or recipient of National / International Awards / Fellowships.

adequate knowledge to teach theory with illustrations in that discipline.

VISUAL (FINE) ARTS DISCIPLINE :

1. ASSISTANT PROFESSOR :

Good academic record with at least 55% of the marks, or an equivalent grade to that of 55% wherever grading system is practiced at the Master's degree level, in the relevant subject or an equivalent degree from an Indian/Foreign University.

Besides fulfilling the above qualifications, candidates should have cleared the National eligibility Test (NET / SLET/SET) for Assistant Professors conducted by the UGC, CSIR, or similar test accredited by the UGC.

OR

A Professional artist with highly commendable professional achievement in the concerned subject, who should have :

1. First class Diploma in visual (Fine) arts discipline from the recognized Institution of India/Abroad.
2. Five years of experience of holding regular regional / National exhibitions / Workshops with evidence.
3. Ability to explain the logical reasoning of the subject concerned and adequate knowledge to teach theory with illustrations in that discipline.

2. ASSOCIATE PROFESSOR :

Good academic record with doctoral degree, with performing ability of high professional standard. Five Years of experience of teaching and / or research excluding the period spent for the research degree, Ph.D. Has made significant contributions to the knowledge in the subject concerned as evidenced by quality of publications. Contributions to educational innovation such as: designing new courses and/or curricula and/or outstanding performing achievements in the field of specializations.

OR

1. A recognized artist of his/her own discipline.
2. Eight years of outstanding performing achievements in the field of specialization.
3. Experience in designing of new courses and / or curricula.
4. Participation in Seminars / Conferences in reputed institutions.
5. Ability to explain the logical reasoning of the subject concerned and adequate knowledge to teach theory with illustrations in that discipline.

3. PROFESSOR:

An eminent scholar with a doctoral degree actively engaged in research with 10 years of experience in Post graduating teaching and/or research at the University / National level institutions including experience of guiding research in doctoral level, with outstanding performing achievement in the field of specialization.

OR

1. Twelve years of experience of holding regular regional/national exhibition/workshops with evidence.
2. Significant contributions in the field of specialization and ability to guide research.
3. Participation in National / International Seminars / Conference/Workshops and / or recipient of National / International Awards / Fellowships.
4. Ability to explain the logical reasoning of the subject concerned and adequate Knowledge to teach theory with illustration in that discipline.

A minimum score as stipulated in the Academic Performance Indicator (API) based performance Based Appraisal System (PBAS) developed by UGC in these Regulations shall be a mandatory requirement for all the posts.

QUALIFICATIONS PRESCRIBED FOR TEACHING POSITIONS IN THE REGULATIONS OF NCTE

QUALIFICATIONS FOR B. ED. COURSE

A. QUALIFICATIONS

- (i) **PRINCIPAL/HEAD**(in multi-faculty institution)
- a. Academic and professional qualification will be as prescribed for the post of Assistant Professor: and
 - b. Ph. D. in Education
 - c. Ten years teaching experience out of which at least five years teaching experience in a Secondary Teacher Education Institution
 - d. A minimum score as stipulated in the Academic performance Indicator (API) based Performance Based Appraisal System (PBAS) developed by UGC in these Regulations shall be a mandatory requirement.

(ii) ASSISTANT PROFESSOR

(a) Foundation Courses

- (i) Master's Degree in Science/Humanities/arts with 50% marks
- (ii) M. Ed. With at least 55% marks or its equivalent grade and
- (iii) Any other stipulation prescribed by the UGC in the general norms including a pass in NET/SLET / SET prescribed in these regulations shall be mandatory
- (iv) A minimum score as stipulated in the Academic Performance Indicator (API) based Performance Based Appraisal System (PBAS) developed by UGC in these Regulations shall be a mandatory requirement.

OR

- I) M.A. in Education with 55% Marks or its equivalent grade.
- ii) B. Ed with at least 55% marks and
- iii) Any other stipulation prescribed by the UGC in the general norms including a pass in NET/SLET/SET prescribed in these regulations shall be mandatory.
- (iv) A minimum score as stipulated in the Academic performance Indicator (API) based performance Based Appraisal system (PBAS) developed by UGC in these Regulations shall mandatory requirement.

Methodology Courses

- i) Master's degree in subject with 50% marks
- ii) M.Ed. Degree with at least 55% marks or its equivalent grade and
- iii) Any other stipulation prescribed by the UGC in the general norms including a pass in NET/SLET/SET prescribed in these regulations shall be mandatory
- (iv) A minimum score as stipulated in the Academic performance Indicator (API) based Performance Based Appraisal system (PBAS) developed by UGC in these Regulations shall be a mandatory requirement.

QUALIFICATIONS FOR M. Ed. COURSE

ASSISTANT PROFESSOR

- (i) Master's Degree in Arts/Humanities/sciences/commerce and M. Ed. Each with a minimum of 55% marks or its equivalent grade

OR

M.A. (Education) and B. Ed each with a minimum of 55% marks

- (ii) Any other stipulation prescribed by the UGC in the general norms including a pass in NET/SLET/SET prescribed in these regulations shall be mandatory
- (iii) A minimum score as stipulated in the Academic performance Indicator (API) based Performance Based Appraisal system (PBAS) developed by UGC in these Regulations shall be a mandatory requirement

ASSOCIATE PROFESSOR

- i) Master's Degree in Arts/Humanities/Sciences/Commerce and M. Ed. each with a minimum of 55% marks or its equivalent grade

OR

M.A. (Education) and B. Ed each with a minimum of 55% marks

- i) Ph. D. in Education and
- ii) At least 5 years of teaching experience in University Department of Education or College of education which a minimum of 3 years at the M. Ed. Level and published work in his area of specialization.
- iii) A minimum score as stipulated in the Academic Performance Indicator (API) based
- iv) Performance Based Appraisal System (PBAS) developed by UGC in these Regulations shall be a mandatory requirement.

PROFESSOR/HEAD:

(i). Master's Degree in Arts/Humanities/Sciences/Commerce and M.Ed. each with a minimum of 55% marks.

OR

M.A. (Education) with 55% marks and B. Ed each with a minimum of 55% marks

(ii) Ph.D.in Education and

(iii) At least 10 years of teaching experience in university department of education or College of Education of which a minimum of 5 years area of his specialization.

(iv) A minimum score as stipulated in the Academic performance (API) based Performance Based Appraisal System (PBAS) developed mandatory requirement

**QUALIFICATIONS PRESCRIBED FOR TEACHING POSITIONS IN THE
REGULATIONS OF AICTE.**

PHARMACY DISCIPLINE

ASSISTANT PROFESSOR

Qualification& Experience for Candidates from Teaching

First Class Master's degree I appropriate branch of specialization in Pharmacy (No minimum requirement)

Qualification & Experience for Candidates from Industry & Profession

First Class Master's degree in appropriate branch of specialization in Pharmacy (No minimum requirement)

ASSOCIATE PROFESSOR

Qualification& Experience for Candidates from Teaching

Ph. D. degree(with first class degree either at Bachelor's or Master's level) in the appropriate branch of specialization in pharmacy with 2 years experience in Teaching / Industry / Research at the level of Assistant Professor or equivalent

OR

First class Degree at Master's Level in the appropriate branch of Specialization in Pharmacy with 5 years experience in Teaching / Industry / Research at the level of Assistant Professor. Such candidates will be

OR

First Class Master's degree in Business Management/Administration/other relevant management related discipline/PGDBM / PGDM programmes (minimum 2 years duration) recognized by AICTE / MHRD / UGC and declared equivalent to MBA by AICTE/ AIU with 5 years experience in Teaching/Industry/Research/Profession. Such candidates will be required to obtain Ph.D. degree or a fellowship of IIMS, ICA or ICWA or any AICTE approved institution within a period of 7 years from the date of appointment as Assistant professor failing which the increments will be stopped until same degree is earned.

Qualification & Experience for candidates from Industry& profession

First Class Master's degree in Business Management/Administration/other relevant management related disciplines/PGDBM/PGDM programmes (minimum 2 years duration) recognized by AICTE/ MHRD/UGC and declared equivalent to MBA by AICTE/AIU.

AND

Professional work which is significant and can be recognized at national / international level as equivalent to Ph. D.* degree and with 2 years managerial experience in Industry/profession would also be eligible

PROFESSOR

Qualification & Experience for Candidates from Teaching

Ph. D. degree or a fellowship of IIMS, ICA or ICWA or any AICTE approved institution with First Class Master's degree in Business Management/ Administration/other relevant management related disciplines /PGDBM/PGDM programmes (minimum 2 years duration) recognized by AICTE/MHRD/UGC and declared equivalent to MBA by AICTE/ALU with 10 years experience in Teaching/ Industry/Research out of which 5 years must be at the level of Assistant Professor.

Qualification & Experience for Candidates from Industry& profession

Candidates from Industry / Profession with First Class Master's degree in Business Management/ Administration/other relevant management related disciplines/PGDBM/PGDM programmes minimum 2 years duration recognized by AICTE/ MHRD/UGC and declared equivalent to MBA by AICTE/AIU.

AND

Professional work which is significant and can be recognized as equivalent to Ph.D. degree and with 10 years managerial experience of which at least 5 years should be at a senior level comparable to that of an Assistant Professor would also be eligible.

OR

*Unanimously declared equivalent to Ph.D. by a 3-members expert committee duly approved by BOG/Senate/Syndicate/Academic Council of the affiliating university.

PERCENTAGE EQUIVALENCE OF GRADE POINTS	
FOR A TEN POINTS SCALE	
GRADE POINT	PERCENTAGE OF MARKS
6.25	55
6.75	60
7.25	65
7.75	70
8.25	75

MINIMUM QUALIFICATION FOR DIRECT RECRUITMENT TO THE POSTS OF LIBRARIAN, DEPUTY LIBRARIAN AND UNIVERSITY ASSISTANT LIBRARIAN/ COLLEGE LIBRARIAN UNIVERSITY LIBRARIAN

- i. Master's degree in Library science/information science with at least 55% of the marks or its equivalent grade for 55% where grading system is practiced.
- ii. A Ph. D. degree in the above discipline and/or allied discipline with a consistently good academic record.
- iii. A minimum of 15 years of teaching / librarian experience of which at least 5 years experience as a Deputy Librarian in a University Library or 10 years' experience as Assistant Librarian in the University or as College librarian
- iv. Evidence of innovative library service and organization of published work and ICT modernization of library. Evidence of published work with a minimum of 5 books

and /or publications in indexed / ISBN / ISSN numbered journals / books and University developed ISBN / ISSN numbered list of journals hosted on the website of the concerned University.

v. A minimum score as stipulated in the Academic Performance Indicator (API) based Performance Based Appraisal System (PBAS) developed by UGC in these Regulations.

UNIVERSITY DEPUTY LIBRARIAN

i. Master degree in Library Science / Information Science / Documentation Science with at least 55% of the marks or its equivalent grade for 55% where grading system is practiced.

ii. A Ph.D. degree in the above discipline or allied discipline with a consistently good academic record.

iii. A minimum of 10 years of teaching / library experience with at least 5 years as an Assistant University Librarian / College Librarian

iv. Evidence of innovative library services, organization and computerization of library.

v. Evidence of published work with a minimum of 5 publications in indexed/ISBN/ISSN journals and / or ISBN / ISSN numbered books and University developed ISBN / ISSN numbered list of journals hosted on the website of the concerned University.

vi. A minimum score as stipulated in the Academic Performance indicator (API) based.

Performance Based Appraisal System (PBAS) developed by UGC in these Regulations.

UNIVERSITY ASSISTANT LIBRARIAN / COLLEGE LIBRARIAN

i. Master's degree in Library Science / Information Science / Documentation Science or an equivalent professional degree with at least 55% of the marks or its equivalent grade of 55% marks where grading system is practiced and a consistently good academic record with knowledge on computerization of Library.

ii. Qualifying in the National Eligibility Test (NET) or other accredited test like SLET/SET in Library Science conducted for the purpose by the UGC or any other agency approved by the UGC.

iii. Holders of Ph.D. Degree as on the date of Notifications of these Regulations along with those candidates who are awarded a Ph.D. degree through a process of admission, registration, course work and external evaluation as laid down in the UGC (Minimum Standards and Procedure for award of M.Phil. / Ph.D. Degree), Regulations, 2009 and so adopted by the university shall be exempted from NET/SLET/SET.

Criteria for awarding marks:

1- Marks for the complete Selection process 100 Marks

(1) Academic Record, Experience and presentation of related subject	70
(1) a. Academic Records for all faculties except Education	50
(1) a1. For High School of Equivalent and Intermediate or Equivalent Examination, consideration of 10 marks in each as follows	
(a) – First Div. – 10 marks (b) – Second Div. – 08 marks (c) – Third Div. – 06 marks	
(1). A.2. For Graduate or Equivalent and Post Graduate or Equivalent examinations, consideration of 15 marks in each as follows :	
(a) - First Div. – 15 marks (b) - Second Div. – 12 marks (c) - Third Div. – 10 marks	

<p>(1) a. Academic Records related to Education Faculty</p> <p>(1) a.1. High School 1st Div. - 3 marks IInd Div. - 2 marks IIIrd Div. - 1 Marks</p> <p>(1) a. 2. Intermediate 1st Div. - 4 marks IInd Div. – 2 marks IIIrd Div. - 1 marks</p> <p>(1) a.3. Graduate Degree 1st Div. - 6 marks IInd Div. - 3 marks IIIrd Div. – 1 marks</p> <p>(1) a.3. Graduate Degree 1st Div. - 6 marks IInd Div. – 3 marks IIIrd Div. - 1 marks</p>	50
<p>(1) a.4. B.Ed.</p> <p style="padding-left: 40px;">a – Written Exam (Theory) b- Practical Exam</p> <p style="padding-left: 80px;">1st Div. - 10 marks 1st Div. - 10 marks IInd Div - 06 Marks IInd Div. - 06 marks IIrd Div. - 03 marks IIIrd Div. - 03 marks</p>	
<p>(1) a.5. Post Graduate Degree in School Education</p>	

<p>1st Div. - 08 marks</p> <p>IIInd Div. - 06 marks</p>	
<p>(1) a.6. M.Ed.</p> <p>1st Div. - 12 marks</p> <p>IIInd Div. - 08 marks</p>	
<p>(1) b. For one year Teaching Experience 2 marks maximum 10 marks (For the Post of Associate Professor & Professor with minimum essential experience, the above marks will be given for extra experience.</p>	
<p>(1) c. Extra Educational Qualification</p> <p>Ph.D., Research Papers, Books/Lesson in Book, National & International Awards etc. (For these posts where Ph.D. is essential, no marks will be given for Ph.D.</p>	
<p>(2) For Interview</p> <p>2- If one application is received as per advertisement, The post will be re-advertised. After advertisement, If it happens again Interview will be conducted.</p>	
<p>3- If No Candidate found suitable by the Selection Committee, clear reasons will be mentioned.</p>	

Section 49

11.03 No selection of the teacher made between August 1, 1975 and October 20, 1975 on the basis of the U.P. State University First Statutes (Age of Superannuation, Scales of Pay and Qualification of Teachers) 1975, referred to in clause (2) of Statutes

1.02 as they stood serene their amendment by notification No. 7251/XV-J0-75-6Q(J 1 5)-73 dated October 20, 1975, shall be affected by these Statutes.

Sections 31 49(d)

11.04 The advertisement of vacancy referred to in section 31(10) shall ordinarily allow at least three weeks time, from the date of the issue of news - papers in which the advertisement is published, to the candidates to apply for the vacancy

Sections 31(9)

11.05 (1) Meeting of the Selection Committee for appointment of teachers in the University shall be convened under the order of the Vice-Chancellor

(2) The Selection Committee shall not consider the name of the person for appointment as teacher of University unless he applies for it :

Provided that in the case of appointment of a professor, the Committee may, with the approval of the Vice Chancellor, consider the names of persons who have not applied.

(3) A member of the Selection shall withdraw, from a meeting of the committee or of the Executive Council, as the case may be, if the question of appointment of any of the relatives (as defined in the Explanation to section.20) of such member is being or is likely to be considered at such meeting.

Section 30 &31

11.06 (1) If the Selection committee recommends more than one candidate for appointment, it may in its discretion arrange their names in order of preference. Where the committee decides to arrange the names in order of preference, it shall be deemed to have signified that in the event of the first being available, the second may be appointed, and in the event of the second also being not available, the third may be appointed, and so on

(2) The Selection Committee may recommend, that not Suitable Candidate for appointments is available. In such a case, the post shall be re-advertised.

**Substituted Vide Fourth amendment area 23-9-80*

- Sections 49(b) 11.07 The recommendations of the Selection Committee and proceedings of the Executive Council pertaining there to shall be treated as strictly confidential.
- Section 21(1) (xvii)31 and 49(d) 11.08 If the work and conduct of a teacher appointed under section 31(2):-
 (i) is considered satisfactory, the Executive Council may at the end of period of probation (including the extended period, if any) confirm the teacher.
 (ii) is not considered satisfactory, the Executive Council may terminate the services of the teacher in accordance with the provisions of section 31 during or on the expiry of the period of probation (including the extended period, if any).
- Section 31 the and 49(o) 11.09 The Selection committee shall meet at Head quarters of the University.
- Section 31 and 49(d) 11.10 Members of the Selection Committee shall be given not less than fifteen days notice of the meeting reckoned from the date of dispatch of such notice, The notice shall be served either personally or by registered post.
- Section 31 the and 49(d) 11.11 At least fifteen days notice reckoned from the date of the dispatch shall be given to the candidates of the meeting of the Committee. The notice shall be served either personally or by registered post.
 11.12 The traveling and daily allowances of the member of the Selection Committee shall be paid by the University at the rates prescribed by Ordinances.
 11.12(A) In very special circumstances and recommendation of the Selection Committee the Executive Council may allow, up to five advance increments at the time of initial appointment to such teachers as possess exceptionally high academic attainment and experience. If in any case it is necessary to give more than five advance increments, prior

approval of the State Government shall be Obtained before making the appointment.⁴

11.12(B)-(1) **CAREER ADVANCEMENT SCHEME, 2010**¹⁵

University Grants Commission has issued Regulation on Minimum Qualifications for Appointment of Teachers and other Academic Staff in Universities and Colleges and Measures for the Maintenance of Standards in Higher Education 2010 vide letter No. F 3 – 1/2009 dated 30th June 2010. In this reference, State Government has decided to implement the Career Advancement Scheme 2010 in the State Universities under the purview of Higher Education Department, Government of Uttarakhand and Associated/Affiliated Colleges.

Provided that in the event, any candidate becomes eligible for promotion under Career Advancement Scheme in terms of these Regulations on or after 30th June 2010, the promotion of such a candidate shall be governed by the provisions of these Regulations.

Provided further that notwithstanding anything contained in these Regulations, in the event any candidate became eligible for promotion under Career Advancement Scheme prior to 31st December 2009 the promotion of such a candidate under Career Advancement Scheme shall be governed by Government order No.4078/H.R.D./2001-3(163)/2001 dated 6th December 2001.

Provided further that candidates who became eligible for promotion under Career Advancement Scheme during 31st December 2008 to 29th June 2010, have the option to get promotion either by provisions of Government order No. 4078/H.R.D./2001-3(163)/2001 dated 6th December 2001 or by following Regulations.

(A) STAGES OF PROMOTION

1. Entry level Assistant Professors in the Pay Band of Rs. 15600-39100

¹⁵ G.O. No. 1479(1)/XXIV(7)/2013-45(4)11 dated 28 May 2013

(stage 1 i.e. Grade Pay Rs. 6000) would be eligible for promotion under the Career Advancement Scheme (CAS) to two successive stages (Stage 2 and stage 3). Provided they are assessed to fulfill the eligibility and performance criteria laid down as API (Academic Performance Indicators) score in PBAS (Performance Based Appraisal System) by a Screening cum Evaluation Committee in the following manner.

2. An entry level Assistant Professor, possessing Ph.D. Degree in the relevant discipline shall be eligible for moving to the next higher grade (stage 2 i.e. Grade Pay Rs. 7000) after completion of four years service as Assistant Professor)
3. An entry level Assistant Professor possessing M.Phil. Degree or Post Graduate Degree in professional course, approved by the relevant statutory body, such as LL.M. /M. Tech., etc. shall be eligible for the next higher grade (stage 2) after completion of five years service as Assistant Professor.
4. An entry level Assistant Professor who does not have Ph.D. or M.Phil. or a Master's Degree in the relevant professional course, shall be eligible for the next higher grade (stage 2) only after completion of six years service as Assistant Professor.
5. The upward movement from the entry level grade (Stage 1) to the next higher grade (stage 2) for all Assistant Professors shall be subject to their satisfying the API based PBAS conditions laid down by the UGC.
6. Assistant Professors who have completed five years of service in stage 2, i.e. Grade Pay Rs. 7000, shall be eligible, subject to meeting the API based PBAS requirements laid down by UGC Regulations to move up to next higher grade (stage 3 i.e. Grade Pay Rs. 8000)
7. Assistant Professors completing three years of teaching in stage 3, i.e. Grade Pay Rs. 8000, shall be eligible, subject to the qualifying conditions and the API based PBAS requirements prescribed by UGC Regulations to move to the next higher grade (stage 4, i.e. Grade Pay Rs. 9000) and to be designated as Associate Professor in Pay Band Rs. 37400-67000.
8. Associate Professor completing three years of service in stage 4 and possessing a Ph.D. Degree in the relevant discipline shall be eligible to be designated as Professor and be placed in the next higher grade (stage 5, i.e. Grade Pay Rs. 10000) subject to (a) satisfying the required credit points as per API based PBAS methodology provided in UGC Regulations and (b) an assessment by a duly constituted committee. *Provided* that no teacher, other than those with a Ph.D. shall be promoted as Professor.

9. Ten percent of the positions of Professors in a university with a minimum of ten years of teaching and research experience as Professor either in the pre-revised scale of Professor's pay or the revised pay scale pay will be eligible for promotion to the higher grade of Professorship (Stage 6) on satisfying the required API score as per Table-I and Table-2 (A) through the PBAS methodology stipulated in these regulations through a duly constituted Evaluation committee and each teachers promoted to the higher grade shall continue to be designated as "Professor" as this AGP elevation for Professors is applicable to only university departments, additional credentials are to be evidenced by ;
- (a) Post -doctoral research output of high standard.
 - (b) awards / honours / and recognitions.
 - (c) Additional research degree like D.Sc.,D.Litt., LID etc. Patents and IPR on products and processes developed / technology transfer achieved in the case of teachers in science and technology.

The selection is to be conducted by the university by receiving duly filled PBAS proformas from eligible professors based on seniority, three times in number of the available vacancies in each faculty. In case the number of candidates available is less than three times the number of vacancies the zone of consideration will be limited to the actual number of candidates available.

(B) SCREENING CUM EVALUATION COMMITTEES

1.	The Screening cum Evaluation Committee under Career advancement Scheme shall be constituted as under :	
(a)	In the case of University :	
	(1) Vice Chancellor	Chairman
	(2) Dean of Faculty concerned	Member
	(3) Two experts of the subject to be nominated by the Chancellor	Member

	(4) Head of the Department Concerned	Member
(b)	In the case of affiliated / associated college (other than colleges maintained exclusively by the State Government):	
	(1) Director of Higher Education or his Nominee not below the rank of Principal of Govt. Post Graduate College	Chairman
	(2) Two experts of the subject to be nominated by the Vice-Chancellor amongst whom one shall be from the University and one from the associated / affiliated college	Member
	(3) Head of the Management or a member of the management nominated by him/her	Member
	(4) Principal of the college	Member
(c)	In case of college maintained exclusively by the State Government:	
	(1) Director of Higher Education	Chairman
	(2) Two experts of the subject to be nominated by the Vice-Chancellor among whom one shall be from the University and one from the Govt. college	Member
	(3) One nominee of Director of Higher Education (not below the rank of Principal of a Post Graduate College).	Member
	(4) Principal of the college	Member
2.	The quorum for above committee in all categories shall be three including the one subject expert.	

(C) PROCEDURE FOR CAS PROMOTIONS

- (1) A teacher who wishes to be considered for promotion under CAS 2010 Scheme may submit in writing to the University/College with three months

in advance of the due date, that he/she fulfils all qualifications under CAS and submit to the university / college the prescribed Performance Based Appraisal System proforma duly supported by all credentials as per the API guidelines in order to avoid delays in holding Committee meetings in various positions under CAS, the University / College should immediately initiate the process of screening and shall complete the process within six months from the date of application.

- (2) Candidates who do not fulfill the minimum score requirement under the API Scoring System or those who obtain less than 50% in the assessment process will have to be re-assessed only after a minimum period of one year. The date of promotion shall be the date on which he/she has successfully got re-assessed.
- (3) CAS promotions from a lower grade to a higher grade of Assistant Professor shall be conducted by the "Screening cum Evaluation Committee" adhering to the criteria lay out as API score in PBAS.
- (4) The Screening cum Evaluation Committee on verification evaluation of API score secured by the candidate through the PBAS methodology and as per minimum requirement for the same shall recommend to the Executive Council in case of University and to the Department of Higher Education, Government of Uttarakhand in case of colleges about the suitability for the promotion of the candidate (s) under CAS for stage four and onwards promotions.
- (5) All the screening procedures outlined above shall be completed on the day of the screening cum evaluation committee meeting, wherein the minutes are recorded along with PBAS scoring proforma and recommendation made on the basis of merit and duly signed by all members of the committee in the minutes.
- (6) CAS promotions being a personal promotion to the incumbent teacher holding a substantive sanctioned post on superannuation of the individual incumbent, the said post shall revert back to its original cadre.
- (7) The incumbent teacher must be on the role and active service of the University / College on the date of consideration by the Screening cum Evaluation Committee for CAS Promotion.
- (8) (a) If a candidate applies for promotion on completion of the minimum eligibility period and is successful, the date of promotion will be from that of minimum period of eligibility.

- (b) If, however, the candidates find that he/she fulfills the eligibility conditions at a later date and applies on that date and is successful, his/her promotion will be effected from that date of application fulfilling the criteria.
- (c) If the candidate does not succeed in the first assessment, but succeeds in the eventual assessment, his/her promotion will be deemed to be from the later date of successful assessment.

(D) PROPOSED SCORES FOR ACADEMIC PERFORMANCE INDICATORS IN CAREER ADVANCEMENT SCHEME (CAS) PROMOTION OF UNIVERSITY/COLLEGE TEACHERS.

(AS PROVIDED IN APPENDIX-III OF UGC REGULATIONS ON MINIMUM QUALIFICATIONS FOR APPOINTMENT OF TEACHERS AND OTHER ACADEMIC STAFF IN UNIVERSITIES AND COLLEGES AND MEASURES FOR MAINTENANCE OF STANDARDS IN HIGHER EDUCATION 2010)

TABLE – 1

CATEGORY I : TEACHING, LEARNING AND EVALUATION RELATED ACTIVITES

S.No.	Nature of Activity	Maximum Score
1	Lectures, seminars, tutorials, practicals, contact hours undertaken as percentage of lectures allocated	50
2	Lectures or other teaching duties in excess of the UGC norms	10
3	Preparation and imparting of knowledge/instruction as per curriculum; syllabus, enrichment by providing additional resources to students	20
4	Use of participatory and innovative teaching learning methodologies; updating of subject content, course improvement etc.	20
5	Examination duties (invigilation; question paper setting evaluation/assessment of answer scripts) as per allotment	25

Total Score	125
Minimum API Score Required	75

NOTE :- “Lectures and tutorials allocation to add up to the UGC form for particulars category of teacher, University may prescribed minimum cut-off (net of due leave, say 80% for 1 and 5 above, below which no scores may be assigned in these sub-categories.

Brief Explanation: Based on the teacher’s self-assessment. API Scores are proposed for (a) teaching related activities; (b) domain knowledge (c) participation in examination and evaluation; (d) contribution to innovative teaching, new course etc. The minimum API score required by teaches from this category is 75. The self assessment score should be based on objectively verifiable criteria wherever possible and will be finalized by the screening committee.

Universities will be required to detail the activities and in case institutional specificities require, adjust the weightages, without changing the minimum total API scores required under this category.

CATEGORY II : CO-CURRICULAR, EXTENSION AND PROFESSIONAL DEVELOPMENT RELATED ACTIVITIES

S.No.	Nature of Activity	MaximumScore
1	Student related co-curricular, extension and field based activities (such as extension work through NSS/NCC and other channels, cultural activities, subject related events advisements and counseling)	20
2	Contribution to corporate life and management of the department and institution through participation in academic and administrative committees and responsibilities	15
3	Professional Development activities (such as participation in seminars, conferences, short term training courses, talks, lectures, membership of association, dissemination and general articles, not covered in category III below)	15

Minimum API Score Required	15
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Brief Explanation: Based on the teacher's self-assessment, category II API cores are proposed for co-curricular and extension activities, and professional Development related contributions. The minimum API required by teachers for eligibility for promotion is 15. A list of items and proposed scores is given above, it will be noticed that all teachers can earn scores from a number of items, whereas some activities will be carried out only by one or a few teachers. The list of activities is broad enough for the minimum API score required (15) in this category to accrue to all teachers. As before, the self-assessment score should be based on objectively verifiable criteria and will be finalized by the screening committee.

The model table above gives group of activities and API scores. Universities may detail the activities or in case institutional specificities required under the weightage, without changing the minimum total API scores required under this category

CATEGORY – III RESEARCH AND ACADEMIC CONTRIBUTIONS

S.No	APIs	Engineering/Agriculture/Veterinary Science/Sciences/Medical Sciences	Faculties of Language Arts/Humanities/Social Sciences/Library/Physical education/Management	Max. Points for University and college teacher position
III (A)	Research Papers Published in	Refereed journals	Reference Journals	15 / Publication
		Non referred but recognized and reputable journals and periodicals, having ISBN/ISSN numbers	Non refereed but recognized and reputable journals and periodicals having ISBN/ISSN numbers	10 / Publication
		Conference proceeding as full papers, etc. (Abstracts not be	Conference proceeding as full papers etc. (Abstracts not be	10 / Publication

		included)	included)	
III (B)	Research publication (books, other than refereed journals	Text or Reference Books published by International Publishers with an established peer review system.	Text or Reference Books published by International Publishers with an established peer review system	50/ sole author, 10/ chapter in edited books
		Subject Books by National level publishers / State and Central Govt. Publications with ISBN / ISSN Numbers	Subject Books by National level publishers / State and Central Govt. Publications with ISBN / ISSN Numbers	25 / sole author and 3/chapter in edited books 10/chapter
		Subject Books by other local publishers with ISBN/ISSN numbers. Chapter contributed to edited knowledge based volumes published by International Publishers	Subject Books by other local publishers with ISBN/ISSN numbers. Chapter contributed to edited knowledge based volumes published by International Publishers	15 / sole author and 3/chapter in edited books 10/ chapter
		Chapters in knowledge based volumes by Indian / National level publishers with ISBN / ISSN numbers and with number of national and international directories	Chapters in knowledge based volumes by Indian / National level publishers with ISBN / ISSN numbers and with number of national and international directories	5 / chapter
III (C) RESEARCH PROJECTS				
III (C) (i)	Sponsored Project carried out / on going	(a) Major Projects amount mobilized with grants above Rs 30.0 lakhs	Major Projects amount mobilized with grants above Rs. 5.0 lakhs	20/each Project

		(b) Major Projects amount mobilized with grants above Rs. 5.0 lakhs upto Rs. 30.0 lakhs	Major Projects amount mobilized with grants above Rs. 3.0 lakhs upto Rs. 5.0 lakhs	15 / each Project
		(c) Minor project (Amount mobilized with grants above Rs. 50,000 upto Rs. 5 Lakh)	Minor project (Amount mobilized with grants above Rs. 25,000 upto Rs. 3 Lakh)	10 / each Project
III (C) (ii)	Consultancy Projects carried out / on going	Amount mobilized with minimum of Rs. 10.00 lakhs	Amount mobilized with minimum of Rs. 2.00 lakhs	10 per every Rs. 10.0 lakhs and Rs. 20. Lakhs Projects respectively
III (C) (iii)	Completed projects Quality Evaluation	Completed projects report (Acceptance from funding agency)	Completed projects report (Accepted by funding agency)	20 / each major project and 10 each minor project
III (C) (iv)	Project Outcome/ Outputs	Patent / Technology transfer / Products / Process	Major Policy documents of Govt. Bodies at Central and State Level	30/ each national level output or patent 50/ each for International level
III (D) RESEARCH GUIDANCE				
III (D) (i)	M.Phil.	Degree awarded only	Degree awarded only	3/each candidate
III (D)	Ph.D.	Degree awarded	Degree awarded	10/each

(ii)				candidate
		Thesis submitted	Thesis Submitted	7/ each candidate
III (E) TRAINING COURSES AND CONFERENCE / SEMINARS / WORKSHOP PAPERS				
III (E) (i)	Refreshers courses Methodology workshop, Training, Teaching, Learning, Evaluation Technology Programmes, Faculty Development Programmes (Max 30 points)	(a) Not less than two weeks duration (b) One week duration	(a) Not less than two weeks duration (b) One week duration	20 / each 10 / each
III (E) (ii)	Papers in Conference / Seminars / Workshops etc.**	Participation and Presentation of research papers (oral / poster) in (a) International Conference (b) National (c) Regional / State level (d) Local University / College level	Participation and Presentation of research papers (oral / poster) in (a) International Conference (b) National (c) Regional / State level (d) Local University / College level	10 / each 7.5 / each 5 / each 3 / each

III (E) (iii)	Invited lectures or presentation for conferences / symposia	(a) International	(a) International	10 / each
		(b) National level	(b) National level	5 / each

* Wherever relevant to any specific discipline the API score for paper in refereed journal would be augmented as follows: (i) indexed journals – by 5 points (ii) papers with impact factor between 1 and 2 by 10 points; (iii) papers with impact factor between 2 and 5 by 15 points (iv) papers with impact factor between 5 and 10 by 25 points.

** If a paper presented in Conference / Seminar is published in the form of proceedings the points would accrue for the publication III (A) and not under presentation III (E) (ii)

Brief Explanation: Based on the teacher's self-assessment. API scores are proposed for research and academic contributions. The minimum API score required by teachers from this category is different for different levels of promotion and between university and college. The self-assessment score will be based on verifiable criteria and will be finalized by the screening committee.

NOTES

1. It is incumbent on the concerned University to prepare and publicize within six months subject-wise lists of journals, periodicals and publishers under categories III (A) and (B). Till such time, screening committee will assess and verify the categorization and scores of publications.
2. The API for joint publications will have to be calculated in the following manner: Of the total score for the relevant category of publication by the concerned teacher, the first/Principal author and the corresponding author supervisor / mentor of the teacher would share equally 60% of the total points and the remaining 40% would be shared equally by all other authors.

Sr N	Category	Assistant Professor/ equivalent	Assistant Professor /equivalen	Assistant Professor (Stage 3)	Associate Professor to
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o.		cadres : stage 1 to Stage 2	nt cadres : (stage 2 to stage 3)	to Associate Professor/ equivalent cadres (Stage 4)	Professor Promotion in College (Stage 5) as per assigned posts
I	Teaching-Learning, Evaluation Related Activities (Category I)	75 / Years	75 / Years	75 / Year	75 / Year
II	Co-Curricular, Extension and Profession related activities (Category II)	15 / Year	15 / year	15 / Year	15 / Year
III	Minimum total average annual score under Categories I and II*	100 / Year	100 / Year	100 / Year	100 / Year
IV	Research and Academic Contributions (Category III)	5 / Year (20/assess ment period)	10 / Year (50 / assessm ent period)	15 / Year (45 / assessme nt period)	20 / Year (60/ assessme nt period)
V	Percentage Distribution of Weightage points in the Expert Assessment (Total weightage = 100 Minimum required for promotion is 50)	No separate points Screening Committee to verify API Scores	No separate points. Screenin g Committe e to verify API Scores	20% Contributi on to Research 60%- Assessme nt of domain knowledg e and teaching practices. 20% -	30% - Contributi on to Research 50%- Assessme nt of domain knowledge and teaching practices. 20%-

				Interview performan ce	Interview Performan ce
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*Teacher may score 10 points from either Category I or Category II to achieve the minimum score required under Category I & II.

NOTE: For Colleges for which Sixth PRC Awards are applicable Stages : 1, 2, 3, 4 and 5 correspond to scales with AGP of Rs. 6000, 7000, 8000, 9000 and 10000 respectively.

(b) If however, the candidate finds that he/she fulfills the eligibility conditions at a late date and applies on that date and is successful, her/his promotion will be deemed to be from that date of application.

(c) If the candidate does not succeed in the first assessment but succeeds in an eventual assessment, her/his promotion will be deemed to be from the later date.

**ADVANCEMENT SCHEME (CAS) IN UNIVERSITY DEPARTMENTS AND
WEIGHTAGE FOR EXPERT ASSESSMENT**

Sr. No.	Category	Assistant Professor/ equivalent cadres : stage 1 to stage 2	Assistant Professor/ equivalent Cadres : (stage 2 to stage 3)	Assistant Professor (Stage 3 to Associate Professor/ equivalent cadres (Stage 4)	Associate Professor (Stage 4) to Professor / equivalent cadres (Stage 5)	Professor (Stage 5) to Professor(Stage 6)
I	Teaching- Learning- Evaluation Related Activities (Category I)	75 / Years	75 / Year	75 / Year	75 / Year	75 / Year
II	Co- Curricular Extension and	15 / Year	15 / Year	15 / Year	15 / Year	15 / Year

	Profession related activities (Category II)					
III	Minimum total average annual Score under Categories I and II*	100 / Year	100 / Year	100 / Year	100 / Year	100/ Year
IV	Research and Academic Contributions (Category III)	10 / Year (40 / assessment period)	20 / Year (100 / Assessment period)	30 / Year (90 / assessment period)	40 / Year (120 / assessment period)	50 / Year (500 / assessment period)
V	Percentage Distribution of Weightage Points in the Expert Assessment (Total Weightage = 100. Minimum required for promotion is 50)	No separate points. Screening Committee to verify API scores	No separate points. Screening Committee to verify API scores	30%- contribution to Research 50%- Assessment of domain knowledge and teaching practices. 20%- Interview Performance	50%- Contribution to Research 30%- Assessment of domain knowledge and teaching practices. 20%- Interview Performance	50%-Research 50%- Performance evaluation and other credentials by referral procedure.

NOTE :- *Teachers may score 10 points from either Category I or Category II to achieve the minimum score required under Category I – II.

For universities for which Sixth PRC Awards are applicable Stages 1, 2, 3, 4, 5 and 6 correspond to scales with AGP of Rs. 6000, 7000, 8000, 9000, 10000 and 12000 respectively.

Explanatory note for Table 2 (A) and 2 (B)

1. All Universities / colleges will set up verifiable systems for the API related information required in these tables within THREE months of notification of these regulations. They will have to be documented and collated annually by the Internal Quality Assessment Cells (IQACS) of the universities / colleges for follow up by the universities / colleges authorities. In order to facilitate this process, all teachers shall submit the duly filled in Performance Based Appraisal System (PBAS) proforma to the IQAC annually.
2. However, in order to remedy the difficulties of collecting retrospective information and to facilitate the implementation of these regulations from 31-12-2008 in the CAS Promotion, the API based PBAS will be progressively and prospectively rolled out.
3. Accordingly the PBAS based on the API scores of categories I and II as mentioned in these tables is to be implemented for one year, initially based on the existing system in universities / colleges for one year only with the minimum average scores as depicted in table 2 (A) and 2(B) in rows I to III. This annualized API scores can then be compounded progressively as and when the teachers become eligible for CAS promotion to the next stage. Thus, if a teacher is considered for CAS promotion in 2010, one year API scores for 2009-10 alone will be required for assessment. In case of a teacher being considered for CAS promotion in 2011, two years average of API scores for these categories will be required for assessment and so on leading progressively for the complete assessment period.
4. As shown in Table-2, (A) & (B) the aggregate minimum API scores required (given in row III) can be earned from any of the two broad categories, subject to the minimum prescribed in each category. This will provide for due weightage to teachers who contribute additionally through any of the components given in Categories I and II also for the differing nature of contributions possible in different institutional settings.
5. For Category III (research and academic contributions) maintenance of past record is done on a normal basis by teachers and hence no difficulty is envisaged in applying the API scores for this category for the entire assessment period. In this category, an aggregate minimum score is required for promotion over each stage. Alternatively a teacher should acquire the required minimum

aggregate score over two previous stages, taken together. In the case of promotion to Professor, the publication requirement shall be met over the two previous stages.

6. Candidates should offer themselves for assessment for promotion, if they fulfill the minimum API scores indicated in Table-1 and Table-2 by submitting an application and the required proforma. They can do so three months before the due date if they consider themselves eligible. Candidates who do not consider themselves eligible can also apply at a later date.
7. If however on final assessment, candidate do not either fulfill the minimum criteria under Rows III and IV of Tables-2 (A) and 2 (B) or obtain less than 50% in the experts assessment, they will be reassessed only after a minimum period of one year.
8. (a) If a candidate applies for promotion on completion of the minimum eligibility period and is successful, the date of promotion will be deemed to be the minimum period of eligibility
 (b) If however, the candidate finds that he/she fulfills the eligibility conditions at a late date and applies on that date and is successful, her/his promotion will be deemed to be from that date of application.
 (c) If the candidate does not succeed in the first assessment, but succeeds in an eventual assessment, her/his promotion will be deemed to be from the later date.

TABLE – 3

MINIMUM ACADEMIC PERFORMANCE AND SERVICE REQUIREMENTS FOR PROMOTION OF TEACHERS IN UIVERSITIES AND COLLEGES

S. No.	Promotion of Teachers through CAS	Service requirements	Minimum Academic Performance Requirement and Screening Criteria

1	Assistant Professor/ equivalent cadres from Stage 1 to Stage 2	Assistant Professor in Stage 1 and completed four years of service with Ph.D. or five years of service who are with M.Phil/PG Degree in Professional Courses such as LLM. M.Tech., M.V.Sc., M.D., or six years of service who are without Ph.D. / M.Phil./ PG Degree in Professional Courses	<ul style="list-style-type: none"> (i) Minimum API scores using PBAS scoring proforma as per the norms provided in Table 2 (A) / 2 (B) (ii) One orientation and one Refresher / Research Methodology Course of 2/3 weeks duration. (iii) Screening cum Verification process for recommending promotion.
2.	Assistant Professor/ Equivalent cadres from Stage 2 to Stage 3	Assistant Professor with completed service of five years in Stage 2	<ul style="list-style-type: none"> (i) Minimum API scores using PBAS scoring proforma as per the norms provided in Table 2A() / 2(B) (ii) One course / programme from among the categories of refresher courses, methodology workshop, Training, Teaching-Learning-Evaluation Technology Programmes, Soft Skills development Programmes and Faculty Development Programmes of 2/3 weeks duration. (iii) Screening cum Verification process for recommending promotion
3	Assistant Professor (Stage 3) to Associate Professor	Assistant Professor with three years of completed service in Stage 3	<ul style="list-style-type: none"> (i) Minimum API scores using PBAS scoring proforma as per the norms provided in table 2(a) / 2 (B) (ii) At least three publications in

	(Stage 4)		<p>the entire period as Assistant Professor (twelve years) However in the case of College teachers, an exemption of one publication will be given to M.Phil holders and an exemption of two publications will be given to Ph.D. holders.</p> <p>(iii) On course / programme from among the categories of methodology workshop, Training, Teaching-Learning-Evaluation, Technology Programmes. Soft Skills development Programmes and Faculty Development Programmes of minimum one week duration.</p> <p>(iv) A Screening Committee process as stipulated in this regulation and in Table-2 A) and (B).</p>
4.	Associate Professor (Stage 4) to Professor/equivalent cadres (Stage 5)	Associate Professor with three years of completed service in Stage 4	<p>(i) Minimum yearly / cumulative API scores using the PBAS scoring proforma as per the norms provided in table 2 (A) / 2 (B)/ Teachers may combine two assessment periods (in Stages 2 and 3) to achieve minimum API scores, if required.</p> <p>(ii) A minimum of five publications since the period that the teacher is placed in Stage 3</p> <p>(iii) A Screening Committee</p>

			process as stipulated in this regulation and in Table 2 (A) and 2 (B)
5.	Professor (Stage 5) to Professor (Stage 6)	Professor with ten years of completed service (universities only)	<p>(i) Minimum yearly / cumulative API scores for the assessment period as per the norms provided in table 2 (A)</p> <p>(ii) Additional credentials are to be evidenced by : (a) post-doctoral research output of high standard : (b) awards / honours / recognitions / patents and IPR on products and processes developed / technology transfer achieved : and (c) Additional research degree like D.Sc., D.Litt., LL.B. etc.</p> <p>(iii) A review process by Screening & Evaluation Committee as stipulated in this regulation and in Table 2 (A)</p>

- NOTE :-**(1) For teachers seeking promotion under CAS to Associate Professor for those who on the date of this notification are Assistant Professors in Stage 2, the requirements of publications may be adjusted pro rata. For all others who enter Stage 2, subsequent to this notification, the requirements of three publications as defined in these regulations will be applicable.
- (2) For Universities / Colleges for which Sixth PRC Awards are applicable Stages 1, 2, 3, 4, 5 and 6 correspond to scale with AGP of Rs. 6000, 7000, 8000, 9000, 10000 and 12000 respectively.

(E) INCENTIVES FOR Ph.D./M.Phil AND OTHER HIGHER QUALIFICATIONS TO TAKE EFFECT FROM 01-09-2008

1. Five Non-Compounded advance increments shall be admissible at the entry level of recruitment as Assistant Professor to persons possessing the degree of Ph.D. awarded in a relevant discipline by the University following the process of admission, registration, course work and external evaluation as prescribed by the UGC
2. M.Phil Degree holders at the time of recruitment to the post of Assistant Professor shall be entitled to 2 non-compounded advance increments.
3. Those possessing Post Graduate degree in the professional course such as LL.M./M/Tech./M.Arch./M.E./M.V.Sc./M.D., etc. recognized by the relevant statutory body/council, shall also be entitled to 2 non-compounded advance increments at the entry level.
4. (i) Teachers who complete their Ph.D. Degree while in service shall be entitled to 3 non-compounded increments if such Ph.D. is in relevant discipline of the discipline of employment and has been awarded by a University complying with the process prescribed by the UGC for enrollment, course work, evaluation, etc.

(ii) However, teachers in service who have already been awarded Ph.D. by the time of coming into force of these Regulations or having been enrolled for Ph.D. have already undergone course-work as well as evaluation, if any, and only Notification in regard to the award of Ph.D. is awaited, shall also be entitled to 3 non-compounded increments even if the university awarding such Ph.D. has been notified by the UGC as having complied with the process prescribed by the Commission.
5. In respect of every other case, a teacher who is already enrolled for Ph.D. shall avail the benefit of 3 non-compounded increments only if the university awarding the Ph.D. has been notified by the UGC to have complied with the process prescribed by the Commission for the award of Ph.D. in respect of either course-work or evaluation or both as the case may be.
6. Teacher in service who have not yet enrolled for Ph.D. shall therefore derive the benefit of 3 non-compounded increments on award of Ph.D. while in service only if such enrolment is with a university which

complies with the entire process, including that of enrolment as prescribed by the UGC.

7. Teacher who acquire M.Phil. Degree or a post graduate degree in a professional course recognized by the relevant Statutory Body / Council, while in service shall be entitled to one advance increment.
8. Notwithstanding anything in the foregoing clauses, those who have already availed the benefits of advance increments for possessing Ph.D./M.Phil. at the entry level under the earlier Schemes / Regulations shall not be entitled to the benefit of advance increments under these Regulations.
9. Teachers who have already availed the benefits of advance increments as per then existing policy acquiring Ph.D./M.Phil. while in service shall not be entitled to advance increments under these Regulations.
10. For posts at the entry level where no such advance increments were admissible for possessing Ph.D./M.Phil. under the earlier Schemes / Regulations the benefit of advance increments for possessing Ph.D./M.Phil. shall be available to only those appointments which have made on or after the coming into force of these Regulations.

(3) The teacher of the University who is eligible for personal promotion shall submit a self—Assessment report in the preformed given in Appendix II A containing information relating to his satisfactory work, to the Registrar.

(4) The Selection Committee constituted under clause (a) of sub-section (4) of section 31 of the Act, shall consider the self- Assessment Report, service Record (including Character Roll) and such other relevant records as may be placed before, or as considered necessary by it, and shall also Interview the teacher concerned; The meeting of the Selection Committee for considering cases of personal promotion shall be held at least once every year.

(5) The Selection Committee Shall submit its recommendation to the Executive Council shall subject to the provisions of clause('6), grant personal promotion on the basis of such recommendation.

(6) The benefit of personal promotion shall be admissible only to those teacher of University, who have exercised option for personal promotion within the time stipulated. (Substituted vide Chancellor's order dt. 7/9/2007 Appendix- III)

(7) If an incumbent Assistant Professor/Assistant Professor in Senior Scale/Assistant Professor. In selection grade/Associate Professor ((Promotion) is found suitable and recommended accordingly for promotion to the next higher Senior Scale/Selection/Selection Grade /Associate Professor Grade/Professor Grade by the duly constituted Screening/Selction Committee at the first instance, the next higher grade and designation would be admissible to him from the date of eligibility or 27th of July 1998 whichever is later.

(8) As a result of personal promotion, (under CS) there shall be no reduction in the work load of the teacher of the University and he shall continue to preformed all duties assigned to the post to which he was appointed under section 31 of the Act.^z

(9) In case a teacher of the University is not found suitable for personal promotion (under CS) he may offer himself again for such promotion after two years and shall be considered by the Selection Committee along with the teachers of the university who have since become eligible.

(10) In case the S election Committee does not find the teacher of the University suitable for personal promotion (under CS) it shall state the reasons.

(11)(i) The post of Associate Professor or Professor, to which personal promotion (under CS) is made shall be deemed to be temporary addition to the cadre of Professor of Associate Professor, as the case may be, and the post shall stand abolished on the incumbent ceasing to occupy it.

(ii) On the Associate Professor ceasing to occupy the post of Professor to which he was given personal promotion, new appointment, if any shall be made on the post of Associate Professor and similar on the Assistent Professor ceasing to occupy to post of Associate Professor, new appointment, if any shall be made on the post of Assistant Professor.¹⁴

^z *Inserted by E.C. and approved by Chancellor's Vide his Letter E. 4681/GS dated 14 Aug. 1995*

¹⁴ . *Statute 11-12(B) Inserted Fourteenth Amendment vide 28-3-1989.*

Part II
QUALIFICATIONS AND APPOINTMENT OF
TEACHERS IN THE AFFILIATED COLLEGES

Amendment
 Statute of 11.13

3- In Statutes 11.13 of the said Statutes-

(a) For clauses (1) to (7), the following clauses shall be substituted, namely- [Amendment dated 23-3-89]

(1) In the case of any college affiliated with University the minimum qualification for the post of Assistant Professor in the Faculties of Arts (except the Department of Drawing, Painting and Music) and the Faculties of Commerce Science shall be Master's degree or an equivalent degree of a foreign University in the relevant subject with at least 55 percent marks or its equivalent grade and consistently good academic record.

(2) In the case of any college affiliated with the University the minimum qualification for the post of a Assistant Professor in the Faculty of Education shall be Master's degree or an equivalent degree of a foreign University in Education (that is M.Ed. degree) with at least 55 percent marks for its equivalent grade and consistently good academic record.

(3) In the case of any college affiliated with University the minimum qualifications for the post of Assistant Professor in the Faculties of Law shall be a degree of Master's of Law or an equivalent degree of a foreign University with at least 55 percent marks or its equivalent grade and consistently good academic record.

(4) In the case of any college affiliated with the University the following shall be the minimum qualification for the post of Assistant Professor in the Department of Drawing, painting and Music in the Faculties of Art, namely:-

Either

Master s degree or an equivalent degree or diploma recognized by the University in the relevant subject with at least 55 percent marks or its equivalent grade and consistently good academic record.

Or

A traditional or a professional artist with highly commendable professional achievement in the subject concerned.

(5) For purposes of this Statute, the expression "consistently good academic record" in relation to the Faculty of Education or Faculty of Law or other Faculties shall have the same meaning as given to it in sub— clause(a) sub-clause(b) or sub-clause(c) of clause(5) of Statutes 11.01, as the case may be.^z

(6) For appointment to the post of Assistant Professor only those candidate shall be eligible who, beside fulfilling the minimum academic qualification prescribed for the post of Assistant Professor, have qualified in a comprehensive test, if any to be conducted as per scheme of University Grant Commission;

“Provided that a candidate -

(i) Who has passed University grants commission or Council of scientific Industrial Research Junior Research fellowship examination:

Or

(ii) Who has already been awarded Ph.D. Degree Upto Dec. 1993

Or

(iii) Who have submitted Thesis for Ph.D. Degree Upto Dec. 1993.

Or

(iv) Who have awarded M.Phil. Degree Upto December 1992.

^z Substituted Vide twenty seventh Amendment 23 march 1989.

Shall not be required to qualify in such a Comprehensive test. ^{xx}

(7) Where the confirmed teacher of an affiliated college having at least five years teaching experience who fulfilled the qualifications prescribed in the Statutes or Ordinances of the University at the time of his initial appointment to the post of Assistant Professor in that college, is a candidate for the post of a Assistant Professor in any other affiliated college, or is after retrenchment from any college where he served, a candidate for the post of a Assistant Professor in the same of any other affiliated College, the qualifications laid down in this Statute shall not be insisted upon his respect. ⁴

11.14 In the ca se of any college affiliated to the University the following shall be the minimum qualification for the post of Principal for a :-

(1) DEGREE COLLEGE

- (a) a consistently good academic record (that is to say; the overall record of all assessments throughout the academic career of a candidate) with first or high second class (that IS to Say; With an aggregate of more than 54 percent marks) Masters Degree or an equivalent degree of a foreign University, [Omitted]⁴ in a subject allied or inter connected there With]⁴ and
- b) a doctorate degree in one of the subjects tough in the college, with 7⁽⁴⁾ year experience of teaching degree classes :

^{xx} Inserted Vide twenty eight Amendment Dec.31, 1990 and twenty nine Amendment dated 13 Jan 1995.

⁴ *Fourth Amendment dated 30-9-80*

^x *Twenty Ninth Amendment dated 18-1-95*

Provided that if a candidate possesses 12⁽⁶⁾ year or more experience of teaching degree classes 7⁽⁶⁾ years or more experience of teaching post-graduate classes or if he is or has been a confirmed Principal of four year or more standing of any degree college, the Selection Committee may relax to requirement of Doctorate Degree.

* [Provided further that if the Selection Committee is of the view that the research work of a candidate as evident either from his thesis or from his published work is of a very high standard it may relax any of the qualification prescribed in sub-clause (a).]⁵

(2) POST GRADUATE COLLEGE

(a) a consistently good academic record that is to say, the overall record of all assessments through out the academic carrier of candidate with first or high second class (that to say with an aggregate of more than 54 percent marks) Master's Degree or an equivalent degree of a foreign University in [Omitted]³ and

(b) a Doctorate degree in one of subject taught in the college, with 7 year experience of teaching post graduate classes or 20 years or more experience of teaching degree classes or seven year's experience of principal ship of a Degree College or if he is or has been confirmed Principal of five years or more standing of any postgraduate college, the Selection Committee may relax the requirement of Doctorate Degree.

“[Provided further that if the Selection Committee is of the view that the research work of a candidate as evident either from his thesis or from his published work

⁶ *Sixth Amendment dated 21-1-81*

⁵ *Fifth Amendment dated 6-10-80*

⁴ *Omitted Vide 29th Amendment dated 18-1-95*

- is of a very high standard it may relax any of the qualifications prescribed in subclasses(a):]⁵
- Section 31 & 49(0) 11.15 The provisions of statutes 11.03 to 11.12 (excepting Statutes 11.08) shall mutatis mutandis apply in case of appointment of Principals and teachers of affiliated colleges as they apply to the teachers of the University.
- Section 31 & 49(o) 11.16 The travelling and daily allowances of the members of the Selection Committee for the appointment of Principals and teachers of affiliated college shall be borne by the college concerned.

CHAPTER XII CAMPUSES

- Sections 41 12.01 The following shall be the Campuses of the University :-
- (1) Dev Singh Bisht Campus, Nainital
 - (2) S.S. Jeena Campus, Almora

CHAPTER XIII Affiliated College

- Section 37 13.01 The list of colleges affiliated to the University as on the date of the publication of these Statutes is given in Appendix 'E'.

Affiliation of New Colleges

- Section 37 & 49(m) 13.02 Every application for affiliation of a college shall be made so as to reach the Registrar not less than 12 months before the commencement of the session in respect of which the affiliation is sought:
- Provided that the Chancellor may in special circumstance, reduce the said period in the interest of a higher education to such extent as he may deem necessary.

⁵ *Fifth Amendment dated 6-10-80*

Section 37 & 49(m)

13.03 Every application for affiliation of a college shall be accompanied by a Bank Draft payable to the University, for a sum of Rs. 2,000 which will be nonrefundable.

(a) that provision of. statutes 13.05, 13.06 and 13.07 have been complied with;

(b) That the institution satisfies the demand for higher education in the locality;

(c) that the Management concerned has provided or has adequate financial resources to provide for:-

(i) suitable and sufficient buildings;

(ii) adequate library, furniture, stationery, equipment and laboratory facilities;

(iii) two hectares of land (excluding covered area);

(iv) facilities for health and recreation of the students;

(v) payment of salary and other allowance to the employees of the college for at least three years.

Sections 37
& 49(m)

13.05 The constitution of Management of every college shall provide that :-

(a) the Principal of the college shall be ex-officio member of the Management;

(b) twenty-five percent of the members of the Management are teachers (including the Principal);

(c) the teachers (excluding the principal) referred to in clause (b) are such members for a period of one year by rotation in order of seniority;

[(cc) one member of the Management shall be from the non teachings class III employees of the college selected for a period of one year by rotation in order of seniority]⁴

(d) subject to the provisions of clause(c) no two member of the Management shall be related to each other within the meaning of the Explanation to section 20;

⁴ Fourth Amendment dated 30-9-80

(e) no change in the said constitution shall be made except with the prior permission of the Vice Chancellor;

(1) if any question arises whether any person has been duly chosen as, or is entitled to be a member or office bearer of the Management or whether the Management is legally constituted the decision of the Vice-Chancellor shall be final;

(g) the colleges is prepared to place before any persons or person authorized by the Vice Chancellor or before the penal of Inspectors appointed by the University all original documents pertaining to income and expenditure of the college including the accounts of the Society Trust, Board or Parent body under which it may be operating;

(h) the income from the Endowment Funds referred to in Statute 13.06 shall be available for the maintenance of the college.

Sections 37
& 49(m)

13.06 (1) For every college (other than a college exclusively maintained by the State Government or by a local authority), there shall be a separate endowment fund which shall be pledged with the Registrar of the University and which shall not be alienated so long as the college continues to exist, of the value of

(i) Rs. 2.5 Lac in the case of a college applying for affiliation in Arts.

(ii) Rs. 2.5 Lac in case of a college applying for affiliation in Commerce,

(iii) Rs. 2.5 Lac in case of a college applying for affiliation in Education,

(iv) Rs. 2.5 Lac in case of a college applying for affiliation in Law, (v) Rs 3 Lakes in case of a college applying for affiliation in Science, to be provide exclusively for degree classes.

(2) if the college wants affiliation up to postgraduate standard, additional Endowment Fund of the value of Rs. 20,000 per subject in the case of Arts,

Commerce, Education or Law and Rs.\$0,000 per subject in the case of Science shall have to be provided for.

(3) Such Endowment Funds shall be invested in Fixed Deposit Account of a Scheduled Bank or in such other manner as the University may direct.

Section 37 &
49(m)

13.07 A college seeking affiliation in any course requiring laboratory work shall further satisfy the University that 1.6

(a) separate laboratory are provided in each branch of Science and that each of them is suitable equipped, and

(b) sufficient and suitable apparatus and equipment are provided for the carrying of experimental work.

Section 37
& 49

13.08 If the Vice-Chancellor is satisfied with regard to matters in the preceding Statutes, the application shall be placed before the Executive Council which shall appoint a panel of Inspectors to inspect the college and make a detailed report on all relevant matters. The Panel so appointed shall include the Regional Deputy Director of Education in the case of Boy's or Co-educational college and the Regional Inspectors in the case of Girls' college.

Section 37(8)
and 49(m)

13.09 Ordinarily all inspections shall be completed within 4 months of receipt of an application for affiliation No application for affiliation shall be granted by the Executive Council unless it is satisfied about the financial soundness and of the available resources of the college proposed to be affiliated on the report of the panel of inspectors. The process of grant or refusal of application should ordinarily be completed before 15th May of the year in which it is proposed to start the classes.

Sections 37
& 49(m)

13.10 Where the affiliation to a college is granted subject to certain conditions, the college shall not admit or Register students unless the Vice Chancellor after due

inspection has issued a certificate that the conditions imposed by the University have been duly fulfilled. If there are practical difficulties for the Vice Chancellor inspect the college personally, he may nominate a qualified person or persons to inspect the college concerned.

AFFILIATION OF COLLEGES FOR NEW DEGREES OR ADDITIONAL SUBJECTS

Sections 37
& 49(m)

13.11 Every application from an affiliated college for starting course of instructions for a new degree or in new subject shall be made so as to reach to Registrar before the 15th of August of the year preceding the one in which it is proposed the start such course.

Sections 37
& 49(m)

13.12 Each college applying for affiliation for a new degree or for a new subject, shall remit with its application a sum of Rs.200 for each subject with a minimum of, Rs. 400 and a maximum of Rs 1,000 which will be nonrefundable.

Sections 37
& 49(m)

13.13 No application for affiliation in a new subject, shall be considered unless the Registrar gives a certificate in writing that the conditions of affiliation and of previous affiliation have been fulfilled in total.

Sections 37
& 49(m)

13.14 If the Vice Chancellor is satisfied in regard to the need for such affiliation and if the college has fulfilled and continues to fulfill all conditions of previous affiliation the application shall be placed before the Executive Council which shall appoint a Panel of Inspectors and the provisions of Statute 13.08 shall apply.

Section 37
& 49(m)

13.15 Ordinarily all inspections referred to in Statute 13.14 shall be completed by the end of October, to enable the Executive Council of the University to securities the reports of inspection well in time.

Section 37

13.16 Restrictions imposed by Statute 13.10 shall apply

- & 49(m) to an affiliated college applying for affiliation for new degrees or additional subjects.
- Section 37
& 49(m) 13.17 Every affiliated college shall strictly observe the rules laid down, by the University regarding admission to colleges, residence and discipline of students.
- Section 37
& 49(m) 13.18 Every affiliated college shall make available to the University its buildings, libraries and laboratories with their equipment and appurtenances and also the services of such of its teaching and other staff as may be necessary for the purposes of conducting the University examinations.
- Section 37
& 49(m) 13.18 Every affiliated college shall have of its staff teacher having such qualification who shall be given such grades of pay, and be governed by such other conditions of services as may be laid down from time to time in the Ordinances or in the orders of State Government in that behalf.
Provided that no Ordinance relating to grades of pay the qualifications shall be made without prior approval of the state government.
- Section 37
& 49(m) 13.20 When the office of the Principal of an affiliated college falls vacant, the Management may appoint any teacher of officiate as Principal for a period of three months or until the appointment of a regular Principal, which ever is earlier. If on or before the expiry of the period of three months, any regular Principal is not appointed, or such a Principal does not assume office, the senior most teacher in the college shall officiate as Principal of such college until a regular Principal is appointed.
- Section 37
& 49(m) 13.21 Every affiliated college shall observe the conditions setout in Statutes 13.04 to 13.07:
Provided that in the case of a college. affiliated before the commencement of the these Statutes, the Vice-Chancellor may require the Management of such

college to fulfill and observe such of the conditions set out in Statutes 13.04, 13.06 and 13.07 as the Vice Chancellor considers reasonable:

Provided further that if the Management of such college fails to comply with the requirements issued under the preceding proviso within the time specified by the Vice-Chancellor, the Vice Chancellor may take steps for the withdrawal of the affiliation in accordance with Statutes 13.28 to 13.32.

Section 37
& 49(m)

13.22 Every affiliated college shall by 15th August every year submit to the Registrar a certificate from the Principal that the conditions laid down for affiliation have continued to be fulfilled..

Section 37
& 49(m)

13.23 Every affiliated college shall maintain the Registers required for affiliated colleges, and shall from time to time furnish to the Registrar returns in such forms as may be required by the University

Section 37
& 49(m)

13.24 (1) Where the Executive Council or the Vice Chancellor causes any affiliated college to be inspected it or he may communicate to the college the result of such inspection together with its or his views thereon and direct the Management regarding.

(2) Where the Management affiliated college does not take action to the satisfaction of the Executive Council, or the Vice Chancellor the council may either on its own motion or on a report to that effect received from the Vice Chancellor after considering any explanation furnished or representation made by the Management issue such direction as it may think fit and the Management shall comply with Such direction failing which the Executive Councils may proceed to take action under or in accordance with Statute 13.31.

Section 37

13.25 Information regarding all posts in the teaching staff

& 49(m) of the college that fall vacant temporarily or permanently shall be communicated to the Register within 15 days of their falling Vacant.

Section 37
& 49(m) 13.26 The number of students in a class or section in an affiliated college shall not except with the previous permission of the Vice Chancellor, exceed 60 for purposes of lectures in the class room but in no case, shall exceed 80.

Section 37
& 49(m) 13.27 Before a new section in any class opened by any college, full information regarding additional staff required (together with their qualifications and salaries, time table for the new section, accommodation available and provision for additional equipment and librarian facilities) shall be sent to the University and prior permission of the Vice Chancellor be obtained.

WITHDRAWAL OF AFFILIATION

Section 37(8)
& 49(m) 13.28 Continuance of affiliation shall depend on continued fulfillment of conditions laid down by the University.

Section 37(8)
&49(m) 13.29 An affiliated college shall deemed have been disaffiliated if it fails to Send any candidate for an examination conducted the University for three successive years.

Section 37(8)
&49(m) 13.30 The Executive Council may direct a college not to admit students to a particular class if the conditions laid down for starting the class have in the opinion of the Executive Council been disregarded by the college concerned. The classes may, however, be restarted with the prior permission of the Executive Council when the conditions are fulfilled to its satisfaction.

Section 37(8)
& 49(m) 13.31 If a college disregards of the University regarding the fulfillment of the conditions of affiliation and fails to fulfill the conditions is spite of notice issued by the University, the Executive Council may, the previous

Sanction of the Chancellor, suspend the affiliation till the conditions are fulfilled to the satisfaction of the Executive Council.

Section 37(8)
& 49(m)

13.32 (i) The Executive Council may, with the prior sanction of the Chancellor, deprive an affiliated college of the privileges of affiliation either wholly or for any degree or subject, if it fails to comply with the directions of the Executive Council or to fulfill the conditions of affiliation or for gross mis-management, or if for any other reason the Executive Council is of opinion that the college should be deprived of such affiliation.

(2) If the salaries of the staff are not paid regularly, or if the teachers are not paid their salaries to which they were entitled, under the Statutes or the Ordinances, the affiliation of the college concerned, would be liable to withdrawal.

Section 37(8)
& 49(m)

13.3 The Executive Council shall, before taking any action under the preceding Statutes, call upon a college to take, within a specified period, such action as may appear to it to be necessary in respect of any of the matters referred to in the conditions of affiliation.

Section 49(a)

13.34 Whenever there is a dispute regarding the management of an affiliated college, persons found by the Vice Chancellor to be in actual possession and control of the college properties may, for purpose of the Act and these Statutes be recognized to constitute the Management of such college until a court of competent jurisdiction orders otherwise :

Provided that the Vice Chancellor shall before making an order this Statutes, afford opportunity to the rival claimants to make written representations.

EXPLANATION—In determining the question as to who in the actual possession and control of the college properties the Vice-Chancellor shall have regard to the control over the funds of the Institution and over the actual administration, the receipt of the income from the property of the Institution and to other relevant

circumstances which might have bearing on the question to be determined.

FINANCE, AUDIT AND ACCOUNTS

- Section 49 13.35 (1) The Management of each affiliated college shall be assisted by a Finance Committee which Shall consist of
- (i) The President or the Secretary of the Management, who shall be the Chairman;
 - (ii) Two other members elected by the Management from amongst themselves;
 - (iii) The Principal (Ex-Officio);
 - (iv) The senior most teacher member of Management (Ex-Officio),
- (2) The Principal of the college Shall be Secretary of the Finance Committee and be entitled to convene its meeting.
- Section 49 13.36 The Finance Committee shall prepare the annual budget of the college, (except of the Students Funds) which shall be placed before the Management for their consideration and approval.
- Section 49 13.37 New expenditure, not already included in the budget of the college, shall not be incurred without reference to the Finance Committee.
- Section 49 13.38 The recurring expenditure provided for in the budget shall be controlled by the Principal subject to any specific directions that may be given by the Finance Committee.
- Section 49 13.39 All students Funds shall be administered by the Principal assisted by different committee such as Games and sport Committee magazine Committee, Reading Room Committee and the like which shall include representatives of students of the college concerned.
- Section 49 13.40 Accounts of the Student's Funds shall be audited by a qualified auditor appointed by the Management not

from amongst its members. The audit fees will be a legitimate charge on the student's funds of the college. The audit reports shall be placed before the Management.

Section 49

13.41 The Student's Funds and Fee income from the Hostel's shall be transferred id any other fund and no loan shall be taken from these funds for any purpose whatsoever.

**CHAPTER XIV
CONFERMENT AND WITHDRAWAL
OF DEGREES AND DIPLOMAS**

Sections 7(6) 10(2)&
49(h)

14.01 (1) The Degree of Doctor of Letters (D. Lit.) or Mahamahopadhyaya, Honors Cause, may by conferred upon such persons as have contributed substantially to the advancement of Literature, Philosophy, Art, Music, Painting and other subject assigned to the Faculty of Arts, or for conspicuous services rendered by them to the cause of education.

(2) The degree of Doctor of Science (D.Sc.) Honors Cause, may be conferred upon such persons as have contributed substantially to the advancement of any branch of science or technology or to planning, organizing or developing scientific and technological institutions in country.

(3)The degree of Doctor of Laws(L.L. D.) Honors Cause, may be conferred upon persons, who are distinguished lawyers, judges or jurists or statesmen who have noteworthy contribution to the public good.

Sections 7(6) 10(2)
& 49(h)

4.02. The Executive Council may, sue mote or on the recommendation of the Academic Council by a resolution passed by a majority of its total membership and also of not less than two-thirds of the members present and voting submit a proposal for conferment of an honorary degree, to the Chancellor for confirmation under section 10(2)

Provided that no such proposal shall be submitted in respect who is a member of any authority or body of the University.

Sections 49(l) & 67

14.03 Before taking any action under section 67 for the withdrawal of any degree, diploma or certificate conferred or granted by the University, the person concerned shall be given an opportunity to explain the charges against him. The charges framed against him shall be communicated by the Registrar by registered post and the person concerned shall be required to submit his explanation within period of not less than fifteen days of the receipt of the charges.

Section 49(1) & 67

14.04. Every proposal for the withdrawal of an honorary degree shall require previous sanction of the Chancellor.

*14.05 (a) An Institute maybe recognized by the Executive Council as an institution where research may be carried on in the fulfillment of the requirements of section 7(4) (b) of the Act after it has been recommended by the Academic Councils with the concurrence of the Board of the Faculty concerned. The recognition so granted may be withdrawn by the Executive Council on the recommendation of the Academic Council made with the concurrence of the Board of the Faculty concerned.

(b) The Management of the Institute so recognized shall vest in,

(i) Committee of Management or other equivalent body appointed by the person or the body maintaining the Institute, the Constitution of which shall be reported to the Executive Council,

(ii) A Director appointed by the person or the body maintaining the Institute. OR

(e) Research work in a recognized Institute may be guided by the Director and other teachers of the Institute who may be recognized as supervisors or

* Statutes 14.05 'A' inserted vide twenty sixth amendment dt. 4-11-88.

advisors for the D. Lit. or D.Sc. or LL. D. or D. Phil, degrees of the University.

(d) The Director and Other Teachers of the Institute, if they so agree, may deliver a course of advance lectures to research students of the University with the consent of the Head of the Department concerned.

(e) Any person having requisite qualifications desirous of carrying on research work at the institute for research degree of the University shall make an application to the Registrar through the Director- of the Institute. The applications so received shall be placed before the Research Degree Committee of the University constituted under Ordinances and, if approved by the Committee the applicant shall be permitted to start work on payment of such fees as may be proscribed by the Ordinances.

(f) Any specific grant or donation received for an Institute shall be earmarked for the Institute and spent on the Institute. No part of the grant of a corresponding department of teaching in the University shall be spent for any Institute.

CHAPTER XV CONVOCATION

Sections 49(r)

15.01 (1) A Convocation to conferring its degree, diploma, and other academic distinctions may be held by the University not more than once in a year on such date and at such time as Executive Council may appoint.

(2) The special convocation may be held by the University with the prior approval of the Chancellor.

(3) The convocation shall consist of the persons specified in sub-section (1) of section 3 as constituting the body corporate of the University.

Sections 49(r)

15.02 A local convocation may be held at each affiliated college on such date and such time as the Principal may, with the prior approval of the Vice-Chancellor in writing, appoint.

- Section 49(r) 15.03 Combined convocations may be held by two or more colleges in the manner prescribed in Statute 15.02.
- Sections 49(r) 15.04 The procedure to observed at the convocation, referred to in this Chapter and other matters connected therewith shall be such as may be laid down in the Ordinances.
- Section 49(r) 15.05 Where the University, or any affiliated college, does not-find it convenient to hold the convocation in accordance with Statute 15.01 to Statute 15.04 the degrees, diplomas and other academic distinctions may be dispatched to the candidates concerned by registered post.

CHAPTER XVII

PART I

CONDITIONS OF SERVICE OF TEACHERS OF UNIVERSITY

- Section 49(d) 16.01 Except in the case of an appointment referred to in Statute 10.03 (1) or appointment under section31(3) in a vacancy cause by the grant of leave to a teacher for a period not exceeding 10 months or of an appointment under section 13(6), teachers of the University shall be appointed on a written contract in the from set out in Appendix 'B'.
- Section 49(d) 16.02 (l) A teacher of the University shall at all times maintain absolute integrity and devotion to duty and shall observe the Code of conduct as set out. In Appendix 'C' which shall form part of the agreement to be signed by the teacher at the time of appointment.
- (2) The whole time services of the members of the teaching staff be at disposal of University, and they shall not' engage, directly or indirectly in any trade or business or write notes on books prescribed for the examinations of the University, or take up any occupation including tuitions, which likely to b e interfere with the duties of their appointment, without the sanction of the University.

(3) The work relating to the examination is the part of the duty of every teacher, Refusal of the examination duties will be deemed to be misconduct within the meaning of Statutes 16.04 (l)⁴

16.03. A breach of any of the provision, of the code of conduct as set out in Appendix 'C' shall be deemed to be a misconduct with in the meaning of statute 16.04 (1).

Section (49(d)

16.04 (1) A teacher of the university may be dismissed or removed at his services terminated on one or more of the following grounds :-

- (a) willful neglect of duty;
- (b) misconduct;
- (c) breach of any of the terms of contract of service;
- (d) dishonesty conducted with the University Examination.
- (e) Scandalous conduct or conviction for any offence involving morally turpitude;
- (f) physical or mental unfitness;
- (g) incompetence;
- (h) abolition of the post.

(2) Except as provided by section 31(2) not less than three months notice (or where notice is given after the month of October then three months notice or notice ending with the close of the session, whichever is longer) shall be given on either side for terminating the contract, or in lieu of such notice, salary for three months (or such longer period as aforesaid) shall be paid or refunded as the case may be :

Provided that where the University dismisses or removes or terminates the service of a teacher of the University under clause (1), or where the teacher terminates the contract for breach of any of its terms by the university no such notice shall be necessary :

Provided further that the parties will be free to waive the condition of notice in whole or in part by mutual agreement.

⁴ *Fourth Amendment dated 30-9-80*

Sections 32(2) & 49(d)

16.05 The original contract of appointment referred to in section 32 shall be lodged with the Registrar for registration within three months of the date of appointment.

Sections 21(1) (XVII)
& 49(d)

16.06 (1) N6 61-661- dismissing removing or terminating the services of a teacher of the University on any ground mentioned in clause (l) of Statute 16.04 (except in the case of a conviction for an offence involving moral turpitude or of abolition of post), shall be passed unless a charge has been framed against the teacher and communicated to him with a statement of the grounds on which it is proposed to take action and he has been given adequate opportunity :-

(i) of submitting a written statement of his defense;

(ii) Of being heard in person, if he so desires; and

(iii) Of calling and examining such witnesses in his defense as he may desire:

Provided that the Executive Council or an officer authorized by it to conduct the enquiry may, for sufficient reasons to be recorded in writing refuse to call any witness.

(2) The Executive Council may, at any time ordinarily within two months from the date of the Inquiry Officer's report, pass a resolution dismissing or removing the teacher concerned from service or terminating his services mentioning the grounds of such dismissal, removal or termination.

(3) The resolution shall forthwith be communicated to the teacher concerned.

(4) The Executive Council may, instead of dismissing, removing or terminating the services of the teacher, pass a resolution inflicting one or more of the lesser punishments namely reducing the pay of the teacher for a specified period not exceeding three years stopping increments of his salary for a specified period and depriving the teacher of his pay (but not the

subsistence allowance) during the period of his suspension, if any.

Sections 21(l) (xvii)
&49(d)

16.07 (l) The Disciplinary Committee referred to in Statute 8.01 may recommend the suspension of a an enquiry into charges against on the grounds mentioned in sub-clause (a) to (e) of clause (1) of Statute 16.04. The order of suspension if passed in contemplation of an inquiry shall cease at the end of four weeks of its operation unless the teacher has in the meantime been communicated the charge or charges on which the inquiry was contemplated.

(2) A teacher of the University shall be deemed to have been placed under suspension :-

(a) with effect from the date of his conviction, if in the event of a conviction for an offence, he is sentenced to a term of imprisonment exceeding 48 hours and is not forthwith dismissed or removed consequent to such conviction;

(b) in any other case, for the duration his detention, if he is detained in custody, whether the detention is for any criminal charge or otherwise.

EXPLANATION - The period of 48 hours referred to in sub-clause (a) of this clause, shall be computed from the commencement of the imprisonment after the conviction and for this purpose, intermittent periods of imprisonment, if any shall be taken into account. (3) Where the order of dismissal or removal from service of a teacher of the University is set aside or declared or rendered void in consequence of any proceeding under the Act or these Statutes or otherwise, and the appropriate officer, authority or body of the University decides to hold a further inquiry against him, then if the teacher was under suspension immediately before such dismissal or removal, the suspension order shall be deemed to have continued in force on and from the date of the original order of dismissal or removal.

(4) During the period of his suspension, the teacher of the University shall be entitled to get subsistence allowance in accordance with the provisions

of Chapter VIII of Part II of the U.P. Government's Financial Handbook, Volume II (as amended from time to time) which shall mutatis mutandis apply.

Sections 21 (1) (xvii)
& 49(d)

16.08. In computing the maximum period for purposes of clause (2) of Statute 16.06 or clause (I) of Statute of 16.07 the period during which a stay order from any court of law is right in operation, shall be excluded.

Section 34(1)

16.09 [No teacher of the University shall draw for any duties performed in connection with any examination referred to in section 34(1), in any calendar year, any remuneration in excess of one-sixth of the aggregate of his salary in that calendar year or twelve thousand rupees, whichever is less).⁴

Sections 49(d)

16.10 Notwithstanding anything contained in these Statutes :-

(I) a teacher of the University who is a member of Parliament or State Legislature shall not, through the term of his membership, hold any administrative or remunerative office in the University :

(ii) if a teacher of the University is holding any administrative or remunerative office in the University from before the date of his election or nomination as a Member of Parliament or the State Legislature, than he shall cease to hold such office with effect from the date of such election or nomination or with effect from the commencement of these Statutes whichever is later :

(iii) a teacher of the University who is elected, or nominated to Parliament or the State Legislature, shall not be required to resign or to take leave from the University for the duration of his membership or, except as provided by Statute 16.11 for attending the meeting of any House or Committee thereof.

EXPLANATION - The membership of any authority or body of the University or the;

⁴ *Fourth Amendment dated 30-9-80*

Deanship of a faculty or the Principal ship of any college shall not be deemed to be an administrative or remunerative office the purposes of this Statute.

Section 49(d)

16.11 The Executive Council shall fix a minimum number of days during which such teacher shall be available in the University for his academic duties :

Provided that where a teacher of the University is not so available because of the sessions of the Parliament or the State Legislature, he shall be treated on such leave, as may be due to him, and if no leave is due, then on leave without pay.

Part II

LEAVE RULES FOR TEACHERS OF THE UNIVERSITY

Section 49(d)

16.12 Leave shall be of the following categories :

- (a) casual leave;
- (b) privilege leave;
- (c) sick leave;
- (d) duty leave;
- (e) long term leave;
- (f) extraordinary leave;
- (g) maternity leave.
- h) Paternity leave.

Section 49(d)

16.13 Casual leave shall be on full pay for not more than seven days in a month or 14 days in a session and shall not accumulate. It will not ordinarily be combined with holidays, but in special circumstances the Vice-Chancellor may waive this condition for reasons to be recorded in writing.

Section 49(d)

16.14 Privilege leave shall be on full pay for ten working days in a session and may accumulate up to 60 working days.

Section 49(d)

16.15 Sick leave shall be on the difference between the current rate of pay and the total cost of the leave arrangements if any, with a minimum of half pay, for one month in a session and shall not accumulate.

Section 49(d) 16.16 Duty leave up to 15 working days shall be on full pay for attending of any of the University bodies, ad hoc. Committees and Conferences of which a teacher may be ex-officio member or to which he may have been nominated by the University and for conducting examinations of the University.

Section 49(d) 16.17 Long term leave, which shall be on half pay for one month in a session and may accumulate up to twelve months may be granted for reasons such as prolonged illness, urgent affairs, approved studies or preparatory to retirement :

Provided that such leave can be granted only after five years of continuous service except in the case of prolonged illness :

Provided further that in case of prolonged-illness, the leave may, at the discretion of tire Executive Council, be on full pay for a period not exceeding six months.

(Provided also that such teachers as are selected for “Teacher Fellowships” by the “University Grants Commission” or for training or study in a foreign country under any other scheme sponsored by the Commission may be granted leave on full pay for the duration of such fellowship, training in study on Such terms and condition as may be specified by the State Government).⁴

Section 49(d) 16.18 Extraordinary leave shall be without pay. It may be granted for such reasons as the Executive Council may deem fit but it shall never be granted for a period not exceeding three years, (initially but may be extended for a period not exceeding two years under special circumstances, except in the circumstance mentioned in statute 16.10).¹⁰

EXPLANATION – 1. A teacher who holds, a permanent post or who being Permanent on a lower post has been

⁴ *Fourth Amendment dated 30-9-80*

¹⁰ *Substituted by ten amendment dated May 19, 1984*

officiating in a higher post for more than three years. Shall subject to the concurrence of the State Government, be entitled to count the period of extraordinary leave sanctioned for undertaking higher scientific and technical studies towards his increment in the time scale.

EXPLANATION- 2. Subject to the concurrence of the State Government, a teacher who holds a temporary post and has been sanctioned such leave shall, on return from such leave be entitled to get his pay fixed in accordance with Fundamental Rule 27 of the Financial Hand Book, Volume II, Parts II to IV at such stage in the time scale as he would get had he not proceeded on such leave provided that the study for which such leave was sanctioned was in the public interest).⁴

Section 49(d)

16.19 Maternity leave on full pay may be granted to female teachers for a period which may extend up to three months but not exceeding six weeks from the date of confinement :

Provided that such leave shall not be granted for more than three times in the entire service of the teacher.

Section 49(d)

16.20 Leave cannot be claimed as a matter of right. If the exigencies of the occasion demand, the sanctioning authority may refuse in leave of any kind and may even cancel the leave already granted.

Section 49(d)

16.21 Sick leave or long-term leave on account of prolonged illness, can be granted on the production of a medical certificate from an registered medical practitioner. In case of such, leave exceeding 14 days the Vice-Chancellor shall be competent to call for a second certificate of a Registered Medical Practitioner approved by him.

Section 49(d)

16.22 The authority competent to grant shall be the vice-Chancellor except in the case of long term leave

⁴ *Fourth Amendment dated 30-9-80*

and extraordinary leave, which shall be granted by the Executive Council.

Part III

AGE OF SUPERANNUATION

Section 49(d) 16.23 In this Part, the expression "new scale of pay" means the scale of pay admissible to a teacher in accordance with the G.O. no Sheikhs-XI-9045/XV-(7) 73,dated December 28, 1974, as amended from time to time.

Section 49 16.24 (1) The age of superannuation of a teacher of the University, whether governed by the new scale of pay or not shall be sixty five years.

(2) No extension in service beyond the age of superannuation shall be granted to any teacher after the date of commencement of these statutes.

[Provided that a teacher :-

(I) a teacher whose date of superannuation does not fall on June 30, shall continue on service till the end of the academic session, that is June 30, following and will be treated as on re-employment from the date immediately following his superannuation till June, 30, following.^{xx}

(Provided further that such physically and mentally fit teachers shall be re-appointed for a further period of two years, after June 30, following the date of their superannuation as were imprisoned for taking part in freedom struggle of 1942 and are getting freedom fighters pension).¹⁶

Provided also that the teachers who were re-appointed in accordance with the second proviso as it existed prior to the commencement to the Kumaun University (Twenty-third amendment). First Statute, 1988 and a period of one year has not elapsed after the expiry of the period of their reemployment, may be considered for re-appointment for a further period of one year.¹⁷

^{xx} Substituted Vide 15th amend. dated 21-6-85 and again vide 20th amend. dated 21-12-87

¹⁶ Insert vide 16th amendment dated 4-9-85

¹⁷ Insert vide 23rd amendment dated 30-6-88

Section 49 16.25 Every teacher of the University who on August 1,1975 was serving on extension beyond the age of superannuation specified in Statute 16.24 and such extension was granted before the said date, shall retire on the expiry of the period of extension in accordance with the provision of the Statutes and ordinances in force on the said date', but such teacher shall not be entitled to avail the new scales of pay.

Section 49 16.26 The date of superannuation of a teacher of the University shall, subject to the provisions of Statute 16.24, be the date immediately preceding the 60th birth anniversary of such teacher.

Part IV

OTHER PROVISIONS

Sections 32 a &49 16.27. Any contract of appointment between teacher and University entered into before the commencement of these Statutes shall subject to the provisions of the Statutes contained in this Chapter, and shall be deemed to be modified in accordance with the provisions of this Chapter and in accordance with the terms contained in the form set out in Appendix 'B' read with Appendix 'C'.

Sections 49 16.28. A teacher of the University dismissed on any of the grounds mentioned in clause (b), clause(c) clause(a)or clause(e) of Statute 16.04 (1) shall not be re-employed in any University or in any college affiliated or associated with any Such University in any capacity.

Section 49 16.29. (1) Every teacher of the University shall prepare in duplicate his Annual Academic Progress Report in Form 3 of Appendix 'D'. The original report shall be lodged with the Vice-Chancellor and the copy thereof shall be retained b the teacher himself.

(2) The Original report shall, before being lodged with the Vice-Chancellor, be countersigned in the case of teachers other than the Head of a Department by the Head of the Department concerned.

(3) The report in respect of an academic session shall be lodged by the end of July following the said session, or within one month from the close of the session whichever is later.

Section 49 16.30. Every teacher of the University shall be bound to comply with the directions of the officers and authorities of the University in connection with the examinations conducted by the University.

Section 49 16.31. Where under the provisions of the Act or these Statutes or the Ordinances, a teacher is required to be served with any notice and such teacher is not in station, the notice may be sent to him by registered post at his last known address.

CHAPTER XVII

Part I

CONDITIONS OF SERVICE OF TEACHERS OF AFFILIATED COLLEGES

Section 49(o) 17.01. The provision of this Chapter shall not apply to the teachers of any college exclusively maintained by the State Government or a local authority.

Section (a) 17.02. Except in the cases of an appointment under section 3 (1) in a vacancy caused by the grant of leave to a teacher for a period not exceeding 10 months, teacher of an affiliated college shall be appointed on a written contract in Form (1) or Form (2) set out in Appendix 'D', as the case may be.

Section 49(o) 17.03 (1) A teacher of an affiliated college shall at all times maintain absolute integrity and devotion to duty and shall observe the Code of Conduct as set out in Appendix 'C', which shall form part of the agreement to be signed by the teacher at the time of appointment.

(2) A breach of any of the provisions of the Code of Conduct as set out in Appendix 'C' shall be deemed to be a misconduct within the meaning of Statute 17.04(1).

"((3) The whole time services of the time as the members of the teaching staff shall be at the disposal of the college, and they shall not engage, directly or indirectly in any trade or business or write notes on books prescribed for the examinations of this University or take up any occupation including tuitions, which is likely to interfere) with the duties of their appointment without the sanction of the University.

(4) The work relating to the examination is the part of the duty of every teacher. Refusal of the examination duties Wm be deemed to be misconduct within the meaning Statute 117.04 (l)"¹⁷

Section 49

17.04. (1) A teacher of an affiliated college (other than a Principal) may be dismissed or removed or his services terminated on one or more of the following ground :

- (a) will ful neglect of duty;
- (b) misconduct, including disobedience to the orders of the Principal;
- (c) breach any of the terms of contract of service;
- (d) dishonesty Connected with the University Or Collage examinations.
- (e) scandalous conduct or conviction for an offence involving moral turpitude;
- (f) physical or mental unfitness;
- (g) incompetence;
- (h) abolition of the post with the prior approval off the Vice-Chancellor.

(2) A Principal of an affiliated college may be dismissed or removed or his services terminated on

¹⁷ *Third amendment dated November 5, 1979*

grounds mentioned in clause (1) or on the ground of continued mismanagement of the college.

(3) Except as provided by clause (4), not less than three months notice or where notice is given after the month of October, than three months notice or notice ending with the close of the session whichever is longer) shall be given on either for terminating the contract of service or in lieu of such notice, salary for three months (or longer period as aforesaid) shall be paid or refunded, as the. Case may be :

Provided that where the Management dismisses or removes or terminates the services of a teacher, under clause(1) or clause(2) or when the teacher terminates the contract for breach of any of its terms by the Management, no such notice shall be necessary.

Provided further that the parties will be free to waive the condition of notice, in whole or in part by mutual agreement.

(4) In the case of any other teacher appointed in a temporary or officiating capacity his service shall be terminable, by one month notice or on payment of salary in lieu thereof, on either side.

Section 49(o)

17.05. The original contract of appointment of a Principal or other teacher shall be lodged with the University for registration within three months of the date of appointment.

Section 49(o)

17.06. (I) No order dismissal, removing, or terminating the service of, a teacher on any ground mentioned in clause (1) or clause (2) of Statute 17.04 (except in the case of a conviction for an offence involving moral turpitude or of abolition of post) shall be passed unless a charge has been framed against the teacher and communicated to him with a statement of the grounds on which it is proposed to take action and he has been given adequate opportunity :-

(I) of submitting a written statement of his defense;

(ii) of being heard in person, if he so desires; and

(iii) of calling and examining such witnesses in his defense as he may desire :

Provided that the Management or the officer authorized by it to conduct the inquiry may, for sufficient reasons to be recorded in writing, refuse to call any witness.

(2) The Management may, at any time, ordinarily within two months from the date of the Inquiry Officer's report pass a resolution dismissing or removing the teacher concerned from service, or terminating his service mentioning the- grounds of such dismissal, removal or termination.

(3) The resolution shall forthwith be communicated to the teacher concerned and also be reported to the Vice-Chancellor for approval and shall not be operative unless so approved by the Vice-Chancellor.

(4) The Management may instead of dismissing, removing, or terminating services of the teacher pass a resolution inflicting one or more of the following lesser punishments namely: -

(i) reduction of pay for a specified period;

(ii) stoppage of annual increments for a specified period not exceeding three years;

(iii) deprivation of his pay not including subsistence allowance during the period of his suspension, if any.

The resolution by the Management inflicting such punishment shall be reported to the Vice-Chancellor and shall be operative only when and to the extent approved by the Vice-Chancellor.

Section 49(o)

17.07. The Management shall have the power to suspend a teacher during the pendency or in contemplation of an inquiry into charge against him, on the grounds mentioned in sub-clauses (a) to (e) of clause (1) of Statute 17.04 In an emergency (in the case of a teacher other than principal) this power may be exercised by the principal in anticipation of the approval of the management. The principal shall immediately

report such case to the management. The order of suspension if passed in contemplation of an inquiry, shall cease at the end of four weeks of its operation unless the teacher has in the meantime been communicated the charge or charges on which the inquiry was contemplated.

Section 49

17.08 In computing the maximum period for purposes of clauses (3) of statues 17.06 and statues 17.07 any period during which a stay order from any court of law is in operation shall be excluded.

“[17.09 No teacher of an affiliated college shall draw for any duties performed in examination referred to in section 34(1) in any calendar year any remuneration in excess of one-sixth of the aggregate of his salary in that calendar year or three thousand rupee whichever is less].”⁴

Section 49

17.10 notwithstanding anything contained in these statues.

(1) a teacher of an affiliated college who is a member of Parliament or State Legislature shall not, throughout the term of his membership hold any administrative or remunerative office in the college or in the University.

(ii) if a teacher of an affiliated college is holding any administrative or remunerative office in the college or in the university from before the date of his election or nomination as a member of the parliament or the state legislature then he shall cease to hold such office with effect from the date of such election or no nomination or with effect from the commencement of these statues whichever is later:

(iii) a teacher of an affiliated college who is elected or nominated to the parliament or the state legislature shall not be required to resign or to take leave from such college for the duration of his membership; or except as provided by statute 17.11, for attending the meeting of any House or committee thereof.

⁴ *fourth amendment dated 30.9-80*

EXPLANATION - The membership of any authority or body of the University or the Deanship of a Faculty or the Principal ship of any college shall not be deemed to be an administrative or remunerative office or the purpose of this Statute.

Section 49

17.11. The management of an affiliated college shall, with prior approval of the Vice-Chancellor, fix a minimum number of days during which such teacher shall be available in the college for his academic duties :

Provided that where a teacher of the college is not so available because of the session of the Parliament or the State Legislature, he shall be treated on such leave as may be due to him, and if no leave is due, then on leave without pay.

Part II

LEAVE RULES FOR TEACHERS OF AFFILIATED COLLEGES

Section 49

17.12. The provisions of Statutes 16.12 to 16.22 relating to the Leave Rules of teachers of the University shall be applicable to the teachers of an affiliated college with the substitution of the words "Management" and "Principal" for the words "Executive Council" and "Vice-Chancellor" respectively.

Part III

AGE OF SUPER ANNUATION

Section 49

17.13 In this part, the expression "new scale of pay" shall have the meaning assigned to it in Statute 16.23.

Section 49

17.14. (1) The age of superannuation of such a teacher of an affiliated college shall be 65 years.

(2) The date of superannuation of such teacher shall be the date immediately preceding his 65 birth anniversary.

17.15 No extension in service beyond the age of superannuation shall be granted to any teacher after the commencement of these Statutes:

“[Provided that a teacher :-

In Statute 17.15 of the said statutes for the existing provision, the following proviso shall be substituted :

"Provided that a teacher whose date of superannuation does not fall on June 30 shall continue in Service Im the end or the academic session, that is, June 30 following and will be treated as on re-employment his superannuation till June 30, following"²⁰

"[Provided further that such physically and mentally fit teachers shall be re-appointed for a further period of two years, after June 30 following the date of their superannuation as were imprisoned for taking part in freedom struggle of 1942 and are getting freedom fighters pension.]"¹⁶

Provided also that the teachers who were re-appointed in accordance with the second provision as it existed prior to the commencement of the Kumaun University (Twenty third Amendment). First Statute, 1988 and 3 period Of one year has not elapsed after the expiry of the period of their re-employment, may be considered for re-appointment for a further period of one year"¹⁷

Section 49(o)

17.16 Every teacher of an affiliated college who On Aug. 1,1975 was Serving On extension beyond the age of superannuation specified in Statute 17.14 and where such extension was granted before the said date, shall retire on the expiry of the period of extension in accordance with the provisions of the Statutes and Ordinance in force on the said date, but such teacher shall not be entitled to avail the new scale of pay.²⁰

Substituted of 15 amendment dated 21-6-85 was again Substituted vide 20th amendment dated 21-12-87

¹⁷ *Inserted vide 23rd amendment dated 30-6-88*

Part IV
OTHER PROVISIONS

Section 49(o)

17.17. Any contract of appointment between a Principal or other teacher of an affiliated college and the Management entered into before the commencement of these Statute shall be subject to the provisions of the Statutes contained in this Chapter and shall be deemed in accordance with the provisions of this Chapter and in accordance with the terms contained in the Form (1) or (2), as the case may be, set out in Appendix 'D' read Appendix 'C'.

Sections 35
&49(o)

17.18. A teacher of an affiliated college dismissed on any of the grounds mentioned in clause (b), clause (c) clause (d) or clause (e) of Statute 17.04 (1) shall not be re-employed in any University or in any college affiliated to or associated with any University in any capacity.

Sections 16(d)
&49(dd)

17.19. The provisions of clause (2) to (4) of the Statute 16.07, Statute 16.29, 16.30 and 16.31 shall mutatis mutandis apply to every teacher of an affiliated college with the following modifications, namely :-

(a) In clauses (2) to (4) of Statute 16.07, for the words a "Vice-Chancellor", and "Executive Council", the word "Management" and "Vice-Chancellor" shall respectively be substituted.

(b) In Statute 16.29 for the words "Vice-Chancellor" and "Head of the Department", the word "Principal" and the "senior-most Assistant Professor in the Department" shall respectively be substituted.

CHAPTER XVIII

Part I

SENIORITY OF THE TEACHERS OF UNIVERSITY

Section 16(4)
&49(d)

18.01 The Statutes contained in this Chapter shall not affect the inter seniority of teachers employed in the

University from before the commencement of these Statutes.

Section 49(d)

18.02. It shall be the duty of the Registrar to prepare and maintain, in respect of each category of the University, a complete and up to date seniority list in accordance with the provisions hereinafter appearing.

Section 49(d)

18.03. The seniority among Deans of the Faculties shall be determined by the length of total period of service they have put in Deans of the Faculties :

Provided that when two or more Deans have held the said office for equal length of time, the Dean who is senior in age shall be considered to be senior for the purposes of this Chapter.

18.04. The seniority among Heads of the Department shall be determined by the length of the total period of service they have put in as Head of the Department :

Provided that when two or more Heads of the Department have held the said office for equal length of time the Head of the Department who is senior in age shall be considered to be senior for the purposes of this Chapter.

18.05. The following rules shall be followed in determining the seniority of teachers of the University :-

(a) A Professor shall be deemed senior to every Associate Professor, and a Associate Professor shall be deemed senior to every Assistant Professor.

(b) In the same cadre, interse seniority of teachers, appointed by personal promotion or by direct recruitment, shall be determined according to length of continuous service in substantive capacity in such cadre :

Provided that where more than one appointments have been made by direct recruitment at the same time and an order of preference or merit was indicated by the Selection Committee or by the Executive Council, as the case may be, the interse seniority of persons so appointed shall be governed by the order so indicated :

Provided further that where more than one appointments have been made by promotion at the

same time, the interse seniority of the teachers so appointed shall be the same as it was in the post held by them at the time of promotion.]”¹⁸

(c) When any teacher holding substantive post in any University (other than the University of Kumaun or in any constituent college or in any Institute) “[Whether in the State of Uttar Pradesh or outside Uttar Pradesh is appointed whether before or after August 1,1991.]” to a post of corresponding rank or grade in the University, the period of service rendered by such teacher in that grade or rank in such University shall be added to his length of service.

(d) When any teacher holding substantive post in any college affiliated to or associated with any University is appointed “[whether before or after the commencement of these Statutes]” as Assistant Professor in the University, then one half of the period of substantive service rendered by such teacher in such college shall be added to his length of Service.

(e) Service against an administrative appointment in any University or institution shall not count for the purposes of seniority.

EXPLANTATION – In this Chapter, the expression ‘administrative appointment’ means an appointment made under sub-section (6) of section ¹⁹.

(f) Continuous service in a temporary post to which a teacher is appointed after reference to a Selection Committee, if followed by this appointment in a substantive capacity to that post under section 31(3) (b) shall count towards seniority.

Section 49(d)

18..06. Where more than one teachers are entitled to count the same length of continuous service in the cadre

¹⁸ 14 Substituted [18-5(B) by]14th amendment date. 28-3-85

¹⁹ 7 Seventh amendment dated April 5, 1982

to which they belong the relative seniority of such teacher shall be determined as below :

(i) in the case of Professors, the length of substantive service as Associate Professor shall be taken into consideration;

(ii) in the case Of Associate Professors the length of substantive service as Assistant Professor shall be taken into Consideration;

(iii) in the case of Professors, whose length of service as Associate Professors is also identical, the length of service as Assistant Professor shall be taken into consideration.

Section 49(d)

18.07. Where more than one teachers are entitled to count the same length of continuous service and their relative seniority cannot be determined in accordance with any of the foregoing provisions, then the seniority of such teachers shall be determined on the basis of seniority in age.

Section 49(d)

18.08. (1) Notwithstanding anything contained in any other Statute, if the Executive Council :-

(a) agrees with the recommendation of the Selection Committee, and approves two or more persons for appointment as teachers in the same Department, it shall, while recording such approval, determine the order of merit of such teachers;

(b) does act agree with the recommendations of the Selection Committee, and refers the matter to the Chancellor under section 31(8) (a), the Chancellor shall, in case where appointing of two or more teachers in the same Department is involved, determine the order of merit of such teachers at the time of deciding such reference.

2) The order of merit in which two or more teachers are placed under clause (1), shall be communicated to the teachers concerned before their appointment

Section 49(i) & 49(d)

18.09. (l)The vice-Chancellor shall from time to time constitute one or more seniority committees consisting of

himself as Chairman and two Deans of Faculties to be nominated by the Chancellor :

Provided that the Dean of the Faculty to which the teachers (whose seniority is in dispute) belong shall not be a member of the relative Seniority Committee.

(2) Every dispute about the seniority of a teacher of the University shall be referred to the Seniority Committee which shall decide the same giving reasons for the decision.

(3) Any teacher aggrieved by the decision of the Seniority Committee may prefer and appeal to the Executive Council within sixty days from the date of communication of such decision to the teacher concerned. If the Executive Council disagrees with the Committee, it shall give reason for such disagreement.

Part II
SENIORITY OF PRINCIPALS AND TEACHERS
OF AFFILIATED COLLEGES

Section 49(o)

18.10 The following rules be followed in determining the seniority of Principals and other teachers of affiliated colleges :-

(a) the Principal shall be deemed senior to other teachers in the College;

(b) the Principal of a post-graduate college shall be deemed senior to the Principal of a Degree College;

(c) the seniority of Principals and teachers of the affiliated colleges shall be determined by the length of continuous service from the date of appointment in substantive capacity;

(d) service in each capacity (for example, as Principal or as a teacher), shall be counted from the date of taking charge pursuant to substantive appointment;

(e) service in a substantive capacity in another University or another degree or postgraduate college whether affiliated to or associated with the University or another university established by law shall be added to his length of service.

- Section 49(o) 18.11 Where more than one teachers are entitled to count the same length of continuous service, the relative seniority of such teachers shall be determined as below
- (i) in the case of Principals, the length of substantive service as a Assistant Professor shall be taken into consideration;
 - (ii) in the case of Assistant Professor, the seniority in age shall be taken into consideration.
- Section 49(o) 18.12. Where the seniority of a person as Principal is to be determined for the purpose of representation or appointment as such on a University authority, the length of service only as Principal shall be taken into account.
- Section 49(o) 18.13. (1) When two or more persons are appointed as teachers in the same department or in the same subject their relative seniority shall be determined in order of preference or merit in which their names were recommended by the Selection Committee.
- (2) If the seniority of two or more teachers has been determined under clause (1), the same shall be communicated to the teachers concerned before their appointment.
- Section 49(o) 18.14 All disputes regarding seniority of teachers (other than the Principal, shall be decided by the Principal of the College who shall give reasons for the decision. Any teacher aggrieved by the decision of the Principal may prefer an appeal to the Vice-Chancellor within 60 days from the date of communication of such decision to the teacher concerned. If the Vice-Chancellor disagrees from the principal, he shall give reasons for such disagreement.
- Section 49(o) 18.15. All disputes regarding seniority of Principals of affiliated colleges shall be decided by the Vice-Chancellor who shall give reasons for the decision. Any Principal aggrieved by the decision of the Vice-Chancellor, may prefer an appeal to the Executive Council within sixty days from the date of communication of such decision to the Principal concerned. If the

Executive Council disagrees with the Vice-Chancellor it shall give reasons for such disagreement.

Section 42 18.16. The provisions of Statutes 18.01, 18.02, 18.05 and 18.08 shall mutatis mutandis apply to the teachers and Principals of affiliated colleges as they apply to the teachers of the University.

CHAPTER XIX AUTONOMOUS COLLEGE

Section 42 19.01 The Management of an affiliated college desirous of obtaining the privileges of an autonomous college shall apply to the Registrar specifying clearly :

(a) the variations proposed in or from the course of study prescribed by the University including the institution of a course in a subject not one prescribed by the University and the University including the institution of a course in a subject not one prescribed by the University and the substitution of a course for the one prescribed by the University;

(b) the manner in which the college proposes to hold examinations in the courses so varied;

(c) the details of its finances and assets, the strength and qualification of its teaching staff, the facilities available, for the advance research work already done if any.

Section 42 19.02. No application under Statute 19.01 shall be entertained unless the college satisfies the following conditions :-

(a) It has well established departments of teaching in at least two Faculties giving instruction up to the post-graduate stage in at least six subjects;

(b) It has or is likely to have an adequate and well qualified teaching staff.

(c) The Principal is teacher or scholar of outstanding merit and possesses administrative experience.

(d) It possesses adequate and satisfactory buildings for all tuition purposes and for housing the

Library, Reading Rooms, the Laboratories and has land for future expansion.

(e) It has a good library and has or is likely to have provision for its regular development.

(f) It has well equipped Laboratories, if necessary, for the subjects taught therein, and has or likely to have adequate provision for new acquisition and replacements.

(g) The Management possesses adequate resources for meeting the extra expenditure involved in the college on attaining the status of an autonomous college.

Section 42

19.03 Every application under Statute 19.01 shall be accompanied by a Bank Draft payable to the University for a sum of Ra. 2,000 which shall be non-refundable.

Section 42

19.04 (1) Every application under Statute 19.01 shall be referred to a Standing Committee of each of the Faculties concerned for scrutiny.

(2) The Standing Committee for each of the Faculties concerned shall consist of the following members

(a) The Dean of the Faculty(Convener);

(b) A representative each of the corresponding Faculty selected by the Executive Council from any two of the Universities established by law in Uttar Pradesh.

(3) If the reports of the - Committees are favorable the Executive Council shall appoint a Board of Inspectors not exceeding six members) to inspect the college and report on its suitability for being declared as an autonomous college.

(4) The Board of Inspectors shall include the Vice-Chancellor as the Convener, and the Director of Education (Higher Education) and such other specialists of the subjects as members as the Executive Council may think fit to appoint.

Section 42

19.05 The report of the Board of Inspectors shall be considered by the Board of the Faculty concerned as

well as by the Academic Council, and shall be laid before the Executive Council together with the views of these bodies.

Section 42

19.06 (1) After considering the recommendation of the Board of Inspectors and the reports of the two bodies, referred to in Statute 19.05, if the Executive Council is of opinion that the college is entitled to the privilege mentioned in section 42, it shall submit its proposal to the Chancellor.

(2) On receipt of the proposal and other connected papers under clause (1) and after making such enquiry as may consider necessary, the Chancellor may approve the proposal or reject it :

Provided that before approving any such proposal the Chancellor may consult the University Grants Commission established under the University Grants Commission Act, 1956.

Section 42

19.07 After the recommendation of the Executive Council has been approved by the Chancellor under Statute 19.06, the Executive Council shall declare the college as an autonomous college and shall specify The matters in respect of which and the extent to which the college may exercise the privileges of an autonomous college.

Section 42

19.08 (1) Subject to the provisions of section 42, an autonomous college shall be entitled

(a) to frame the courses in the subjects covered by its privileges;

(b) to appoint persons qualified to be appointed as internal or external examiners in such subjects;

(c) to hold examinations and to make such changes in the method of examination and teaching as in its opinion are conducive to the maintenance of the standard of education.

(2) The Boards of Faculties concerned, the Academic Council and the Examination Committee may consider the action taken by the autonomous college

under clause (1), and may suggest any change, if necessary.

Section 42

19.09 (1) The results of the autonomous college shall be declared and published by the University which shall mention the name of the college which has presented the result for declaration and publication.

(2) Every autonomous college shall furnish such reports, returns and other information, as the Executive Council may from time to time, require to enable it to judge the efficiency of such college.

(3) The University shall continue to exercise general supervision over an autonomous college and to confer degrees on students of the College Passing any examination qualifying for any degree of the University.

Section 42

19.10 The Executive Council may, at any time, get an autonomous college inspected by a Board of Inspectors, • and if, after perusing the report of such inspection, it is of opinion that the college, has failed to maintain the requisite standard, or to possess the requisite resources, or that in the interest of education, it is necessary to withdraw the privileges conferred by section 42, the Executive Council may with the prior approval of the Chancellor, withdraw such privileges and there upon the college concerned shall revert to the position of an affiliated college.

Section 42

19.11 (1) For the proper planning and conduct of its work, every autonomous college shall have an Academic Council and a Faculty Board in respect of the subjects comprised in each Faculty.

(2) The Academic Council shall be composed of all the Heads of Departments, ex-officio, and two other teachers of each subjects taught for a post-graduate degree and one teacher of each subject taught for the first degree with the Principal as Chairman. The teachers shall be members of the Council by rotation in order of seniority for three years at a time, provided no teacher of less than four year's standing shall be a member.

(3) The Academic Council shall review the academic work of the College at quarterly meeting and all proposals regarding courses, examinations, etc. made by the college shall pass through the said Council.

(4) The Faculty Board shall consist of all teachers of the subjects comprised in the Faculty of three year's standing as teacher of degree classes. The Faculty Board shall meet at regular intervals (once a month if possible) to consider academic questions and advise the Principal. Proposals regarding courses, examination, etc., shall either originate in or be considered by these Faculty Boards.

Section 42

19.12 Subject to the provisions of section 42(2) and of this Chapter, the courses of study and other conditions relating to an autonomous college shall be such as may be laid down in the Ordinances.

CHAPTER XX

WORKING MEN'S COLLEGE

Section 43

20.01 (1) The management of an affiliated college, desirous of obtaining the privileges of a Working Men's College shall apply to the Registrar indicating the demand for such a college in the locality and • specifying the degree for which recognition is sought.

(2) A College will not be recognized as a Working Men' s College in the Faculties of Science, Law and Medicine.

Section 43

20.02. No application under Statute 20.01 shall be entertained unless the college satisfies the following conditions-

(i) That there is a reasonable demand for such a college in the locality and the management possesses adequate resources for meeting the extra expenditure involved towards the maintenance and running of such a college.

(ii) That the privilege of admission to the Working Men' s College shall be restricted to such persons only as are unable to be enrolled as whole time students be

reason of being engaged in business, trade, agriculture or industry, or employed in any other form of service.

(iii) that the college shall hold classes at such hours of the day as generally suits the convenience of the students and do not coincide with the usual business hours.

(iv) That the staff of the Working Men's College shall be separate and, as far as may be, they shall be employed on the whole-time basis. The college may however, at its option, employ part-time teachers also. Provided that their number does not exceed one-half of the total number of the teachers. The whole time employees of the college shall be entitled to the scales of pay as are, admissible to the employees of the affiliated colleges. The pay of part-time teacher shall, however, be fixed by the management in each individual case and such pay shall be fixed after taking into consideration the number of periods that such teacher shall be required to take per week as compared to the full time teachers, but in no case this will exceed two-thirds of the minimum of the time-scale to which he would have been entitled had he been appointed on a whole-time basis. The appointment of teachers shall be subject to the provisions of Chapter VI of the Act.

(v) That the College is prepared to observe the Statute, Ordinances and Regulations framed by the University for such a college.

Section 43

20.03. (1) Every application under Statute 20.01 shall be accompanied by a Bank Draft payable to the University for a sum of Rs. 2,000 which shall be nonrefundable.

(2) The application along with necessary papers shall reach the Registrar before 15th of August of the session preceding the one from which the recognition is sought.

20.04. (1) Every such application shall be placed before the Executive Council, and if the application is entertained, the Executive Council shall appoint a board of Inspectors to inspect the college and report on its suitability for being recognized as a Working Men's

College and the conditions on which such recognition should be granted.

(2) The report of the Board of Inspectors shall be considered by the Board of Faculty concerned as well as by the Academic Council and shall be laid before the Executive Council together with the views of these bodies.

Section 43

20.05. Subject to the provisions of the Act and the Statutes the Executive Council may, after considering the report of the Board of Inspectors, the Board of the Faculty concerned and the Academic Council, recognize any affiliated college as a Working Men's College with prior approval of the Chancellor.

20.06 Subject to the provisions of section 43 (2) the courses of study and other conditions • relating to a Working Men's College shall be, such as may be laid down in the Ordinances.

20.07 The provisions of clause (2) and (3) of Statute 19.09 and Statute 19.10 shall mutatis mutandis apply to a Working Men's College.

CHAPTER XXI

QUALIFICATIONS AND CONDITIONS OF SERVICE OF NON-TEACHING STAFF OF THE AFFILIATED COLLEGES

Section 49(o)

21.01 In this Chapter unless the context otherwise requires :-

(1) 'Class four' means a post carrying a pay scale lower than a pay-scale of a Routine Clerk and the expressions, 'Class four' employee and 'Class four' staff shall be construed accordingly.

(2) 'College' means a college affiliated to the University in accordance with the provision of the Act or the Statutes of the University but does not include a college maintained exclusively by the State Government or a local authority.

(3) 'Employee' means a salaried employee not being a teacher of a college and its grammatical variations and cognate expressions shall be construed accordingly.

[(4) Armed Forces of the Union `means' the Naval Military or Air Forces of the Union and includes the Armed Forces of the former Indian states.

(5) Disabled ex-service 'means an ex-serviceman who while serving in the 'Armed Forces of the Union was disabled in the course of operation against the enemy or in disturbed area.

(6) Ex-serviceman 'means' a person who _ had served in any rank(whether as a combatant or non combatant) in the armed forces of the Union.

For a continuous period of not less than six months :-

(i) has been released (otherwise than by way of dismissal or discharge on account of misconduct or inefficiency) or has been transferred to the reserve pending such released, or

(ii) has serve for not more than six months for completing the period of service require for becoming entitled to be so releasing or transferred to the reserve]²⁰

Section 49(d)

21.02. (1) Subject to the provisions of these Statutes the appointment to the posts referred to in Statute 21.03 shall be made by the Management of the college and appointment to the posts of class four employees shall be made by the principal.

(2) The appointing authority referred to in clause (1) shall have the power to take disciplinary action and award punishment against the class of employee of which he is appointing authority.

(3) Every decision of the appointing authority referred to in clause (2) shall, before it is communicated to the employee, be reported to the District Inspector of Schools and shall not take effect unless it has been approved by him in writing :

²⁰ *Ninth amendment dated 8-4-83 (Come in force Nov.1977) [21.01 Pam 4, 5, 6 inserted]*

Provided that nothing in this clause shall apply to termination of service on the expiry of the period for which the employee was appointed:

Provided further that nothing in this clause shall apply to an order of suspension pending enquiry, but an such order may be stayed revoked or modified by the district Inspector of Schools.

[(4) An appeal against the order referred to in clause(2) and clause(3) shall lie to the Regional Deputy Director of Education]²¹

Section 49(o)

21.03 (1) Appointment to the post Librarian, Deputy Librarian , Physical Education Inspector, Pharmacist Routine Clerk or any other post either in the pay scale of, or in a pay social higher than that of Routine Clerk other than the posts mentioned in clause (2), or clause (3) shall made by direct recruitment on the recommendation of a Selection Committee in the manner provided in clause (6), after advertisement of the vacancy in newspapers: Provided that the post of Librarian shall be filled by promotion from the post of Deputy Librarian if the incumbent of the latter post possesses the prescribed minimum qualifications for the post of the Librarian]

(2) Appointment to the post of Assistant shall be made by promotion according to seniority subject to suitability and fitness from amongst Routine Clerks.

(3) Appointment to the post of head-Clerk cum Accountant, Head Clerk, Accountant, office Superintendent and Bursar shall be made by promotion according to seniority, subject to suitability and fitness from amongst the existing employees having required qualifications and appointment to the post of Asstt. Accountant shall be made by direct recruitment.⁴ In case of non-availability of qualified and suitable candidates from amongst the existing staff appointments on posts. of Head Clerk-cum-Accountant, head Clerk, Office

²⁴ *Third amendment dated November 5, 1979*

Superintendent and Bursar may be made by direct recruitment (on the basis of the recommendation of the selection Committee after advertisement of the vacancy in newspapers.⁴

(4) Appointment of employees shall be subject to the approval of the Director of Education (Higher Education), or an officer authorized by him in this behalf. If the approving authority does not within two months from receiving the proposal for approval intimate its disapproval [or does not send any intimation in respect of such proposal" to the appointing authority, the approving authority shall be deemed to have approved the appointment.

(5) Appointment of permanent posts shall be made on probation for one year. The period of probation may be extended if the candidate's work is not found to be satisfactory provided that the total period of probation shall not exceed three years. The extended period of probation shall not count for increment.

(6) (a) The Selection Committee for appointment to the, post of Librarian. Deputy Librarian of Physical Training Inspector shall consists of

(i) the Head of the Management or a member of the Management nominated by him who shall be the Chairman;

(ii) the Principal of the college;

(iii) one officer to be nominated by the Director of Education (Higher Education).

(b) The Selection Committee for the appointment to the remaining posts referred to in clause(1) or clause (3) either by direct recruitment or by promotion shall consist of :-

(i) the head of the Management or a member of the Management nominated by him who shall be the Chairman;

(ii) the Principal of the college;

(iii) the District Inspector of Schools;

(iv) the District Employment Officer or an officer authorized by him in this behalf.

⁴ *Substituted & Inserted vide 24th amendment date 30-8-88*

(c) For purposes of direct recruitment to the posts referred to in clause (1) and (3) the vacancy shall be advertised, in at least two newspapers having adequate circulation_ in Uttar Pradesh and names of suitable candidates, shall also be obtained from the concerned District Employment Officer.

(d) names of candidates for appointment to a post in class four shall be obtained from the concerned District Employment Officer. In the event of not-availability of suitable candidate in such manner the post may be advertised.

(e) No employee shall be eligible for payment of salary from the Salary Payment Account unless the permission as contemplated by sub-clause (b) of clause (iii) of section 60-A of the Act has been accorded.

If the management does not agree with the recommendations of the Selection Committee it shall refer the matter to the approving authority along with reasons of its disagreement, and the decision of the said authority shall be final]³

Section 49(o)

21.04 Reservation shall be made for Scheduled Caste and Scheduled Tribe candidates for appointment to the posts referred to in Statutues21.06. The percentage of such reservation shall be equal to the percentage for appointment in Government service.

[21.04 (A) Ten percent of the vacancies in class III services and post and five percent of the vacancies in Class IV services and posts, including temporary vacancies which are likely to be made permanent or to continue for a period of more than one year to be filled by direct recruitment in any year, shall be reserved for being filled by ex-serviceman.^{22]}

³ *Third amendment dated November 5, 1979*

²² *Para21.04A substituted vide Ninth amendment dt.8-4-83*

(Provided that the vacancies to reserved shall be utilized first for the appointment of disabled ex-servicemen so long as the duties of the post to be so-filled are not such as the disabled ex-servicemen are incapable of performing due to their disability and if any such vacancies still remain unfilled the same shall then be utilized for appointment of other ex-serviceman ⁹

Section 49(o)

21.05 A candidate for employment in a college must be

(a) a citizen of India, or

(b) a Tibetan refugee who came over to India before January 1, 1963, with the intention of permanently settling in India, or

(c) a person of Indian origin who has migrated from Pakistan, Burma, Ceylon and East African countries of Kenya, Uganda and United Republic of Tanzania (formerly Tanganyika and Zanzibar) with the intention of permanently settling in India :

Provided that a candidate belonging to category (b) or category (c) must be a person in whose favor a certificate of eligibility has been issued by the State Government

Provided further that a candidate belonging to category (b) will also be required to obtain a certificate of eligibility from the Deputy Inspector General of Police, Intelligence Branch, Uttar Pradesh.

Section 49

21.06. (1) For appointment in a college to the posts specified below, the minimum qualification shall be as noted against each category :-

[(I-A) Notwithstanding anything contained in clause (1) :-

(i) The minimum qualification for appointment of an ex-serviceman to reserved vacancies in class III services and posts, shall be intermediate wherever the qualification prescribed in this statute is a degree of a University, and High School or any, other qualification recognized as equivalent thereto wherever the qualification prescribed in this statute is Intermediate, and there shall be no relaxation where the prescribed qualification is High School or a qualification equivalent thereto,

(ii) for class IV services and posts no educational qualification shall be required for ex-serviceman otherwise considered suitable, in the reserved vacancies in such services and posts.]²³

(i) Clerical Staff- for the post of a routine clerk, assistant, head clerk-cum-accountant and head clerk, Intermediate or an examination recognized by the Satiaste Government as equivalent thereto :

Provided that in the case of head- clerk-cum-accountant and head clerk or experience in the post of routine clerk or assistant in a post graduate or degree or intermediate college for a period of at least ten years shall be necessary,

(ii) Laboratory Assistant for the post of laboratory assistants, intermediate or an examination recognized by the State Government as equivalent thereto, in subject with which the laboratory is concerned or High School or an examination recognized by the State Government as equivalent there to, with at least five year's experience as laboratory bearer in the laboratory of the subject concerned.

(iii) (a) Librarian 'A' and 93' Category Master' s degree together with a degree in library science and three years experience.

(b) Librarian 'C' Category-Bachelor's degree together with a degree in library science and two years experience.

(c) Deputy Librarian 'A' and 'B' Category - Bachelor's degree together with a degree in library science and two years experience.

(d) Deputy Librarian Category-Bachelor's degree together with a degree in library science.

EXPLANATION- for the purposes of these Statutes "Librarian / Deputy Librarian 'A' and 'B' Category means the Librarian/ Deputy Librarian of a degree college where two thousand or more students are studying and "

²³ (I-A) substituted vide ninth amendment date 8-4-83

Librarian I Deputy Librarian 'C' Category" means a Librarian / Deputy Librarian of a degree college where less than two thousand students are studying.²⁴

(iv) Office Superintendent- for the post of Office Superintendent degree from a recognized university established by law together with at least ten year's working experience as Head Clerk or Accountant in a college affiliated or associated with a university or in any other similar institution.

(v) Assistant Accountant-for the post of Accountant, a degree in Commerce from a recognized university established by law, together with Accountancy/Audit.²⁵

(vi) Bursar- for the post of Bursar a degree from a recognized university established by law with at least ten year's working experience as Office Superintendent or Accountant in a degree or post graduate college.

(vii) Class four Staff- for class IV posts, passed class V from a recognized school

Provided that no educational qualification shall be required for the post of sweeper but preference will be given to a person who is educated or is at least able to read and write Hindi in Devanagri script.

(viii) Other Posts- For any other post not covered by the preceding clauses, such minimum qualification as may be specified by the State Government by general or special orders.]²⁶

(2) No employee who does not possess the qualifications prescribed in clause (i) shall after the commencement of these Statutes, be eligible for

²⁴ *substituted vide Twenty fourth amendment dt.30-8-88 do*

²⁵ - do –

²⁶ *Third amendment dated November 5, 1979*

promotion or confirmation unless he attains the aforesaid qualifications :

Provided that nothing contained in this Statute shall affect the promotions and confirmation made prior to commencement of these Statutes.

[21.07 For appointment of an employee in a college, through direct recruitment, the minimum age of the candidate shall be 18 years and maximum age for the posts of a Routine Clerk or a post in equivalent scale of pay. shall be 30 years, and for any other post, referred to in clauses (1) and (3) of Statute 21.03, it shall be 40 years. The maximum age shall be higher by five years in the case of a candidate belonging to a Scheduled Caste or a Scheduled Tribe.]²⁷

[Provided that with the prior consent of the Director of Education (Higher Education) the condition of maximum age limit of 30 or 40 years, as the case may be referred to above, may be relaxed up to 5 years in special circumstances:

Provided further that the maximum age limit shall not apply to an employee referred to in the Statute 21.16 and proviso to Clause (1) of Statute 21.03 :

Provided also that for appointment to a vacancy reserved for ex-servicemen, the maximum age shall be higher by the period of service of the candidate in the Armed Forces plus three years &

(2) The age on the first day of July in the year in which the recruitment is made, shall be the age taken into consideration for the purpose of clause (1).

{(3) In case of class IV employee who has put a continuous service of three years or more and the prescribed qualification for appointment to the post of a routine clerk or an

²⁷ *Substituted of amendment date. 5-11-79 and insertion of 19th amendment further amended and inserted by Nineteenth amended 8-12-87*

equivalent post to be filled in by direct recruitment the maximum age limit may be relaxed up to 40 years. In special circumstances relaxation beyond the age of 40 years may be made with prior approval of D.E. Higher Education.} ²⁸

Section 49(o) 21.08 It shall be the duty of the appointing authority to satisfy himself that the character of a candidate for employment by direct recruitment is such as to render him suitable in all respects for employment by direct recruitment is such as to render him suitable in all respects for employment in a college.

NOTE :- Persons dismissed by the State Government, the Union Government or by any other State Government or a local authority shall be deemed ineligible.

Section 49(o) 21.09 No candidate shall be employed in a college unless he is in good mental and physical health and free from any defect likely to interfere with the efficient performance of his duties. Before a candidate is finally approved for appointment he shall be required to produce a medical certificate of fitness from a Medical Officer In charge of a hospital established by the State Government.

Section 49(o) 21.10 The employees shall be given the scale of pay and allowances as may be prescribed by the State Government from time to time.

[EXPLANATION An Ex-serviceman an appointed in a vacancy reserved for Ex-serviceman shall be entitled to and higher pay merely on account of his past service in the Armed Force of the union]. ²⁹

Section 49(0) 21.11. (1) Every employee shall maintain highest order of integrity with regards to his work and conduct.

²⁹ 9th amendment dated April 8, 1983

(2) Every employee shall comply with the orders of the Management and the Principal (including the order or directions issued in the implementation of the orders the State Government or the University).

(3) The Principal of the college will maintain the character roll of every employee in which the confidential report about his work and conduct shall be recorded every year. Adverse entries shall be communicated to the employee concerned as soon as possible so that he may improve his work and conduct accordingly.³⁰

(4.) An employee aggrieved by adverse entry may represent to the Manager of the college through the Principal for expunction of the adverse entry. The power to expunge the adverse entry on the basis of justification therefore shall vest in the Managing Committee of the college concerned.

(5) A Service Book of every employee shall be maintained under the control of the Principal.

Section 49(o) 21.12 An employee who disobeys any one or both of the provisions of clauses (1) and (2) of Statute 21.11 shall be liable to disciplinary action.

Section 49(o) 21.13 (1) An employee shall be liable to be removed from service on any one or more of the following grounds, namely :-

(a) gross negligence of duties;

(b) Misconduct;

(c) Insubordination or disobedience;

(d) Physical or mental unsuitability in the discharge of duties;

(e) Prejudicial conduct or activity against the Government or the University or the College concerned;

³⁰ *Inserted Ninth amendment dated April 8, 1983*

(f) Conviction by a court of law on charge involving moral turpitude.

(2) If temporary employee resigns from service he shall give notice to this effect in writing to the Management of the college one month in advance otherwise he shall have to deposit one month's salary with the college in lieu of the notice. Similarly if the Management of a college decides to terminate the service of an employee the Management shall give one month's notice to the employee or one month's salary in lieu thereof

(3) The service of a permanent employee may be dispensed with on the ground of abolition of post after giving him three month's notice in writing or three month's salary in lieu thereof. A post can be abolished on any one or more of the following grounds, namely :-

(a) retrenchment on account of financial stringency;

(b) Fall in enrolment of students; and

(c) Discontinuance of the teaching in the subject to which the post relates.

Section 49 (o) 21.14 The age of Superannuation of an employee shall be 60 years. An employee who has attained the age of 60 years on or before the date of commencement of these Statutes shall be retired forthwith.

Section 49 (o) 21.15 (1) The leaves rules applicable to Government servants from time to time shall mutatis mutandis apply to the employees of like status.

(2) The principal shall be the authority to sanction all kinds of leave to class IV employees and casual leave to other employees.

(3) Application of an employee other than class IV for leave (other than casual. leave) shall be forwarded by the Principal with his recommendation to the Manager of the college who shall be authority to sanction the same.

(4) All records relating to leave. Will be maintained by the Principal who shall sent copies of -the order sanctioning

leave (other than casual leave) to the Regional Deputy Director of Education or the person authorized by him to disburse the salaries of the employees. The Principal shall also mention the period and nature of leave in the salary bill.

21.16 A whole time employee of one college appointed to another college receiving maintenance grant from the State Government shall, after regular selection, be entitled to receive salary not less than what he was getting in the college in which he was previously working, if the employee (a) was permanent on his post in the previous college and such college was on the grant in aid list;

(b) has obtained the permission of the Manager of the previous college for service in the new college and the Management of the previous college has no objection in the relieving him;

(c) Furnishes a certificate from the manager of the previous college to the effect there were no unusual and adverse circumstances in which the employee left the college;

(d) Furnishes the last pay certificate from the previous college duly countersigned by the District Inspector of School concerned.

EXPLANATION- (1) On being appointed in the new college the service rendered in the previous college shall not count towards seniority in the new college shall be reckoned from the date of appointment in the new college and the annual increment shall fall due after completing one years service in the new college from the date of taking overcharge of the duties in that college.

(2) The employee shall not be entitled to receive any traveling allowance for journeys performed by his to join him duties in the new college. He shall however, be allowed journey time at following rates:-

(a) one day for each 500 km. for places connected by train;

(b) one day for each 150 km. for places connected by train but connected by bus;

(c) one day for each 25 km. for places neither connected by train or by bus.

CHAPTER XXI : A
EMPLOYMENT OF THE DEPENDANT OF DECEASED
EMPLOYEES OF THE COLLEGE (Substitution of Statute 21.17)

21-A-01 Where a confirmed employee or an employee had been holding a temporary post continuously for not less than three years dies while in service, one dependant of the deceased employee who applies for a vacant non-teaching post in the college and possesses minimum educational qualification for such post may, with the prior approval of the Director (Higher Education) be appointed by the Management in relaxation of the procedure for selection and maximum age limit.

EXPLANATION- for the purposes of the, Statute:-

(i) 'Dependant' means the son, unmarried or widowed daughter widow or the widower of the deceased;

(ii) 'Employee' includes teacher employed in the institution.³¹

CHAPTER XXII
MISCELLANEOUS

Section 7(12) and 49(p)

22.01 The University may institute and award, scholarships, fellowships (including traveling fellowships) studentships, medals and prizes in accordance with the provisions laid down in the Ordinances.

Section 49 & 64

22.02 All election to an authority or body of the University according to the system of proportional representation by means of single transferable vote shall be held in the manner laid down in Appendix -A.

22.03 Subject to the provisions of section 7, the University may allow any person to appear as a private candidate at any examination conducted by the University provided that :-

³¹*The Statute 21-17 Inserted by 9th amendment of Substituted by twelve amendment dated 25-9-84*

(a) Such person fulfils the requirement laid down in Ordinances; and

(b) Such examination does not relate to a subject or course of study in which practical examination in a part of the curriculum.

22.04 The provision of Statute 22.03 shall mutatis mutandis apply to a correspondence course.

22-05 bl ifjfu;ekoyh ;k fo'ofokly; ds v;/kns'k esa nh xbZ fdlh ckr ds gksrs gq, Hkh &

¼1½ fdlh fo|k o"kZ esa 31 vxLr ds i'pkr~ dksbZ izos'k ugha fd;k tk;sxA

¼2½ fo'ofokly; }kjk lapkfyR Hkh ijh{kk;sa 30 vizSy rd iwjh tks tk;saxhA

¼3½ 15 twu rd ijh{kkQy ?kksf"kr dj fn;s tk;saxs ijUrQ 1986&87 ds fo|k l= ds fy;s

fo'ofokly; dh Hkh ijh{kk;sa 15 twu 87 rd iwjh dh tk ldrh gS vkSj Hkh ijh{kkQy 31 tqykbZ

1987 rd ?kksf"kr fd;s tk ldrs gSa vkSj l= 1987&88 ds fy;s izos'k 15 flrEcj 1987 rd iwjs

fd;s tk ldrs gSaA

22-06 fo'ofokly; }kjk mRrj iqfLrdkvksa dk iqueZwY;kadu ugha fd;k tk;sxk vkSj vuqiwjd

ijh{kk;sa lapkfyR ugha dh tk;sxA

22.06 With a view to improving his result a candidate may be allowed to appear in one subject in any part of the undergraduate examination and in one paper in B.Ed. or any one year of the L.L.B. or any part of the Post -graduate examination in the next regular examination of the University.³²

³² *Twenty Second amendment of dated 24,6-88*

CHAPTER XXIII SURCHARGE

23.01 In these Statutes unless there is any thing repugnant in the subject or context:-

(1) 'Examiner' means the examiner, local fund accounts, U.P.

(2) 'Government' means the Government of Uttar Pradesh.

(3) 'Officer of University' means an Officer mentioned in any of the clauses (c) to (h) of section 9 of the act and the officer's declared as such under Statutes 2.01-A.

23.02. (1) In any case where the Examiner is of the opinion that there has been a loss, waste or misapplication which includes mis-appropriation or unjustifiable expenditure, of any money or property of the University as a direct consequence of neglect of misconduct of an officer he may call upon the officer to explain in writing why such officer should not be surcharged with the amount of such loss, waste mis-application of money or the amount which represents the loss, waste or misapplication of property and such explanation will be furnished within a period not exceeding two months from the date such requisition is communicated to the person concerned :

Provided that explanation from any of the officers other than the Vice -Chancellor shall be called for through the Vice-Chancellor

NOTES :- (1) Any information required by the Examiner, by a person appointed by him for the purpose, for preliminary inquiry shall be furnished and all connected papers and rescores shown to him by the officer (or if such information papers or records are in possession of a person other than the said officer, by such person) within a reasonable time not exceeding two weeks in any case :

(2) Without prejudice to the generality of the provisions contained in clause (1) the Examiner may call for the explanation in the following cases :-

(a) where expenditure has been incurred in contravention of the provisions of these Statutes or of the Act or of the Ordinances or regulations made there under;

(b) Where loss has been caused by acceptance of a higher tender without sufficient recorded reasons;

(c) Where any sum due to the University has been remitted in contravention of the provisions of these Statutes or of the Act or the Ordinances or regulations made there under;

(d) Where loss has been caused to the University by neglect in realizing its dues;

(e) Where loss has been caused to the funds or property of the University on account of want of reasonable care for the custody of such money or property.

(3) On the written requisition of the officer from whom an explanation has been called the University shall give him necessary facilities for inspection of the connected records. The Examiner may, on an application from the officer concerned allow a reasonable extension of time for submission of his explanation if he is satisfied that the Officer charged has been unable for reasons beyond his control to inspect the connected records for the purpose of furnishing his explanation.

EXPLANATION - Making of an appointment in contravention of the Act or the Statutes or Ordinances made there under shall amount to misconduct and payments to the persons concerned of salary or other dues on account of such irregular appointment will be deemed to be a loss, waste or misapplication of University money.

23.03 After the expiry of the period prescribed and after considering the explanation, if received within time, the Examiner may surcharge the Officer with the whole or a

part of the sum for which such officer may in his opinion be liable:

Provided that in the case of loss, waste or misapplication accruing as a result of neglect or misconduct of two or more officers each such officer shall be jointly and severally liable:

Provided also that no officer shall be liable for any loss, waste or misapplication after the expiry of ten years from the occurrence of such loss, waste or misapplication or after the expiry of six years from the date of his ceasing to be such officer whichever is later.

23.04 Any officer aggrieved by an order of surcharge passed by the examiner may prefer an appeal to the Commissioner of the division in which the university is situate within thirty days from the date on which such order is communicated to him. The Commissioner may confirm, rescind or vary the order passed by the Examiner or may pass such order as he thinks fit. The order so passed shall be final, and no appeal shall lie against it.

23.05 (1) The officer who has been surcharged shall pay the amount of surcharge within sixty days from the date on which such order is communicated to him or within such further time, not exceeding one year from the said date as may be permitted by the examiner:

Provided that where an appeal has been preferred under Statute 23.04 against the order or surcharge passed by the Examiner all proceedings for recovery of the amount from the person who has preferred the appeal may be stayed by the Commissioner until the appeal has been finally decided.

(2) If the amount of surcharge is not paid within the period specified in clause (1) it shall be recoverable as arrears of land revenue.

23.06 Where a suit is instituted in a court to question an order of surcharge and the Examiner or the State Government is a defendant in such a suit all costs incurred in defending the suit shall be paid by the

university and it shall be the duty of the university to make such payment without any delay³³.

APPENDIX 'A'

(See STATUTES 4.12 AND 22.02)

ELECTION BY PROPORTIONAL REPRESENTATION BY MEANS OF SINGLE TRANSFERABLE VOTE

Part I - General

(1) Unless there is anything repugnant to the subject or context with reference to any election by proportional representation by single transferable vote:-

(i) "Candidate" means a person duly qualified to seek election who has been duly nominated.

(ii) "Continuing candidate" means a candidate not elected and not enveloped from the poll at any given time.

(iii) "Elector" means a person who is duly qualified to give his vote in the election.

(iv) "exhausted paper" means a ballot paper on which no further preference is recorded for a continuing candidate provided that a paper shall also be deemed to be exhausted if:-

(a) The names of two or more candidates, whether continuing or not, are marked with the same figure and are next in order of preference, or

(b) The name of the candidate next in order of preference whether continuing or not, is marked:-

(1) By a figure not following consecutively after some other figure on the ballot paper, or

(2) By two or more figures.

(v) "first preference vote" means the vote for a candidate against whose name the figure 1 appears on a ballot paper, "Second preference vote" means the vote for a candidate against whose name the figure 2 appears, "Third preference vote" for a candidate against whose name the figure 3 appears and so on.

(vi) "Original vote" in regard to any candidate means a vote derived from a ballot paper on which a first preference is recorded for such candidate.

(vii) "Quota" means the lowest value of votes sufficient to secure the return of a candidate.

(viii) "Surplus" means the number by which the value of votes of any candidate, original and transferred, exceeds the quota.

³³ *The Chapter XXIII Inserted vide Thirteenth amendment dated March 4, 1985*

(ix) "transferred vote" in regard to any candidate means a vote which is derived from a ballot paper on which a second or subsequent preference is recorded for such candidate and the value or part of the value of which is credited to such candidate.

(x) "Unexhausted paper" means a ballot paper on which a further preference is recorded for a continuing candidate.

(2) The Registrar shall be the Returning Officer responsible for the conduct of all elections.

(3) The Vice-Chancellor shall:-

(i) appoint the dates for the various stage of each election in conformity with the provisions of Statutes and shall have power to alter these dates in case of any emergency except where such alteration contravenes the provisions of the statutes;

(ii) Decide in case of doubt the validity or otherwise of a vote recorded.

(4) The election of members of the Court representing Registered Graduates (an other elections as the Vice -Chancellor may for reasons of convenience or economy direct) shall be conducted by postal ballot. Other elections shall be conducted at meetings of the Authorities or bodies concerned.

(5) A ballot paper shall be in the following form:

NAME OF UNIVERSITY
Election by Constituency
Name of candidate and Order of preference
(to be indicated in the space)
by the numerical 1, 2, 3, etc.
.....
.....
.....

(6) An elector in recording his vote :-

(i) must place on his ballot paper the figure 1 opposite the name of the candidate for whom he votes, and

(ii) may, in addition, indicate the order of his choice or preference for as many other candidates as he pleases, by placing against their respective names the figures 2; 3, 4 and so on, consecutive numerical.

(7) A ballot paper shall be invalid if :-

(i) The figure 1 is not marked, or

(ii) The figure 1 is placed opposite the name of mere than one candidates, or

(iii) Figure 1 and some other figure are marked opposite the name of the same candidate, or

(iv) the figure 1 is so marked as to render it doubtful to which candidate it is intended to apply, or

(v) In an election by ballot, any mark is made by which the voter may afterwards be identified, or

(vi) There is any erasure, or alterations in the figure indicating the voter's preferences, or

(vii) It is not on the form provided for the purpose.

Part II - Election conducted by Postal Ballot

(8) At least three months before the vacancies to be filled by election by postal ballot are due to occur, the Registrar shall cause a notice to be issued under a registered cover to each qualified voter at his registered address calling on him to submit nomination within fifteen days of the posting of the notice. The notice shall be accompanied by a list of voters.

(9) The Registrar shall have power to correct any error and supply any omission brought to his notice in the list of voters. If the name of a person is removed from the list, his vote shall not be counted even if he has received the voting paper and recorded his vote, and a certificate, that this has been so done, shall be recorded by the Registrar and the persons, if any, associated with him in preparing the result of the election.

(10) Every elector shall have the option of nomination any number of candidates not exceeding the number of places to be filled

(11) Every nomination paper shall be signed by a proposer who shall himself be an elector and shall be accompanied by the assent of the candidate nominated for election either in writing or by signing the nomination paper. It may bear the signature of other electors as supporters of the nomination. But no candidate shall sign as proposer or seconded, a nomination paper on which his own name appears as a candidate.

(12) The nomination paper shall be delivered to the Registrar in a closed cover either in person by the proposer or an elector who supports the nomination or through registered post, within the time mentioned in the notice.

(13) It shall be open to a candidate to withdraw from an election by sending to the Registrar, so as to reach him before the day and hour fixed as the last day for the receipt of nomination an intimation of withdrawal in writing signed by himself and attested by a Stipendiary Magistrate, a Gazette Officer, or a Principal of a college Associated with or affiliated to a University. The attestation would be under the seal of the Officer concerned.

(14) The Registrar shall notify the place, date and time for the opening of the covers containing the nomination papers. Such candidates or electors as may desire to be present may do so on the occasion.

(15) The Registrar shall prepare the list of valid nominations. If a nomination paper is rejected by the Registrar, he shall inform the candidate concerned, within two days stating the reasons for such rejection. It shall be open to the candidate to send within three days of the receipt of such communication a request that the matter be referred to the vice-Chancellor whose decision shall be final.

(16) If the number of candidates duly nominated does not exceed the number of places to be filled, the Registrar shall declare them elected. In case any place remains unfilled a fresh election shall be held in like manner to fill it and such election shall be deemed to be a part of general election.

(17) If the number of candidates duly nominated exceed the number of places to be filled an election shall be conducted

(18) The Registrar shall within 15 days of the completion of scrutiny send by registered post to each elector as his registered address a ballot paper together with a cover bearing the name of the constituency only and a larger cover along with the certificate of identity duly signed and attested and send on the electoral roll, the name of the constituency, and on the right side the address of the Registrar of the University. The Registrar shall also enclose a certificate of identity.

(19) (i) The elector shall sign the certificate of identity and have it duly attested by any of the following persons :-

(a) The Registrar of any University established by law in India;

(b) The principal of a College associated with or affiliated to any such University or Head of a Department of teaching of such University.

(c) Any Gazetted Officer of the Government.

(ii) The attesting Officer shall attest with his full signature and under his seal.

(iii) The elector shall enclose the ballot paper duly filled in, but without his name or signature, in the smaller cover, and then enclose it in the larger cover along with the certificate of identity duly signed and attested and send the same duly sealed with either by registered post or deliver it personally to the Registrar.

(20) The ballot paper must reach the Registrar by the time and date fixed. If received after the appointed time and date, it shall be rejected by him.

(21) If two or more ballot papers are sent in the same cover they shall not be counted.

(22) A voter who has not received his ballot paper and other connected paper, or who has lost them or whose papers before their return to the Registrar have been inadvertently spoiled, may send a declaration to - that effect signed by himself and request the Registrar to send him duplicate papers in place of those not received,

lost or spoiled and the Registrar may, if he is satisfied issue another copy marked "Duplicate".

(23) The Registrar shall keep the voting papers sealed and unopened in safe custody until the date and time fixed for their scrutiny.

(24) Due notice of such date, time and place of scrutiny shall be given by the Registrar to all the candidates who shall have the right to be present during the scrutiny;

Provided that no candidate shall be entitled to ask for the inspection of the ballot paper.

(25) The Registrar, where necessary, shall be helped by such other persons as may be appointed by the vice-Chancellor for assisting him in the scrutiny work.

(26) At the appointed date, the time and place the Registrar shall open the covers containing the ballot papers and scrutinize them and separate those that are not valid.

(27) The valid papers shall then be sorted into parcels, each parcel containing all the papers on which the first preference is recorded for a particular candidate.

(28) For the purpose of facilitating the process pre-scribed by this Statute each ballot paper shall be deemed to be of the value of one hundred.

(29) The Registrar shall in carrying out the provisions of the Statute :-

(i) disregard all fraction;

(ii) ignore all preferences recorded for candidate already elected or excluded from the poll.

(30) The Registrar shall then and together the values of the papers all the parcels, divide total by a number exceeding by one the number of vacancies to filled, and add one to the quotient. The number thus obtained shall be the "quota".

(31) If at any time candidates equal in number to the number of persons to be elected have obtained the quota such candidates shall be treated as elected and no further proceeding shall be taken.

(32) (i) Every candidate the value of whose parcel on the first preference being counted is equal to or greater than the quota, shall be declared elected.

(ii) If the value of the papers in any such parcel is equal to the quota, the papers shall be set a side as finally dealt with.

(iii) If the value of the papers in any such parcel is greater than the quota, the surplus shall be transferred to the continuing candidates indicated on the ballot paper as next in the order of the voter's preference the manner prescribed in the paragraph hereinafter appearing.

(33) (i) If and whenever as the result of any operation prescribed by the preceding paragraph a candidate has any surplus, that shall be transferred in accordance with the provisions of the said preceding paragraph.

(ii) If more than one candidate has a surplus the largest surplus shall be dealt with first and the others in a decreasing orders of magnitude provided that every surplus arising on the first count of votes shall be dealt with before those arising on the second count and so on.

(iii) Where two or more surplus are equal, the Registrar shall decide according to the terms prescribed in sub-clause (ii)above as to which surplus shall be first dealt with first.

(iv) (a) If the surplus of any candidate to be transferred arises from original votes only, the Registrar shall examine all papers in the parcel belonging to the candidate whose surplus is to be transferred and divide the unexhausted papers into sub parcels according to the next preference recorded thereon. He shall also make a separate sub-parcel of the exhausted papers.

(b) He shall ascertain the value of the paper in each sub-parcel and of the unexhausted papers.

(c) If the value of unexhausted papers in equal to or less than the surplus, he shall transfer all the unexhausted papers at the value at which they were received by the candidates whose surplus is being transferred.

(d) If the value of the unexhausted papers is greater than the surplus, he shall transfer the sub-parcels of unexhausted papers and the value at which each paper shall be transferred shall be ascertained by dividing the surplus by the total number of unexhausted papers.

(v) If the surplus of any candidate to be transferred as well as original votes, the Registrar shall re examine all the papers in the sub parcel last transferred to the candidate and divide the unexhausted papers into sub-parcels according. to the next preference recorded thereon. He shall there upon deal with the sub-parcels in the same manner as is provided in the case of sub-parcels referred to in the last preceding clause.

(vi) The papers transferred to each candidate shall be added in the form of a sub-parcel to the papers already belonging to such candidate.

(vii) All papers in the parcel or sub-parcel of elected candidate not transferred under this clause shall be set aside as finally dealt with.

(34) (i) If after all surpluses have been transferred as hereinbefore directed less than the number of candidates required has been elected the Registrar shall exclude from the poll the candidate lowest on the poll and shall distribute his unexhausted papers among the continuing candidates according to the next preferences recorded thereon. Any exhausted papers shall be set aside as finally dealt with.

(ii) The papers containing original votes of an excluded candidate shall first be transferred, transfer value of each paper being one hundred.

(iii) The papers containing transferred votes of an excluded candidate shall then be transferred in the order of the transfers in which and at the value at which he obtained them.

(iv) Each of such transfers shall be deemed to be a separate transfer.

(v) The process directed by this clause shall be repeated on the successive exclusions one after another of candidates lowest on the poll until the last vacancy is filled either by the election of a candidate with the quota or as hereinafter provided.

(35) If as the result of a transfer of papers the value of the votes obtained by a candidate is equal to or greater than the quota the transfer proceedings shall be completed and no further papers shall be transferred to him.

(36) (i) If after the completion of any transfer under the said clause the value of the votes of any candidate is equal to or greater than the quota he shall be declared elected.

(ii) If the value of votes of any such candidates is equal to the quota, the whole of the paper on which such votes are recorded shall be set aside as finally dealt with.

(iii) If the value of the votes of any such candidate is greater than the quota his surplus shall thereupon be distributed in the manner hereinbefore provided before exclusion of any other candidate.

(37) (i) When the number of continuing candidates is reduced to the number of vacancies remaining unfilled the continuing candidates shall be declared elected.

(ii) When only one vacancy remains unfilled and the value of the votes of any continuing candidate exceeds the total value of all the votes of the other continuing

candidates, together with any surplus not transferred, the candidate shall be declared elected.

(iii) When only one vacancy remains unfilled and there are only two continuing candidates and those two candidates have each the same value of votes and no surplus remains capable of transfer one candidate shall be declared excluded under the next succeeding paragraph and the other declared elected,

(38) If and when there is more than one surplus to distribute two or more surplus are equal or if at any time it becomes necessary to exclude a candidate and two or more candidates have the same value of votes and are lowest on the poll regard shall be had to the original votes of each candidate and the candidate for whom the most original votes are recorded shall have his surplus first distributed or shall be first excluded, as the case may be. If the values of their original votes are equal the Registrar shall decide by lot which candidate shall have his surplus distributed or excluded.

(39) RECOUNTING - The Registrar may, either on his own initiative or at the instance of any candidate, recount votes, whether once or more than once, when the Registrar is not satisfied as to the accuracy of a previous counting :

Provided that nothing herein contained shall make it obligatory on the Registrar to recount the same more than once.

(40) After the scrutiny is completed, the Registrar shall forthwith report the result to Vice -Chancellor.

(41) The Registrar shall place the nomination papers and the ballot papers in sealed packet which shall be preserved for a period of one year.

Part III - Elections held at Meetings

(42) In case of an election conducted at a meeting of a University Authority or body it shall not be necessary to publish the electoral roll for the purpose of eliciting claims and objections or to invite nominations in advance. The members of the Authority or body concerned present at the meeting duly convened shall take part in the election, Names may be proposed for election and candidature withdrawn, in advance or at the meeting. The ballot paper supplied to voters shall show the names of which notice was received in time for printing and shall contain blank spaces, with addition of names including those proposed at the meeting. A notice of the meeting at which the election is to be held mentioning the time, date and place of such meeting together with lists of the members shall be sent by the Registrar to each member the period of notice shall be fixed by the Vice-Chancellor.

(See STATUTE 16-01)

FORM OF AGREEMENT WITH MEMBERS OF TEACHING STAFF OF THE
UNIVERSITY

AGREEMENT made thisday of20, between Sri/Srimati/Kumari ,of the first part and the University of Kumaun hereinafter called 'the University' of the other part :-

IT IS HEREBY AGREED as follows :-

(1) That the University hereby appoints Sri/Srimati/Km..... the party of the first part, to be a teacher of the University with effect from the date the party of the first part (hereinafter called the teacher) takes charge of the duties of his/her office, and the teacher hereby accepts the engagement, and undertakes to take such part, and perform such duties in the University as may be required of him/her, including the management and protection of the University property or funds, the organization of instruction, the teaching formal or informal; and the examination of students, the maintenance of discipline and the promotion of students' welfare in connection with any curricular or residential activities and perform such extra curricular duties of the University as may be entrusted to him/her and to submit himself/herself to the officers under whom he/she is for the time being placed by the authorities of the University and shall abide by and conform to the Code of Conduct for teachers laid down by the University as amended from time to time :

PROVIDED that the teacher shall be on probation for a period of one year in the first instance and the Executive Council may in its discretion extend the period of probation by one year.

(2) That the teacher shall retire in accordance with the provisions of the Statutes of the University.

(3) The scale of pay attached to the post of teacher to which the teacher is appointed shall be The teacher shall from the date he/she takes charge of his/her said duties be granted pay at the rate of Rs. per menses in the aforesaid scale and shall receive pay in the succeeding stages in the scale unless the annual increment is withheld in pursuance of the provisions of the Statutes:

Provided that where an efficiency bar is prescribed in the time scale, the increment next above the bar shall not be given to the teacher without the specific sanction of the authority empowered to withhold increment.

(4) That the teacher shall obey, and to the best of his/her ability carry out the lawful directions of any officer, authority or body of the University, to whose authority he/she may, while this agreement is in force, be subject under the provisions of the Uttar Pradesh State University Act, 1973, or under any Statutes, Ordinances or Regulations made there under.

(5) That the teacher hereby undertakes to abide by and conform to the Code of Conduct laid down for the teachers, by the University, as amended from time to time.

(6) That on the termination of this agreement, for whatever cause, the teacher shall deliver up to the University all books, apparatus, record and other articles belonging to the University, that may be in his possession.

(7) In all matters, the mutual rights and obligations of the parties hereto shall be governed by the Statutes and Ordinances of the University for the time being in force, which shall be deemed to be incorporated herein and shall be as such a part of this agreement as if they were reproduced herein, and by the provisions of Uttar Pradesh State Universities Act, 1973.

IN WITNESS WHEREOF the parties hereto affix their and seal on the day, month and year first above written.

.....
Signature of the Teacher

.....
Signature of the Finance Officer
representing the University

Witnesses :

1.

APPENDIX 'C'
(See STATUTES 16.02, 17.03 AND 17.17)
CODE OF CONDUCT FOR TEACHERS

WHEREAS a teacher, conscious of his responsibilities and the trust placed in him to mould the character of the youth and to advance knowledge, intellectual freedom and 'social progress, is expected to realize that he can fulfill the role of moral leadership more by example than by precept through a spirit of dedication, moral integrity and purity in thought, word, and deed:

NOW, THEREFORE, in keep in with the dignity of his calling, this code of conduct is hereby laid down to be truly and faithfully observed :-

(1) Every teacher shall perform his academic duties with absolute integrity and devotion.

(2) No teacher shall show any partiality or bias in the assessment of the students nor shall he practice victimization against them.

(3) No teacher shall incite one student against another or against his colleagues or the Alma Mater.

(4) No teacher shall discriminate against any pupil on grounds of caste, creed, sect, religion, sex, nationality or language. He shall also discourage such

tendencies amongst his colleagues subordinates and students and shall not try to use the above considerations for the improvement of this own prospects.

(5) No teacher shall refuse to carry out the decisions of the appropriate bodies and functionaries of the University of the college, as the case may be.

(6) No teacher shall divulge any confidential information relating to the affairs of the University or college as the case may be, to any person not authorized in respect thereof.

(7) No Teacher shall undertake any other job, any part-time teaching assignment, he shall not give tuitions nor run coaching classes.

(8) Subsequent upon completion of teaching, the teachers will be readily available to assist the students and guide them for which they shall not be paid any honorarium.

(9) For completion of courses within the stipulated time period, the teacher will not avail any leave as far as possible. They will apply for leave only in inevitable circumstances and avail it only after prior approval.

(10) The Teachers will keep abreast with the latest research and training and their main focus would be to increase their academic qualification.

(11) The teachers of Universities and colleges will extend help in different activities of the Universities/colleges such as admission, extending guidance and help to students, conducting examinations, their supervision and invigilation, checking of examination copies and in formulation of syllabi as well as other academic functions.

(12) Facilitate capacity building and sensitization of students in consonance with the traditions of democracy, and ideals of nationalism and peace. Also to infuse a feeling of respect in the students towards physical labor.

APPENDIX 'D'

(See STATUTES 16.29, 17.02, 17.17 AND 17.19)

(1) FORM OF AGREEMENT WITH A TEACHER (OTHER THAN A PRINCIPAL) IN AFFILIATED COLLEGES

AGREEMENT made this day of 20..., BETWEEN of the first part hereinafter referred to as the teacher and the Management of the College through the Principal/ Secretary of the second part, hereinafter to referred to as the college.

WHEREAS, the college has engaged the teacher to serve the College as subject to the conditions and upon the terms hereinafter contained,

now this Agreement witnessed that the teacher and the college hereby contract and agree as follows :

(1) That the engagement shall from the be day of 20 and shall be determinable as hereinafter provided.

(2) That the teacher is employed, in the first instance on probation for a period of one year and shall be paid a monthly salary of Rs..... . The period of probation may be extended by such further period as the college may deem fit but the total period of probation shall in no case exceed two years.

(3) That on confirmation after the period of probation the college shall pay the teacher for the service at the rate of Rs.(Rupees only) per month rising by annual increment of Rs to Rs..... per month. the scale of salary shall be subject to such revision as may be made by the University with the approval of the State Government from time to time.

(4) That the said monthly salary shall become due on the first day of the month following that for which is earned and the college shall pay it to the teacher not later than the fifteenth of each month.

(5) That the teacher shall, not make any representation to the University or to any member of the Management, except through principal who shall forward it to higher authorities.

(6) That the teacher shall, in addition to the ordinary duties, perform such duties as may be entrusted to him by the Principal in connection with internal administration or activities of the college.

(7) In all other respects the mutual rights and obligations of the parties hereto shall be governed by the Statutes of the University as amended from time to time , and by the provisions of the Uttar Pradesh State Universities Act, 1973.

Signed this day of 20 on behalf of the Management by

By the teacher
in the presence of :

Witnesses :

1.

2.

**2) FORM OF AGREEMENT WITH A PRINCIPAL
OF AN AFFILIATED COLLEGE**

AGREEMENT made this day of
 20 BETWEEN of the first part (hereinafter called
 the Principal) and the of College
 through the President of the second part (hereinafter called the Management).

WHEREAS, the Management has engaged the party of the first part to serve
 the college as Principal subject to the conditions hereinafter contained, now this
 AGREEMENT witnessed that the Principal and the Management hereby contract and
 agree as follows :

(1) THAT THE contract of service shall commence on the
 as hereinafter provided 20 and shall be
 determinable

(2) That the Principal is employed, in the first in-stance, probation for-a
 period of one year and shall be paid a monthly salary of Rs the
 period of probation may be extended by another year at the discretion of the
 Management.

(3) That on confirmation after the period of probation Management shall pay
 the principal at the rate of Rs. (Rupees only)
 per month in the scale of Rs that scale
 of salary shall be subject to such revision as may be made by the University with the
 approval of the. State Government from time to time.

(4) That said monthly salary shall become due the first day °fate month
 following that for which earned and the Management shall pay it to the Principal not
 later than the fifteenth of each month.

(5) The Principal shall perform all such duties as appertain to the principal of
 an affiliated college and shall be responsible for due discharge of such duties. The
 Principal shall be solely responsible for the internal management and discipline of the
 said college including such matters as the selection of Text-Books in consultation with
 the senior most teacher of the Department concerned, the management of the college
 timetable, the allocation, of duties to all the members of college staff, the appointment
 of Wardens, Proctors, Games superintendents, etc. the grant of leave to the staff, the
 appointments, promotion, control and removal of the inferior staff such as peons,
 dataries., gardener, technicians, etc. the granting of free ship and half free ship to
 students within the number sanctioned by the Management, his control of the college
 or hostel or hostels through the Warden, the admission, discipline and punishment of
 students and the organization of games and other activities. He shall administer all
 student's funds, such as Games Fund, Magazine fund, Union Fund, Reading Room
 Fund, Examination Funds etc., with the help of Committees appointed by him and in
 accordance with the directions received by him from time to time from the University
 and subject to audit and scrutiny of accounts by qualified accountant appointed by the
 Management not from amongst its members. The accountant's fee will be the

legitimate charge on the students funds of the college. He shall have all powers necessary for the purpose, including power in an emergency to suspend members of the staff, including teachers or staff pending report to and any decision by, the Management. In the spheres of his sole responsibility he shall follow the directions received from the University or Government connection with the administration of the college. In financial and other matters for which he is not solely responsible, the Principal shall follow the direction of the Management as issued to him in writing through the Secretary. All instructions by the Management or the Secretary to the members of the staff shall be issued through the Principal and no member of the staff shall have a direct approach to any member of the Management except through the Principal.

The Principal shall have all necessary powers of control and discipline in regard to the clerical and administrative staff including the power to withhold increments. All appointments in the Principal's office shall be made with his concurrence.

(6) That the Principal shall be an -ex-officio member of the Management and any other committee appointed by the Management and have the power to vote :

Provided that he shall not be a member of the Commits tee appointed to inquire into his own conduct.

(7) The date of birth of Principal is in proof of which he has produced the High School. Certificate/ Certificate of examination which is recognized as equivalent to High School Examination and has annexed certified copy thereof.

(8) In all other respects, the mutual rights and obligations of the parties hereto shall be governed by the Statutes of the University as amended from time to time and by the provisions of the Uttar Pradesh State Universities Act, 1973.

Signed by this day of 20 on behalf of the Management, by

.....

by the principal

in the presence of

Witness(1)

Witness(2)

.....

Address

Address

.....

.....

.....

(3) FORM or ANNUAL ACADEMIC PROGRESS REPORT FOR THE ACADEMIC SESSION.....

(1) Name of the Teacher

(2) Department to which attached.....

(3) Whether Assistant Professor, Associate Professor, Professor, Principal etc.

(4) Academic Qualification or distinctions achieved, if any, during the session

(5) Details of publications or research work done by the teacher and/or papers read in any national or inter national conference

(6) Number of Research Students under his guidance during the session, and whether any of them has been conferred research degree

(7) Number of Lectures excluding tutorial classes delivered in the University or Institute or College, during the sessions

(8) Remarks

I HEREBY DECLARE that the contents of this Academic progress Report are true to my personal knowledge

Dated 20

Signature of the Teacher

.....

Counter-signed

Designation

.....

.....

APPENDIX 'E'

(See STATUTE 13.01)

COLLEGES AFFILIATED TO KUMAUN UNIVERSITY

1. Govt. P.G. College, Bageshwar.
2. Govt. P.G. College, Ranikhet, (Almora).
3. Govt. P.G. College, Dwarahat, (Almora).
4. Govt. P.G. College, Jainti, (Almora)
5. Govt. P.G. College, Syalde, (Almora)
6. Govt. P.G. College, Manila, (Almora)
7. Arya Kanya Degree College, Almora.
8. Kumaun Engineering College, Dwarahat
9. M.B. Govt. P.G. College, Haldwani (Nainital).
10. Govt. P.G. College, Ramnagar (Nainital). (Almora)
11. Govt. Girls Degree College, Haldwani (Nainital).
12. Govt. P.G. College, Pithoragarh
13. Govt. P.G. College, Narayannagar (Pithoragarh)

14. Govt. P.G. College, Balukakote (Pithoragarh)
15. Govt. P.G. College, Berinag (Pithoragarh)
16. Govt. P.G. College, Champawat.
17. Govt. P.G. College, Loghaghat (Champawat)
18. R.H. P.G. College, Kashipur (U.S. Nagar)
19. Govt. P.G. College, Rudrapur (U.S. Nagar)
20. Govt. Degree College, Bazpur. (U.S.Nagar).
21. Sanatandharam Girls Degree College, Rudrapur (U.S.Nagar).
22. Govt.P..G.College, Khatima (U.S.Nagar).
23. Ganna Krishak Girls Degree College, Kichha, U.S.Nagar).
24. Chandrawati Tewari Kanya Mahaividhalaya, Kashipur (U.S.Nagar).
25. Guru Nanak Kanya Mahavidhalaya, Nanakmatta (U.S.Nagar).
26. Amarpali Institite of management & Computer Applications Haldwani.
27. Govt. Degree College, Dharchula(Pithoragarh)
28. 47 Self Financed Colleges / Institutes

FIRST AMENDMENT

In pursuance of the provisions of clause(3) of Article 348 of the Constitution, the Governor is pleased to order the publication of the following English translation of notification No. 130 I/XV-10-78-4 1 (1 3)-7 6, dated March 23, 1978:

No.1301/XV-10-78-41(13)-76,

Dated Lucknow, March 23, 1978

In exercise of the power under sub-section (I—A) of section 50 of the Uttar Pradesh State Universities Act, 1973 (President's Act No. 10 of 1973), as re-enacted and amended by the Uttar Pradesh Universities (Re-enactment and Amendment) Act, 1974 (U.P.Act No. 29 of 1974) read with section 21 of the U.P. General Clauses Act, 1974 (U.P. Act no. I of 1904), the Governor is pleased to made the following Statutes with a view to amending the Kumaun University First Statutes, 1977

THE KUMAUN UNIVERSITY (FIRST-AMENDMENT) STATUTES 1978

Short title and 1. commencement (1)These Statutes may be called the Kumaun University (First Amendment) Statutes 1978.

(2) They shall come into force from the date of their publication in the Gazette.

Amendment of2. In Statute 4.06 of the Kumaun University First Statutes, 1977 the Statutes 4.06 following proviso shall be inserted, namely :-

“Provided that every graduate from college, originally affiliated to any other, University and now affiliated to the University, who is not a Registered Graduate of any other

University on the basis of the same degree, may also apply for registration as a Registered Graduate in the University.

By order
G.P. Mital
Commissioner and Secretary

SECOND AMENDMENT

In pursuance of the provisions of clause (3) of Article 348 of the Constitution, the Governor is pleased to order the publication of the following English translation of notification no. 4165/XV-10-78-271-77, dated August 23, 1978 :

No. 416.5/XV-10-78-271-77

Dated Lucknow, August 23, 1978 .

In exercise of the powers under sub-sections (1) and (1-A) of section 50 of the Uttar Pradesh State Universities Act, 1973 (President's Act no. 10 of 1973), as re-enacted and amended by the Uttar Pradesh Universities (Re-enactment and Amendment Act, 1974 (U.P. Act no. 29 of 1974), read with section 21 of the Uttar Pradesh General Clauses Act, 1904 (U.P. Act No. 1 of 1904), the Governor is pleased to make the following Statutes with a view to amending the Kumaun University First Statutes, 1977.

Short title 1. (1) These Statutes may be called the and Kumaun University

Commencement (Second Amendment) Statutes, 1978.

(2) They shall come into force from the date of their publication in the Official Gazette.

Amendment of 2. In Statute 16.17 of the Kumaun University First Statutes, 1977, Statute 16.17 after the 'second proviso, the following proviso shall be inserted, namely:

“Provided also that such teachers as are selected by the - University Grants Commission for Teachers Fellowship may be granted leave on full pay for the duration of the fellowship on such other terms and conditions as may be specified by the State government.

By order
G.P. MITTAL
Secretary

THIRD AMENDMENT

In pursuance of the provisions-of clause (3) of Article 0348 of the Constitution, the Governor is pleased to order the publication of the following English translation of notification no. 926/XV-10-79-I,5(3 5)-79, dated November 5, 1979.

No. 926/XV:10-79-I5-(35)-79.

A Dated Lucknow, November 5, 1979

In exercise of the powers under sub-section (1-A) of section 50 of the Uttar Pradesh State Universities Act, 1973 (President's Act no.2 10 of 1973 (as re-enacted and amended by the Uttar Pradesh Universities (Re-enactment and Amendment) Act, 1974 (U.P. Act no. 29 of -1974), read with section 21 of the Uttar Pradesh General Clauses Act, 1904 (U.P. Act no. I of 1904), the Governor is pleased to make the following Statutes with a view to amending the Kumaun University First. Statutes, 1977:

1. (1) These Statutes may be called the Kumaun University (Third Amendment) Statutes, 1979.

(2) They shall come into force at once.

2. In Statute 7.06 of the Kumaun University First Statutes, 1977, hereinafter referred to as the said Statutes for item no. 14, the following items shall be substituted, namely :-

“(14) Defence studies.

(15) Home Science.

(16) Urdu”.

3. In Statue 7.09 of the said Statutes, after item no (9), the following items shall be inserted, namely :

(10) Economics.

(11) Geography”.

4. In Statute 10.05 of the said Statutes, after the existing proviso the following proviso shall be inserted, namely:

“Provided further that the proportion of part-time teachers in the law department may be one-half of the strength of the full-time teaching staff in that department”.

5. In Statute 17.03 of the said Statutes, after clause (2), the following classes shall be inserted, namely :-

(3) The whole time services of the members of the teaching staff shall be at the disposal of the college, and they shall not engage, directly or indirectly in any trade or business or write notes on books prescribed for the examinations of this University,

or take up any occupation including tuitions, which is likely to interfere with the duties of their appointment, without the sanction of the University.

(4) The work relating to the examination is the part of the duty of every teacher. Refusal of the examination duties will be deemed to be misconduct within the meaning of Statute

17.04(1)".

6. In Statute 21.02 of the said Statutes :-

(i) in clause (1), the words "with the concurrence of the Principal shall be omitted, and

(ii) for clause (3), the following clauses shall be substituted, namely :-

(3) Every decision of the appointing authority referred, to in clause (2) shall, before it is communicated to the employee, be reported to the District Inspector of Schools and shall not take effect unless it has been approved by him in writing.

Provided that nothing in this clause shall apply to any termination of service on the expiry of the period for which the employee was appointed :

Provided further that nothing in this clause shall apply to an order of suspension pending enquiry, but any such order may be stayed, revoked or modeled by the District Inspector of Schools.

(4) An appeal against the order referred to in clause (2) and clause (3) shall lie to the Regional Deputy Director of Education".

7. In Statute 21.03 of the said Statutes :-

(i) for clause (1) the following clause shall be substituted "(l) Appointment to the post of Librarian, Deputy Librarian, Physical Education Instructor, Pharmacist, Routine Clerk or any other post either in the pay scale of, or in a pay Scale higher than that of, Routine Clerk other than the posts mentioned in clause (2) or clause (3) shall be made by direct recruitment on the recommendation of a Selection Committee in the manner provided in clause (6) after advertisement of the vacancy in the newspapers :

"Provided that the post of Librarian shall be filled by promotion from the post of Deputy Librarian if the incumbent of the latter post possesses the prescribed minimum qualifications for the post of the Librarian" :

(ii) in clause (3), after the words "shall be made by promotion" the words "on the basis of the recommendation of the Selection Committee in the manner provided in clause (6)" shall be inserted and for the words "on the basis of selection" the words "on the basis of the recommendation of the Selection Committee in the manner provided in clause (6)" shall be substituted;

(iii) in clause (4), after the words “intimate its disapproval” the words or does not send any intimation in respect of, such proposal” shall be inserted :

(iv) For clause (6) the following clause shall be substituted namely :-

(6) (a) The selection committee for appointment to the post of Librarian, Deputy Librarian or Physical Training Instructor shall consist of :-

(i) the Head of the Management or a member of the Management nominated by him, who shall be the Chairman ;

(ii) the Principal of the college ;

(iii) one officer to be nominated by the Director of Education (Higher Education).

(b) The Selection Committee for the appointment to the remaining posts referred to in clause (1) or clause (3) either by direct recruitment or by promotion shall consist of:-

(i) the Head of the Management or a member of the Management nominated by him who shall be the Chairman;

(ii) the Principal of the college;

(iii) the District Inspector of Schools;

(iv) the District Employment Officer or an Officer authorized by him in this behalf.

(c) For purposes of direct recruitment to the posts referred to in clauses (1) and (3) the vacancy shall be advertised in at least two newspapers having adequate circulation in Uttar Pradesh and names of suitable candidates, shall also be obtained from the concerned District Employment Officer.

(d) Names of candidates for appointment to a post in class four shall be obtained from of the concerned District Employment Officer. in the event of non-availability of suitable candidate in such manner the post may be advertised.

(e) No employee shall be eligible for payment of salary from the salary Payment Account unless the permission as contemplated by sub-clause (b) of clause (iii) of section 60 A of the Act has been accorded.

(f) If the management does not agree with the recommendations of the Selection Committee it shall refer the matter to the approving authority along with reasons of its disagreement, and the decision of the said authority shall be final”.

8. In -Statute 21.06 of the said Statutes, in clause (I) after sub-clause (vii) and before the proviso, the following sub-clause shall be inserted, namely

“(iii) Other Posts - _For any other post covered by the preceding clauses, Such minimum qualification as may be specie by the State; Government by general or special orders”.

9. In Statute 21.07 of the said Statutes, for clause (1) following clause shall be substituted, namely :-

“(1) For appointment of an employee in a college through direct recruitment, the minimum age of the candidate shall be 18 years and the minimum age of la routine clerk or a post in equivalent scale of-pay, shall be 27 years and for any other post referred to in clause (1) and (3) if Statute 21.03, shall be 40 years. The maximum age shall be higher by five years in the case of a candidate belonging’ to a Scheduled Caste or a scheduled Tribe:

Provided that with the prior consent of the Director of Education (Higher Education)the condition of maximum age limit of 4.0 years referred to above may be relaxed :

Provided further that the maximum age limit not apply to any employee referred to in Statute 21.16”.

By order
G.K. ARORA
Sechiv

FOURTH AMENDMENT

In pursuance of the provision's of clause (3) of Article 348 of the Constitution, the Governor is pleased to order the publication of the following English translation of notification No. 4854/XV-10-80-6(10)-79, dated September 30, 1980:

No. 4854/XV-10-80-6(10)-79
Dated Lucknow, September 30, 1980

In exercise of the powers under sub -section (1-A) of section 50 of the Uttar Pradesh State Universities Act, 1973 President's Act No. X of 1973), as reenacted and amended by the Uttar Pradesh Universities (Re-enact pent and Amendment) Act, 1974 (U.P. Act no. 29 of 1974) read with section 21 of the U.P. General Clauses Act; 1904 (U.P. Act. no. 1 of 1904), the Governor is pleased to make the following Statutes with a view to amending the Kumaun University First statutes, 1977.

Short title and 1. (1) These Statutes may be called the Kumaun University commencement (Fourth Amendment) Statutes, 1980

(2) They shall come into force with effect from the date of their publication in the Gazette.

Amendment of
Statutes 8.02

2. In Statute 8.02 of the Kumaun University First Statutes, 1977, hereinafter referred to as the said Statutes, for clause (3) the following clause shall be substituted, namely :

"(3) The decision or the report of the Disciplinary Committees shall be laid before the Executive Council as early as possible, to enable the Executive Council to take its decision in the matter".

Substitution
Statutes 11.01
&11.02

3. For Statutes 11.01 and 11.02 of the said of Statutes, the following Statutes shall be substituted, namely

"11.01. (1) In the case of the Faculty of Arts (except the dept. music and the Faculties Commerce and Science the following shall be the minimum qualifications for the post of a Assistant Professor in the University, namely

(a) a doctorate degree or research work of an equally high standard in a relevant subject; and

(b) consistently good academic record with first or high second class Master's degree, or an equivalent degree of a foreign University in a relevant subject.

(2) In the case of the Department of Music in the Faculty of Arts, the following shall be the minimum qualification for the post of a Assistant Professor in the University, namely

Either-

(a) consistently Good academic record with first or high second class Master's degree, or an -equivalent degree or diploma recognized by the University; and

(b) two years research or professional experience or creative work and achievement in his field of specialization -or a combined research and professional experience of three years in the field as an artist of outstanding. talent.

Or

a traditional or a professional artist with highly commendable profession able achievement in the subject concerned.

(3) In the case of the Faculty of Education, the following shall be the minimum qualifications for the post of a Assistant Professor in the University namely

Either-

(a) a doctorate degree in Education or research work of an equally high standard; and

(b) consistently good academic record with first or high second class Master's degree, or an equivalent degree of a foreign university in a relevant subject.

Or

(a) a doctorate degree in any University discipline or research work of an equally high standard; and

(b) consistently good academic record with an M.Phil. degree in Education (which may be acquired while in service) or an equivalent degree of a foreign University.

(4) In the case of Law, the minimum qualification for the post of a Assistant Professor in the University shall be a degree of Master of Laws with consistently good academic record.

(5) If the Selection Committee is of the view that the research work of a candidate as evident either from his thesis or from his published work is of very high standard, it may relax any of the qualifications prescribed in. sub-clause (b) of clause (1), or sub-clause (b) of clause (3), as the case may be.

(6) Where in cases referred to in clauses (1) and (3) no candidate possessing doctorate degree or equivalent research work is available or is considered suitable a person possessing a consistently good academic record (weight age being given to M.Phil. or equivalent degree or research work of quality), may be appointed provided he has done research work for at least two years or has practical experience in a research laboratory or organization on the condition that he obtains a doctorate or gives evidence of research work of equivalent high standard within five years of his appointment, failing which he shall not be able to earn future increments until he fulfils the requirements.

(7) For the purpose of this Statutes :-

(a)marks above the mid-point between the minimum percentages of marks fixed by the University for award of first and second divisions are said to be high second class marks;

(b) a candidate for lectureship in the Faculty of Education having obtained either and average of 55 percent marks in the two examinations prior to Master's degree, that is

to say Intermediate and Bachelor degree examinations as also in the examination for B.Ed. (irrespective of the marks obtained in any of the three examinations), or 50 percent marks in each of the three examinations separately, 'said to have a consistently good academic record.

(c) a candidate for lectureship in the Faculty of Education having obtained either an average of 55 percent marks in the two examinations that is to say examinations for L.L.B. and examination for any other Bachelor's degree (irrespective of the marks obtained in any of the two examinations), or 50 percent marks in each of the two examinations separately, is said to have a consistently, good academic record.

(d) a candidate (other than a candidate for lectureship in the Faculties of Education and Law) having obtained either an average of 55 percent marks in the two examinations prior to Master's degree that is to say Intermediate and Bachelors degree examinations) irrespective of the marks obtained in any or the two examinations) or 50 percent marks in each of the two examinations separately , is said to have consistently good academic record.

(1) In the case of the Faculty of Arts (except the department of Music 11.02 and the Faculties of Commerce and Science, the following shall be the minimum qualifications for the post of :-

(a) a Associate Professor in the University, namely:-

(i) good academic record with • a doctorate degree or equivalent published work, and active engagement in research or innovation in teaching methods or production of teaching materials; and

(ii) five years experience of teaching or research including at least three years as Assistant Professor or in an equivalent position :

Provided that the requirement contained in sub-clause (uu) may be relaxed in the case of a candidate who, in the opinion of the Selection Committee, has outstanding Research work to his credit.

(b) a Professor in the University namely:-

Either- eminent scholarship with published work of high quality and active engagement in research and ten years experience of teaching or research and experience of guiding research at doctorate level;

Or

Outstanding scholarship with established reputation for significant contribution to knowledge.

(2) In the case of the Department of a Music in the Faculty of Arts, the following shall be the minimum qualifications for the post of a Associate Professor in the University, namely

Either- (a) consistently good academic record with first of high second class Master's Degree or an equivalent degree or diploma recognized by the University; and

(b) two years research or professional experience or creative work and achievement in his field of specialization or a combined research and professional experience of three years in the field as an artist of outstanding talent;

Or

a traditional or a professional artist with highly commendable professional achievement in the subject concerned; and

(c) five years teaching experience of Degree or Post graduate class in the subject.

Insertion of new Statutes 11.12-A

4. After Statute 11.12 of the said Statutes, the following Statutes shall be inserted, namely:

"11.12-A. In very special circumstances and on the recommendation of the Selection Committee the Executive Council may allow, up to five advance increments, at the time of initial appointment to such teachers as possess exceptionally high academic attainment and experience. If in any case it is necessary to give more than five advance increments, prior approval of the State Government shall be obtained before making the appointment".

Substitution 5. of Statutes 11.13

For Statute 11.13 of the said Statutes, the following Statutes shall

be substituted, namely:-

"11.13. (1) In the case of any college affiliated to the University, the following shall be the minimum qualifications for the post of a Assistant Professor in the Faculty of Arts (except the

Department of Music) and the Faculties of Commerce and Science; namely:-

(a) an M. Phil. degree or a recognized degree beyond Master's level or published work indicating the capacity of a candidate for independent research work; and

(b) consistently good academic record with at least or high second class Master's degree or an equivalent of a foreign University, in a relevant subject.

(2) In the case of any college affiliated to the University, the following shall be the minimum qualification for the post of a Assistant Professor in the Faculty of Education namely:-

(a) an M. Phil. degree or a recognized degree beyond the Master's level or published work indicating the capacity of the candidate for independent research work; and

(b) a consistently good academic record with at least first or high second class Master's degree in Education and also Master's degree or an equivalent degree of a foreign University, in a relevant subject.

(3) In the case of any college affiliated to the University, the minimum- qualifications for the post of -a Assistant Professor in the ;Faculty of Law salt he a degree in Master of Laws with consistently good academic record.

(4) In the case of any college affiliated to the University, the following shall be the minimum qualifications for the post of a Assistant Professor in the Department of Music in the Faculty of Arts, namely :-

Either-

(a) consistently good academic record with first or high second class Master's degree or an equivalent degree or diploma recognized by the University, in a relevant subject; and

(b) two years research or professional experience or evidence of creative work and achievement in his field of Specialization or a combined research and professional experience of three years in the field as an artist outstanding talent.

Or

a traditional or a professional artist with highly commendable profession able achievement in the subject concerned.

(5) If the Selection Committee is of the view that the research work of a candidate as evident either from his thesis or from his published work is of a very high standard, it may relax any of the qualifications prescribed in sub-clause (b) of clause (1), or sub-clause (b) of clause (2), as the case may be.

(6) Where no candidate possessing the qualification prescribed in sub-clause (a) of clause (1) or sub-clause (a) of clause (2), is available or considered suitable the college, on the recommendation of the Selection Committee may appoint a person possessing a consistently good academic record on the condition that he obtains such qualifications, with in five years of his appointment, failing which he shall not be to earn future increments until he fulfils the requirements.

(7) For the purpose of this Statute

(a) the expression "high second class marks" shall have the same meaning as given to it in sub-clause (b) of clause (7) of Statute 11.01;

(b) the expression "consistently good academic record" in relation to Faculty of Education or Faculty of Law or other faculties shall have the same meaning was given to it in sub clause (b) or sub-clause(c) sub-clause (d) of clause of Statute 11.01, as the case may be.

(8) Where a confirmed teacher of an affiliated college having at least five years teaching experience. who fulfilled the qualifications prescribed in the Statutes or Ordinances of the University at the time of his initial appointment to the post of Assistant Professor in that college, is a candidate for the post of a Assistant Professor in any other affiliated college, or is after retrenchment from the college where he served a candidate for the post of Assistant Professor in the same or any other affiliated College, the qualifications laid down in this Statute shall not be insisted upon his respect".

Amendment
of Statute 11.14

6. In Statute 11.14 of the said Statutes, in clause (1) :-

(i) in sub-clause (a), after the words "in one of the subjects taught in the college" the words " or in a subject allied or interconnected there-with" shall be inserted;

(ii) in sub-clause, (b) for the figures "10" where it occurs for the first time, the figure "7" shall be substituted.

- Amendment of Statute 13.05
7. In Statute 13.05 of the said Statutes, after clause(c)the following clause shall be inserted; namely :-
 "(cc) one member of the Management shall be from the non-teaching class III employees of the college: selected for a period of one year by rotation in order of seniority".
- Amendment of Statute 16.02
- 8 Statue no" 16.02" of the said Statute shall be renumbered as "16.02(1)" and after clause (1) as so renumbered, the following clause shall be inserted, namely :-
 "(2) The whole time services of the members of the teaching staff shall be at the disposal of University, and they shall not engage, directly or indirectly in any trade or business or write notes on books prescribed for the examination of the University, or take up any occupation including tuitions, which is likely to be interfere with the duties of their appointment, without the sanction of the University.
 "(3) The work relating to the examination is the part of the duty of every teacher. Refusal of the examination duties will be deemed to be misconduct within the meaning of Statutes 16.04(1)"
- Amendment of Statute
- 9 For Statute 16.09 of the said Statutes, the following Statutes Shall be substituted, 16.09 namely:
 " 16.09 No teacher of the University shall draw for any duties per formed in connection with any examination referred to in section 34 (1). In any calendar Year, any remuneration in excess of one sixth of the aggregate of the salary in the calendar Year or three thousand rupees, whichever is less".
- Amendment of Statutes 16.17
- 10 In Statute 16.17 of the said Statutes, for the third proviso shall be substituted, namely :-
 Provided also that such teachers as are selected for Teacher fellowships' by the University grants Commission "or for training or study in the foreign country under any other scheme sponsored by the Commission may be Granted leave on full pay for the duration of such fellowship, training in study

on such terms and conditions as may be specified by the State Government".

- Amendment of Statute 16.18
- 11 In Statute 16.18 of the said Statute, the following Explanations shall be inserted in the end, namely :-
- "EXPLANATION 1-** A teacher who holds a permanent post or who being permanent on a lower post has been officiating on a higher post for more that three years, shall subject to the concurrence of the State Government, be entitled to count the period of extra ordinary leave sanctioned for undertaking higher scientific any technical studies towards his increment in the time scale.
- EXPLANATION 2-** Subject to the concurrence of the State Government, a teacher who holds a temporary post and has been sanctioned such leave shall, on return from such leave be entitled to get his pay fixed in accordance with Fundamental Rule 27 of the Financial Hand Book, Volume II, Parts H to IV at such stage in the time scale as he would got had he not proceeded on such leave provided that the study for which such leave was sanctioned was in the public interest".
- Amendment of Statute 17.09
12. For Statute of 17.09 of the said Statute, the following shall be substituted, namely :-
- “17.09. No teacher of an affiliated college shall draw for any duties performed in connection with any examination referred to in section 34(1) in any calendar year any remuneration in exceeds of one-sixth of the aggregate of his salary in that calendar year or three thousand rupee whichever is less”.
- Amendment Of Statute 18.05
13. In Statute 18.05, of the said Statutes, in clause (d), after the »words “is appointed” the words, “whether before or after the commencement of these Statutes shall be inserted.

By order
G.K. ARORA
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SIX AMENDMENT

In pursuance of the provisions of clause (3) of Article 348 of the Constitution, the Governor is pleased to order the publication of the following English translation of notification No. 5688/XV-10-80-15(69)-80, dated January 20, 1981:

No.5688/XV-10-80-15(69)-80

Dated Lucknow, January 20, 1981

In exercise of the powers under sub-section (1-A) of Section 150 of the Uttar Pradesh State Universities Act, 1973 (President's Act No. 10 of 1973, as reenacted and amended by the Uttar Pradesh Universities (Reenactment and Amendment) Act, 1974 (U.P. Act no. 29 of 1974) read with section 21 of the U.P. General Clauses Act, 1904 (U.P. Act no. 1 of 1904), the Governor is pleased to make the following Statutes with a view amending the Kumaun University First Statutes, 1977.

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| Short title and commencement | 1 (1) These Statutes may be called the Kumaun University (Sixth Amendment) Statutes, 1981.
(2) They shall come into force with effect from the date of their publication in the Gazette. |
| Amendment of Statute 11.14 | 2. In Statute 1 1.14 of the Kumaun University First Statutes, 1977, in clause(1), in the proviso, for the figure "15" the "12" and for the figure "10" shall be substituted. |

By order
G.K. ARORA
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SEVENTH AMENDMENT

In pursuance of the provisions of clause (3) of Article 348 of the Constitution, the Governor is pleased to order the publication of the following English translation of notification No. 148/xv-10-82-11(12)-81. dated April 5, 1982.

No. 148/XV-10-82-II(12)-81

Dated Lucknow, April 5, 1982

In exercise of the powers under sub-section (I-A) of section 50 of the Uttar Pradesh State Universities Act, 1973 (President's Act No. 10 of 1973) as re-enacted and amended by the Uttar Pradesh Universities (Reenactment and Amendment) Act, 1974 (U.P. Act no. 29 of 1974) read with section 21 of the Uttar Pradesh General Clauses Act, 1904 (U.P. Act no. 1 of 1904), the Governor is pleased to make the following Statutes with a view to amending the Kumaun University First Statutes, 1977.

Short title and Commencement	1. These Statutes may be called the Kumaun University (Seventh Amendment) First Statutes, 1982.
Amendment of Statute 18.05	2. In Statute 18.05 of the Kumaun University, First Statutes, 1977, for clause (c) the following clause shall be substituted, namely:-

“(c)When any teacher holding substantive post A in any University (other than the University of Kumaun) or in any constituent college or in any Institute "whether in the State of Uttar Pradesh or outside Uttar Pradesh is appointed whether before or after August 1, 1981 to a post of corresponding rank or grade in the University, the period of service rendered by such teacher in that grade or rank in such University shall be added to his length of service”.

EIGHTH AMENDMENT

In pursuance of the provisions of clause (3) of Article 348 of the Constitution, the Governor is pleased to order the publication of the following English translation of notification no.2143/XV-10-82-15(23)-81, dated May 31 1982.

No. 2143/XV 910-82-15(23)-81

Dated Lucknow, May 31, 1982

In exercise of the powers under sub-section (I-A) of section 50 of the Uttar Pradesh State Universities Act, 1973 (President's Act no. 10 of 1973) as reenacted and amended by the Uttar Pradesh Universities (Reenacted and Amendment) Act, 1974 (U.P. Act No. of 29 (1974) read with section 21 of the Uttar Pradesh' General

Clause Act, 1904 (U.P. Act no. 1 of 1904) the Governor is pleased to make the following Statutes with a view to amending the Kumaun University First Statutes, 1977.

**THE KUMAUN UNIVERSITY (EIGHTH AMENDMENT)
FIRST STATUTES, 1982**

Short title and Commencement	1. (i) These 'statutes may be called the Kumaun University (Eighth Amendment) First Statutes, 1982. (ii) They shall come into force with effect from the date of their publication in the Gazette.
Amendment of Statutes, 11.13	2. In Statute 11.13 of the Kumaun University First Statutes, 1977 in clause (6) the following proviso shall be inserted in the end, namely:- "Provided that in the case of a person appointed under this provision before June 30, 1979, his further increments shall not be withheld unless he fails to fulfill the requirement June 30, 1984.

NINTH AMENDMENT

In pursuance of the provisions of clause (3) of Article 348 of the Constitution, the Governor is pleased to order the publication of the following English translation of notification no. 5132/XV-10-82-15(112)-80 dated April 8, 1983.

No. 5132/XV-10-82-1 5(112)-80

Dated Lucknow, April 8, 1983

In exercise of the powers under sub-section (I-A) of section 50 of the Uttar Pradesh State Universities Act, 1973 (President's Act no. X of 1973), as re-enacted and amended by the Uttar Pradesh Universities (Reenactment and Amendment) Act 1974 (U.P. Act no. XXIX of 1974), read with section 21 of the Uttar Pradesh General Clauses Act, 1904 (U.P. Act, no. 1 of 1904), the Governor is pleased to make the following Statutes with a view to amending the Kumaun University First Statutes 1977 :

**THE KUMAUN UNIVERSITY NINTH AMENDMENT)
FIRST STATUTES, 1983**

Short title of Commencement	1. (i) These Statutes may be called the Kumaun University (Ninth Amendment) First Statutes, 1983. (ii) They shall be deemed to have come into force on November 20, 1977.
Amendment of	2. In Statute 21.01 of the Kumaun University First Statute, 1977,

Statutes 21.01	<p>hereinafter referred to as the said Statutes after clause(3) the following clause shall be inserted, namely :-</p> <p>“(4) ‘Armed Forces of the Union’ means the Naval Military or Air Forces of the Union and includes the Armed Forces of the Former Indian States.</p> <p>(5)‘Disabled ex-servicemen’ means an ex-serviceman who while serving in the ‘Armed Forces of the Union’ was disabled in the course of operation against the enemy or in disturbed area.</p> <p>(6) ‘Ex-serviceman’ means a person who had served in any rank (whether as a combatant or non combatant) in the Armed Forces of the Union for a continuous period of not less than six months and –</p> <p>(i) has been released (otherwise than by way of dismissal or discharge on account of misconduct or inefficiency) or has been transferred to the reserve; pending such released, or</p> <p>(ii) has serve for not more, than six months for completing the period of service requisite for becoming entitled to be so released or transferred to the reserve.”</p>
Amendment Statute 21.04	<p>3. In Statute 21.04 of the said statutes, for the word and figures “Statute 21.6” the word and figures, Statute 21.06 shall be substituted.</p>
Insertion of new Statute 21.04-A	<p>4. After Statute 21.04 of the said Statutes, the following new Statute shall be inserted, namely :-</p> <p>“21.04-A Ten percent of the vacancies in Class III services and posts and five percent of the vacancies in Class IV services and posts, including temporary vacancies which are likely to be made permanent or to continue for a period of more than one year, to be filled by direct recruitment in any year, Shall be reserved for being filled by ex -servicemen :</p> <p>Provided that the vacancies to reserved shall be utilized first for the appointment of disabled Post to be so filled are not such as the ex -serviceman so long as the duties of the disabled ex -servicemen are incapable of performing due to their disability: and if any such vacancies still remain unfilled the same shall then be utilized for appointment of other ex -servicemen”.</p>

Amendment of
Statutes 21.06

In Statute 21.06 of the said Statutes :-

(i) In clause (1) of sub -clause (vii) and (viii) the following sub clauses shall be substituted and be deemed to have been substituted with effect from November 5, 1979 namely:-

"(vii) Class Four staff -For class IV posts, passed class V from a recognized school: Provided that no educational qualification shall be required for the post of sweeper but preference will be given to a person who is educated or is at least able to read and write Hindi in denary script.

(viii) Other Posts -For any other post not covered by the preceding clauses, such minimum qualification as may be specified by the State Government by general or special orders:

(ii) after clause(1), the following clause shall be inserted. namely :-

"(1-A) Notwithstanding anything contained in clause (1) :-

(i) the minimum qualification for appointment of an ex-serviceman to reserved vacancies in Class III services and posts, shall be Intermediate wherever the qualification prescribed in this Statute is a degree of a University, and High School or any other qualification recognized as equivalent prescribed in this Statute is Intermediate, and there shall be no relaxation where the prescribed qualification is High School or a qualification equivalent thereto.

(ii) for Class IV services and posts no educational qualification shall be required for ex-servicemen otherwise considered suitable in the reserved vacancies in such services and posts."

Amendment of
Statute 21.07

6. In Statute 21.07 of the said Statutes :-

(i) In clause (i) after the second proviso, the following proviso shall be inserted, namely "Provided also that for appointment to a vacancy reserved for ex-servicemen the maximum age shall be higher by the period of service of the candidate in the Armed Forces plus three years."

(ii) after clause (2), the following clause shall be insured, namely :-

"(3) In case of class IV employee, who has put in a continuous service of three years or more and has the prescribed

qualification for appointment to the post of a routine clerk or an equivalent post to be filled in by direct recruitment the maximum age limit may be relaxed up to 40 years. In special circumstances, relaxation beyond the age of 40 years may be made with the prior approval of the Director (Higher Education).”

Amendment
of Statute 21.10

7. In Statute 21.10 of the said Statutes, the following Explanation shall be inserted in the end, namely :-

“Explanation – An ex-serviceman appointed in a vacancy reserved for ex-servicemen shall not be entitled to any higher pay merely on account of his past service in the Armed Force of the Union.”

Insertion of new
Statute 21.17

8. After Statute 21.16 of the said Statutes, the following Statute shall be inserted, namely :-

employment of a
dependant of
deceased
employee

“21.17 Where a confirmed employee or an employee who had been holding a temporary post continuously for not less than three years dies while in service, one dependant of the deceased employee, who applies for a post in the college and possesses the minimum educational qualifications for such post may, with prior approval of the Director (Higher Education), be appointed by the Management in relaxation of the procedure for the selection and of the maximum age limit.

“Explanation- For the purpose of this Statute ‘dependant’ means the son, unmarried or widowed daughter, widower of the deceased”.

TENTH AMENDMENT

In pursuance of the provisions of clause (3) of the Constitution, the Governor is pleased to order the publication of the following English translation of notification no. 2100/XV-10-84-15|(3)-84, dated May, 19, 1984 :

No. 2100/XV-10-84-15(3)-84

Dated Lucknow, May 19, 1984

In exercise of the powers under sub-section (1-A) of section 10 of the Uttar Pradesh State Universities Act, 1973 (President’s Act No. 10 of 1973) as re-enactment and amended by the Uttar Pradesh Universities (Re-enactment and Amendment) Act, 1974 (U.P. Act no. 29 of 1974) read with section 21 of the Uttar Pradesh General Clauses Act, 1904 (U.P. Act no. 1 of 1904) the Governor is pleased

to make the following Statutes with a view to amending the Kumaun University First Statutes, 1977 :

**THE KUMAUN UNIVERISYT (TENTH AMENDMENT)
FIRST STATUTES, 1984**

- | | |
|------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Short title and commencement | 1. (i) These Statutes may be called the Kumaun University (Tenth Amendment) First Statutes, 1984
(ii) They shall be deemed to have come into force on November 20, 1977. |
| Amendment of Statute 16.18 | 2. In Statute 16.18 of the Kumaun University First Statutes, 1977 for the words, "but it shall never be granted for a period exceeding three years, except in the circumstances mentioned in Statute 16.11" the words "for a period not exceeding three years initially but may be extended for a period not exceeding two years under special circumstances, except in the circumstances mentioned in Statute, 16.10, shall be substituted. |

TWELTH AMENDMENT

In pursuance of the provision of clause (3) of Article 348 of the Constitutions, the Governor is pleased to order the publication of the following English translation of notification No. 4491/XV-X-84-15(105)-82, dated September 25, 1982 :

No. 4491/XV-X-84-15(105)-82
Dated Lucknow, September 25, 1984

In exercise of the powers under sub-section (1-A) of Section 50 of the Uttar Pradesh State Universities (Re-enactment and Amendment) Act, 1974 (U.P. Act no. 29 of 1974) read with section 21 of the U.P. General Clauses Act, 1904 (U.P. Act no. 1 of 1904), the Governor is pleased to make the following Statutes with a view to amending the Kumaun University First Statutes, 1977.

**THE KUMAUN UNIVERSITY
(TWELTH AMENDMENT) FIRST STATUTE, 1984**

- | | |
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| Short title and | 1. (1) These Statutes may be called of Kumaun University \ |
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- Commencement (twelfth Amendment) First Statutes, 1984
(2) They shall come into force with effect from the date of publication in the Gazette.
- Amendment of Statute 11.02 2. In Statutes 11.02 of the Kumaun University First Statutes 1977, hereinafter to as the said Statutes, for the words in the case of the Faculty of Arts (except the department of music) and the Faculties of Commerce and Science” the words “In the case of the Faculty of arts (except the department of music) and the Faculties Commerce, Science Education and Law” shall be substituted.
- Substitution of Statute 21.17 3. In the said Statutes, for the existing Statute 21.17, the following Chapter and Statute shall be substituted, namely :-

CHAPTER XXI :A

EMPLOYMENT OF THE DEPENDANT OF DECEASED EMPLOYEES OF THE COLLEGE

Employment to be given to the dependant of a Deceased employee	21-A-01 Where a confirmed employee or an employee had been holding a temporary post continuously for not less than three years dies while in service, one dependant of the deceased employee who applies for a vacant non-teaching post in the college and possesses minimum educational qualification for such post may, with the prior approval of the Director (Higher Education) be appointed by the Management in relaxation of the procedure for selection and maximum age limit.
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Explanation – For the purposes of the Statute :-

- (i) 'dependant' mean the son, unmarried or widowed daughter, widow or the widower of the deceased :
- (ii) 'employee includes teacher employed in the institution.'

THIRTEENTH AMENDMENT

In pursuance of the provision of clause (3) of Article 348 of the Constitution, the Governor is pleased to order the publication of the following English translation of notification No. 926/XV-X-85-15(75)-83, dated March 4, 1985

No. 926/XV-X-85-15(75)-83
Dated Lucknow, March 4, 1985

In exercise of the powers under sub-section (1-A) of section 50 of the Uttar Pradesh State Universities Act, 1973 (President's Act no. 10 of 1973) as re-enacted and amended by the Uttar Pradesh Universities (Re-enactment and Amendment) Act, 1974 (U.P. Act No. 29 of 1974) read with section 21 of the Uttar Pradesh General Clauses Act, 1904 (U.P. Act no. 1 of 1904) the Governor is pleased to make the following Statutes with a view to amending the Kumaun University First Statutes, 1977.

**THE KUMAUN UNIVERSITY (THIRTEENTH AMENDMENT)
FIRST STATUTES, 1985**

Short title and Commencement	1. (i) These statutes may be called the Kumaun University (Thirteenth Amendment) First Statutes, 1985. (ii) They shall be deemed to have come into force on November 20, 1977
Insection of Chapter II-A	2. After Chapter II of the Kumaun University First Statutes, 1977 hereinafter referred to as the said Statutes the following Chapter shall be inserted, namely :-

CHAPTER : A

Section of 9(i) Insection of new chapter	2.01-A, The members of the Executive Council shall be the Officers of the University. 3. After Chapter XXII of the said Statutes the following chapter shall be inserted, namely :-
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**CHAPTER XXIII
SURCHARGE**

Definitions	23.01. In these Statues unless there is anything repugnant in the subject or context :- (1) 'Examiner' means the Examiner, Local Fund Accounts, U.P. (2) 'Government' means the Government of Uttar Pradesh. (3) "Officer of the University" means an officer mentioned in any of the clauses © to (h) of section 9 of the Act and the officers declared as such under Statutes 2 01-A. 23.02 (i) In any case where the Examiner is of the opinion that there has been a loss, waste or misapplication which includes misappropriation or unjustifiable expenditure, of any money or property of the University as a direct consequence of neglect or misconduct of an officer he may call upon the officer to explain in writing why such officer should not be surcharged with the amount of such loss, waste or misapplication of money or the amount which represents the loss, waste or misapplication of property and such explanation will be furnished within a period
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not exceeding two months from the date such requisition is communicated to the person concerned :

Provided that explanation from any of the officers than the Vice—Chancellor shall be called for through the Vice-Chancellor.

NOTES :- (1) Any information required by the Examiner, or by a person appointed by him for the purpose, for preliminary inquiry shall be furnished and all connected papers and records shown to him by the officer (or if such information, papers or records are in possession of a person other than the said officer, by such person) within a reasonable time not exceeding two weeks in any case.

(2) Without prejudice to the generality of the provisions contained in clause (1) the Examiner may call for the explanation in the following cases :-

(a) Where expenditure has been incurred in contravention of the provision of these Statutes or of the Act of the Ordinances or regulations made there under;

(b) Where loss has been caused by acceptance of a higher tender without sufficient recorded reasons;

(c) Where any sum due to the University has been remitted in contravention of the provisions of these Statutes or of the Act or the Ordinances or regulations made there under:

(d) Where loss has been caused to the university by neglect in realising its dues;

(e) Where loss has been caused to the funds or property of the University on account of want of reasonable care for the custody of such money or property.

3. On the written requisition of the officer from whom an explanation has been called the University shall give him necessary facilities for inspection of the connected records. The Examiner may on an application from the officer concerned allow a reasonable extension of time for submission of his explanation if he is satisfied that the officer charged has been unable for reasons beyond his control to inspect the connected records for the purpose of furnishing his explanation.

Explanation – Making of an appointment in contravention of the Act or the Statutes or Ordinances made there under shall amount to misconduct and payments to the persons concerned of salary or other dues on account of such irregular appointment will be deemed to be a loss, waste or misapplication of University money.

23.03. After the expiry of the period prescribed and after considering the explanation, if received within time, the Examiner may surcharge the officer with the whole or a part of the sum for which such officer may in his opinion be liable :

Provided that in the case of loss, waste or misapplication accruing as a result of neglect or misconduct of two or more officers each such officer shall be jointly and severally liable :

Provided also that no officer shall be liable for any loss, waste or misapplication after the expiry of ten years from the occurrence of such loss, waste or misapplication or after the expiry of six years from the date of his ceasing to be such officer whichever is later.

23.04. Any officer aggrieved by an order of surcharge passed by the examiner may prefer an appeal to the Commissioner of the division in which the university is situated within thirty days from the date on which such order is communicated to him. The Commissioner may confirm, rescind or vary the order passed by the Examiner or may pass such order as he thinks fit. The order so passed shall be final, and no appeal shall lie against it.

23.05. (i) The officer who has been surcharged shall pay the amount of surcharge within sixty days from the date on which such order is communicated to him or within such further time not exceeding one year from the said date as may be permitted by the Examiner :

Provided that where an appeal has been preferred under Statute 23.04 against the order of surcharge passed by the Examiner all proceedings for recovery of the amount from the person who has preferred the appeal may be stayed by the Commissioner until the appeal has been finally decided.

(ii) If the amount of surcharge is not paid within the period specified in clause (1) it shall be recoverable as arrears of land revenue.

23.06. Where a suit is instituted in a court to question an order of surcharge and the Examiner or the State Government in a defendant in such a suit all costs incurred in defending the suit shall be paid by the University and it shall be the duty of the university to make such payment without any delay.

FOURTEENTH AMENDMENT

In pursuance of the provisions of clause (3) of Article 348 of the Constitution, the Governor is pleased to order the publication of the following English translation of notification No. 1126/XV-X-85-9(6)-80, dated March 28, 1985.

No. 1126/XV-X-85-9(6)-80
Dated Lucknow, March 28, 1985

In exercise of the powers under sub-section (1-A) of section 50 of the Uttar Pradesh State Universities Act, 1973 (President's Act no. 10 of 1973) as reenacted and amended by the Uttar Pradesh Universities (Re-enactment and Amendment) Act, 1974 (U.P. Act no. 29 of 1974) read with section 21 of the Uttar Pradesh General Clauses Act, 1904 (U.P. Act no. 1 of 1904) the Governor is pleased to make the following Statutes with a view to amending the Kumaun University First Statutes, 1977

THE KUMAUN UNIVERSITY (FOURTEENTH AMENDMENT) FIRST STATUTES, 1985

Short title and Commencement	1. (i) These Statutes may be called the Kumaun University (Fourteenth Amendment) First Statutes, 1985. (ii) They shall come into force at once.
In section of Statute 11.12-A	2. After Statute 11. 12-A of the Kumaun University First Statutes, 1977, hereinafter referred to as the said Statute, the following statute shall inserted, namely :- "11.12-B (i) Notwithstanding anything to the contrary contained in Statute 11.02 or in any other Statute the following categories of teachers of the university shall be eligible for personal promotion to the post of Associate Professors or Professors as the case may be :

Associate Professor Post :-

- (i) Assistant Professor who are Ph.D. and have put in at least 13 years full time continuous service, as such.
- (ii) Assistant Professor who are not Ph.D. but have put in at least 16 years full-time continuous service, as such

Professor's Post :-

Associate Professor who have put in at least 10 years full-time continuous service as such.

Explanation- Associate Professor shall mean a teacher who has worked as Associate Professor in a University.

(2) The service, referred to in clause (1) must have been rendered on an approved post.

(i) in permanent, temporary or ad-hoc capacity.

(ii) In this University or in any other University, Post Graduate or Under-Graduate college or Institute, so however that at least five years permanent service must have been rendered in this University after regular selection through the selection committee constituted under clause (a) of sub-section (4) of section 31 of the Act.

(3)The teacher of the University who is eligible for personal promotion shall submit a Self-Assessment Report in the preformed given in Appendix E, containing information relating to his satisfactory work, to the Registrar.

Explanation – Satisfactory work shall mean the work done with reference to the work expected from a teacher of the University under University Regulations Statutes or Ordinances.

(4) The Selection Committee constituted under clause (a) of sub-section(4) of section 31 of the Act, shall consider the Self-Assessment Report, service Record (including Character Roll) and such other relevant records as may be placed before, or as considered necessary by it. The meeting of the Selection Committee for considering cases of personal promotion shall be held at least once every year.

(5) The Selection Committee shall submits is recommendation to the Executive Council and the Executive Council shall,

subject to the, provisions of clause (6), grant personal promotion on the basis of such recommendation.

- (6) The benefit of personal promotion shall be admissible to Assistant Professors for promotion to the post of Associate Professor only and Associate Professor so appointed by promotion shall not be entitled to personal promotion on the post of the Professor.
- (7) Personal promotion on the post of Associate Professor or Professor, as the case may be, shall take effect from the date of taking over charge of the said post.
- (8) As result of personal promotion, there shall be no reduction in the work load of the teacher of the University.
- (9) In case a teacher of the University is not found suitable for personal promotion he may offer himself again for such promotion after two years and he shall be considered by the Selection Committee along with the teachers of the University shall have since become eligible.
- (10) In case the Selection Committee does not find a teacher of the University suitable for personal promotion, it shall state the reasons.
- (11) (i) The post of Associate Professor or Professor, to which personal promotion is made, shall be deemed to be temporary addition to the cadre of Professor or Associate Professor, as the case may be, and the post shall stand abolished on the incumbent ceasing to occupy it.
(ii) On the Associate Professor ceasing to occupy the post of Professor to which he was given personal promotion, new appointment, if any, shall be made on the post of Associate Professor and similarly on the Assistant Professor ceasing to occupy the post of the Associate Professor, new appointment, if any, shall be made on the post of Assistant Professor”.

Amendment in
Statute 18.05

3. In the said Statutes, for clause (b) of Statute 18.05, the following clause shall be substituted, namely :-

“(b) in the same cadre, interse seniority of teacher appointed by personal promotion or by direct recruitment, shall be determined according to length of continuous service in a substantive capacity in such cadre :

Provided that where more than one appointment have been made by direct recruitment at the same time and an order of preference or merit was indicated by the Selection Committee or by the Executive Council, as the case may be, the inters seniority of persons so appointed shall the governed by the order so indicated.

Provided further that where more than one appointment have been made by promotion as the same, time the inters seniority of the teachers so appointed shall be the same as it was in the post held y them at the time of promotion”.

APPENDIX ‘D’

(see Statute 11.12-B)

KUMAUN UNIVERSITY

** PERFORMA FOR SELF-ASSESSMENT

Date.....

Section I

- 1. Name
- 2. Designation
- 3. Date of Birth
- 4. Academic Qualification
- 5. Date of Joining the University
- 6. Date of Confirmation
- 7. Teaching Experience

Name of Institution	Position Held*	From	To	Total Period

*Indicate also whether temporary/ad-hoc/permanent.

- 8. Course taught at various levels : (Name the course, give details)
 - (a) Under Graduate

(b) Post Graduate

9. Course taught during the last three years (give exact details)

(a) Under Graduate

(b) Post Graduate

10. Details of source of materials consulted by you for the course taught (books, journals etc.)

11. Details of teaching methods/employed by you :

(Assistant Professors, tutorials, seminars, practical's etc.)

12. Details of Tutorials during the last academic year :

Under Graduate Courses		Post Graduate Courses	
Number held :			
Assignment Checked			

13. Were you able to meet the classes allotted to you during the last academic year in any of the levels of regularity given below : (circle what is applicable)

(a) 90% to 100%

(b) 80% to 90%

(c) 70% to 80%

(d) below 70%

Section II

1. Give details of the following degrees :-

University	Year of the award	Topic of Dissertation
M. Phil.		
Ph.D.		
D. Litt.		
D. Sc.		

2. Details of thesis, if published (A copy may be enclosed).\
3. Details of published research papers, books, monographs, reviews, chapter in books, translations and creative writing etc., if any.
4. Participation in conferences, seminars, workshops. Give details of the papers presented and/or official position held.
5. Summer Institutes, refreshed or orientation course attended. Give details.
6. Details of research guidance/Professional consultancy, if any.
7. Membership or Fellowship or Professional / Academic Bodies, Societies etc. Give details.
8. Any other information regarding academic activities not covered under this section.

Section – III

Details of your contribution to the corporate life of your Institution.

1.
 - (a) Curriculum development.
 - (b) Cultural / extra curricular activity.
 - (c) Sports / community and extension services.
 - (d) Administrative assignment.
 - (e) Any other.
2. Any other information not covered in the above questionnaire.

I certif. that the information given above is correct and factual to the best of my knowledge.

Signature.....

Department.....

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FIFTEENTH AMENDMENT

In pursuance of the provisions of clause (3) of Article 348 of the Constitution, the governor is pleased to order the publication of the following English translation of notification No. 3358/XV-10-85-10(6)-85, dated June 21, 1985

No. 3358/XV-10-85-10(6)-85

Dated Lucknow, June 21, 1985

In exercise of the powers under sub section (1-A) of section 50 of the Uttar Pradesh State Universities Act, 1973 (President's Act no. 10 of 1973) as re-enacted and amended by the Uttar Pradesh Universities (Re-enactment and Amendment) Act, 1974 (U.P. Act No. 29 of 1974) read with section 21 of the Uttar Pradesh General Clauses Act, 1904 (U.P. Act no. 1 of 1904) the Governor is pleased to make the following Statutes with a view to amending the Kumaun University First Statutes, 1977.

**KUMAUN UNIVERSITY (FIFTEENTH AMENDMENT)
FIRST STATUTES, 1985**

Short title and Commencement	<p>1 (i) These Statutes may be called the Kumaun University (Fifteenth Amendment) First Statutes, 1985.</p> <p>(ii) They shall come into force at once.</p>
Amendment of Statute 16.24	<p>2. In the Kumaun university First Statutes, 1977 (hereinafter of Statute referred to as the said Statute) for the existing proviso to Statute 16.24(2); the following proviso shall be substituted; namely :-</p> <p style="text-align: center;">“Provided</p> <p>(i) Whose date of superannuation does not fall on June 30, or</p> <p>(ii) Whose date of birth is July, 1 and who having been employed from before the commencement of these Statutes continues to be in service as such on the date of commencement of the Kumaun University (Fifteenth Amendment) Statutes, 1985. Shall continue in service till the end of the academy session, that is June 30, following and will be treated as on re-employment from the date immediately following his super annuation till June 30, following”.</p>
Amendment of Statute 17.15	<p>3. In the said Statutes, for the existing proviso to Statute 17.15 following proviso shall be substituted, namely :-</p> <p style="text-align: center;">“Provided that a teacher :-</p> <p>(i) Whose date of superannuation does not fall on June 30, or</p> <p>(ii) Whose date of birth is July 1, and who having been employed from before the commencement of these Statutes</p>

continues to be in service as such on the date of commencement of the Kumaun University (Fifteenth Amendment) Statutes, 1985;

Shall continue in service till the end of the academic session, that is, June 30 following and will be treated as on re-employment from the date immediately following his superannuation till June 30, following.”

SIXTEENTH AMENDMENT

In pursuance of the provisions of clause (3) of Article 348 of the Constitution, the Governor is pleased to order the publication of the following English translation of notification no. 3661/XV-X-85-15(185)-84, dated September 4, 1985;

no. 3661/XV-X-85-15(185)-84
Dated Lucknow, September 4, 1985

In exercise of the powers under sub-section (1-A) of section 50 of the Uttar Pradesh State Universities Act, 1973 (President's Act no. 10 of 1973) as re-enacted and amended by the Uttar Pradesh Universities (Re-enactment and Amendment) Act, 1974 (U.P. Act no. 29 of 1974) read with section 21 of the Uttar Pradesh General Clauses Act 1904 (U.P. Act no. 1 of 1904) the Governor is pleased to make the following Statutes with a view to amending the Kumaun University First Statutes, 1977.

THE KUMAUN UNIVERSITY (SIXTEENTH AMENDMENT) FIRST STATUTES, 1985

Short title and Commencement	1. (1) These Statutes may be called the Kumaun University (Sixteenth Amendment) First Statutes 1985. 2. They shall be deemed to have come into force August 2, 1984
Amendment of Statute 16.24	2. In statute 16.24 of the Kumaun University First Statutes, 1977 hereinafter referred to as the said Statutes after the existing proviso the following shall be inserted at the end, namely :-

“Provided further that such physically and mentally fit teacher shall be reappointed for a further period of one year, after June 30, following the date of their superannuation as were imprisoned for taking part in freedom struggle of 1942 and are getting freedom fighters pension.”

Amendment of
Statute 17.15

3. In Statute 17.15 of the said statute after the existing proviso the following proviso shall be inserted at the end, namely :-

“Provided further that such physically and mentally fit teachers shall be reappointed for a further period of one year, after June 30 following the date of their superannuation as were imprisoned for taking part in freedom struggle of 1942 and are getting freedom fighters pension.”

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EIGHTEENTH AMENDMENT

Uttar Pradesh Shasan
Shiksha Anubhag – 10

In pursuance of the provisions of clause (3) of article 348 of the Constitution, the Governor is pleased to order the publication of following English translation of notification No. 5211/XV-X-87-15(1)/85, dated October 27, 1987.

Notification

No. 5211/XV-X-87-15(1)/85
Lucknow : Dated, October 27, 1987

In exercise of the powers under sub-section (1-A) of section 50 of the Uttar Pradesh State Universities Act, 1973 (President's Act No. 10 of 1973) as re-enacted and amended by the Uttar Pradesh Universities (Re-enactment and Amendment) Act, 1974 (U.P. Act No. 29 of 1974) read with section No. 1 of 1904), the Governor is pleased to make the following Statutes with a view to amending the Kumaun University First Statutes, 1977 :

THE KUMAUN UNIVERSITY (EIGHTEENTH AMENDMENT) FIRST STATUTES, 1987

- | | |
|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Short title | 1. (1) These Statutes may be called the and Kumaun |
| Commencement | University (Eighteenth Amendment) First Statutes, 1987.
(2) They shall come into force with effect from the date of their publication in Gazettee. |
| Amendment of Statute 7.06 | 2. In Statute 7.06 of the Kumaun University First Statute, 1977, hereinafter referred to as the said Statutes, after item No. (16) the following item shall be inserted, namely :- |

Amendment of
Statute 11.01

“(17) Physical Education”

3. In Statute 11.01 of the said Statutes :-

(i) In clause (1) for the words “Faculty of Arts (except the Department of Music) “the words” Faculty of Arts (except the Departments of Music and Physical Education)” shall be substituted ;

(ii) after clause (1) the following clause shall be inserted namely :-

“(1-A) In the case of the Department of Physical Education in the Faculty of Arts, following shall be the minimum qualifications for the post of Assistant Professor in the University, namely ;

(a) an M.Phil, degree or recognized degree beyond; Master’s level or published work indicating the capacity of the candidate for independent research work; and

(b) consistently good academic record with first or high second class Master’s Degree in Physical Education or an equivalent degree of a foreign University; and

(c) desirably a Doctorate degree in a relevant subject or research work of an equally high standard.

(iii) in clause (5) for the words “letter and figure” in sub-clause (b) of clause (1) or in sub-clause(b) of clause (3) “the words, letters and figures “in sub-clause (b) of clause (1) of clause (3)” shall be substituted.

(iv) after clause (6) following clause shall be inserted, namely :-

“(6-A) Where in cases referred to in clause (1-A), no candidate possessing an M.Phil Degree of equivalent research work is available or is considered suitable, a person possessing a consistently good academic record may be appointed provided he has done research work for at least one year or has practical experience in a research laboratory or organization on the condition that he obtains M.Phil. degree or recognized degree beyond Master’s level degree or given evidence of research work of equivalent high standard within five years of his

appointment failing which he shall not be able to earn future increments until he fulfills these requirements.”

Amendment of
Statutes 11.13

In Statute 11.13 of said Statutes :

(i) in clause (1) for the words “Faculty of Arts (except the Department of Music)” the words “Faculty of Arts (except the Department of Music and Physical Education)”, shall be substituted.

(ii) after clause (1) the following clause shall be inserted, namely : (1-A) in the case of any college affiliated with the University the following shall be the minimum qualifications for the post of Assistant Professor in Physical Education, namely :-

(a) an M.Phil. degree or a recognized degree beyond Master’s level or published work indicating the capacity of the candidate for the independent research work; and

(b) Consistently good academic record with first or high second class Master’s degree in Physical Education of equivalent degree of foreign University.

(iii) in clause (5) for the words, letters and figures “in sub-clause (b) of clause (1), or sub-clause (b) of clause (2) “the words, letters and figures” in sub-clause (b) of clause (1), or sub-clause (b) of clause (1-A) or sub-clause (b) of clause (2) “shall be substituted.

(iv) in clause (6) for the words, letters and figures “the sub-clause (a) of clause(1), or sub-clause (A) of clause (2) “the words, letters and figures “in sub-clause (a) of clause (1), or sub-clause (a) of clause (1-A), or sub-clause (a) of clause (2)” shall be substituted.

By order
J.C. Pant
Principal Secretary

NINETEENTH AMENDMENT

Uttar Pradesh Shasan
Shiksha Anubhag – 10

In pursuance of the provisions of clause (3) of article 348 of the Constitution, the Governor is pleased to order the publication of the following English translation of notification No. 3758/XV-X-87-15(357)/85, dated December 18, 1987.

NOTIFICATION

No. 3758/XV-X-87-15(357)/85
Lucknow : Dated December 8, 1987

In exercise of the powers under sub-section (1-A) of section 50 of the Uttar Pradesh State Universities Act, 1973 (President's Act No. 10 of 1973), as re-enacted and amended by the Uttar Pradesh Universities (Re-enactment and Amendment) Act, 1974 (U.P. Act No. 29 of 1974), read with section 21 of the Uttar Pradesh General Clauses Act, 1904 (U.P. Act No. 1 of 1904), the Governor is pleased to make the following Statutes with a view to amending the Kumaun University First Statutes, 1977 :

THE KUMAUN UNIVERSITY (NINETEENTH AMENDMENT) FIRST STATUTES, 1987

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| Short title and Commencement | 1. (1) These Statutes may be called the Kumaun University (Nineteenth Amendment) First Statutes, 1987.
(2) They shall be deemed to have come into force with effect from March 16, 1979. |
| Amendment of Statute 21.07 | 2. For Statute 21.07 of the Kumaun University First Statutes, 1977, the following Statute shall be substituted namely :-

"21.07. For appointment of an employee in a college, through direct recruitment, the minimum age of the candidate shall be 18 years and maximum age for the posts of a Routine Clerk or a post in equivalent scale of pay shall be 30 years, and for any other post, referred to in clauses (1) and (3) of Statute 21.03, it shall be 40 years. The maximum age shall be higher by five years in the case of candidate belonging to a Scheduled Caste or a Scheduled Tribe. |

Provided that with the prior consent of the Director of Education (Higher Education) the condition of a maximum age limit of 30 or 40 years, as the case may be, referred to above, may be relaxed up to 5 years in special circumstances.

Provided further that the maximum age limit shall not apply to an employee referred to in the Statute 21.16 and proviso to Clause (1) of Statute 21.03 :

Provided also that for appointment to a vacancy reserved for ex-servicemen, the maximum age shall be higher by the period of service of the candidate in the Armed Forces plus three years”.

By Order
J.C. Pant
Principal Secretary

TWENTIETH AMENDMENT

Uttar Pradesh Shasan
Shiksha Anubhag – 10

In pursuance of the provisions of clause (3) of article 348 of the Constitution, the Governor is pleased to order the publication of the following English translation of notification No. 5641/XV-X-87-10(6)/85, dated December 21, 1987.

NOTIFICATION

No5641/XV-X-87-10(6)/85
Lucknow : Dated December 21, 1987

In exercise of the powers under sub-section (1-A) of section 50 of the Uttar Pradesh State Universities Act, 1973 (President's Act No. 10 of 1973), as re-enacted and amended by the Uttar Pradesh Universities (Re-enactment and Amendment) Act, 1974 (U.P. Act No. 29 of 1974), read with section 21 of the Uttar Pradesh General Clauses Act, 1904 (U.P. Act No. 1 of 1904), the Governor is pleased to make the following Statutes with a view to amending the Kumaun University First Statutes, 1977 :

**THE KUMAUN UNIVERSITY (TWENTIETH AMENDMENT)
FIRST STATUTES, 1987**

- Short title and Commencement
1. (1) These Statutes may be called the Kumaun University (Twentieth Amendment) First Statutes, 1987.
(2) They shall come into force from the date of their publication in the Gazette.
- Amendment of Statute 16.24
2. In Statute 16.24 of the Kumaun University First Statutes, 1977 hereinafter referred to as the said Statutes for the existing proviso the following proviso shall be substituted namely :-
“Provided that a teacher whose date of superannuation does not fall on June 3 shall continue in service till the end of the academic session, that is June 30 following, and will be treated as on re-employment from the date immediately following his superannuation till June 30, following :
Provided further that such physically and mentally fit teachers shall be re-appointed for a further period of one year, after June 30 following, the date of their superannuation, as were imprisoned for taking part in freedom struggle of 1942 and are getting freedom fighters pension”.
- Amendment of Statute 17.15
3. In Statute 17.15 of the said Statutes for the existing provisos the following provisos shall be substituted, namely :-
“Provided that a teacher whose date of superannuation does not fall on June 30 shall continue in service till the end of the academic session, that is, June 30 following and will be treated as on re-employment from the date immediately following his superannuation till June 30, following :
Provided further that such physically and mentally fit teachers shall be re-appointed for a further period of one year, after June 30 following, the date of their superannuation, as were imprisoned for taking part in freedom struggle of 1942 and are getting freedom fighters pension”.

By Order
J.C. Pant
Principal Secretary

**Uttar Pradesh Shasan
Shiksha Anubhag – 10**

In pursuance of the provisions of clause (3) of article 348 of the Constitution, the Governor is pleased to order the publication of the following English translation of notification No. 3451/XV-X-88-8(6)/87, dated May, 1988.

**NOTIFICATION
No. 3451/XV-X-88-8(6)/87
Lucknow : Dated May 1988**

In exercise of the powers under sub-section (1-A) of section 50 of the Uttar Pradesh State Universities Act, 1973 (President's Act No. 10 of 1973), as re-enacted and amended by the Uttar Pradesh Universities (Re-enactment and Amendment) Act, 1974 (U.P. Act No. 29 of 1974), read with section 21 of the Uttar Pradesh General Clauses Act, 1904 (U.P. Act No. 1 of 1974), the Governor is pleased to make the following Statutes with a view to amending the Kumaun University First Statutes, 1977 :

**KUMAUN UNIVERSITY
(TWENTY FIRST AMENDED STATUES, 1988)**

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| Short title and Commencement | <p>1. (1) These Statutes may be called the Kumaun University (Twenty First Amended) inclement First inclement Statutes, 1988.</p> <p>(2) They shall come into force from the date of their Publication in the Gazette.</p> |
| Amended of Statutes 2.12 | <p>2. In statutes 2.12 of the Kumaun University First Statutes, 1977, for the words "a committee consisting of the Vice-chancellor and two senior most Deans of Faculties" the words "the Vice-Chancellor" shall be substituted.</p> |

By order
Parma and Mishap
Special Secretary

**Uttar Pradesh Shama
Sheikhs Anubhag – 10**

In pursuance of the provisions of clause (3) of article 348 of the Constitution, the Governor is pleased to order the publication of the following English translation of notification No. 4169/XV-X-88-15(382)/86, dated June 24, 1988.

**NOTIFICATION
No. 4169/XV-X-88-15(382)/86,
Lucknow : dated June 24, 1988.**

In exercise of the powers under sub-section (1-A) of section 50 of the Uttar Pradesh State Universities Act, 1973 (President's Act No. 10 of 1973), as re-enacted and amended by the Uttar Pradesh Universities (Re-enactment and Amendment) Act, 1974 (U.P. Act No. XXIX of 1974), read with section 21 of the Uttar Pradesh General Clauses Act, 1904 (U.P. Act No. 1 of 1904), the Governor is pleased to make the following Statutes with a view to amending the Kumaun University First Statutes, 1977 :

**KUMAUN UNIVERSITY
(TWENTY SECOND AMENDED STATUES, 1988)**

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| Short title and Commencement | <p>1. (1) These Statutes may be called the Kumaun University (Twenty Second Amended) First Statutes, 1988.</p> <p>(2) They shall come into force with effect from the date of their publication in to Gazette.</p> |
| Amendment of Statutes 22.06 | <p>2. For Statutes 22.06 of the Kumaun University First Statutes, 1977, the following Statutes shall be substituted, namely :-</p> <p>22.06. "With a view to improving his result a candidate may be allowed to appear in one subject in any part of the under graduate examination and one paper in B.Ed. or any one year of the LL.B. or any part of the post graduate examination of the University".</p> |

By order
J.C. Pant
Principal Secretary

**Uttar Pradesh Shasan
Shiksha Anubhag – 10**

In pursuance of the provisions of clause (3) of article 348 of the Constitution, the Governor is pleased to order the publication of the following English translation of notification No. 4408/XV-X-88-15(185)/84, dated June 30, 1988.

NOTIFICATION

**No. 4408/XV-X-88-15(185)/84,
Lucknow : dated June 30, 1988.**

In exercise of the powers under sub-section (1-A) of section 50 of the Uttar Pradesh State Universities Act, 1973 (President's Act No. 10 of 1973), as re-enacted and amended by the Uttar Pradesh Universities (Re-enactment and Amendment) Act, 1974 (U.P. Act No. 29 of 1974), read with section 21 of the Uttar Pradesh General Clauses Act, 1904 (U.P. Act No. 1 of 1904), the Governor is pleased to make the following Statutes with a view to amending the Kumaun University First Statutes, 1977 :

**KUMAUN UNIVERSITY (TWENTY THIRD AMENDED)
FIRST STATUTES, 1988)**

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| Short title and Commencement | <p>1. (1) These Statutes may be called the Kumaun University (Twenty Third Amended) First Statutes, 1988.</p> <p>(2) They shall come into force with effect from the date of their publication in to Gazette.</p> |
| Amendment of Statutes 16.24 | <p>2. For Statutes 16.24 of the Kumaun University First Statutes, 1977 hereinafter referred to as the Statutes</p> <p>(a) in the second proviso for the word 'one year', the words 'two years' shall be substituted;</p> <p>(b) after the second proviso, the following proviso shall be inserted namely :-</p> |

“Provided also that the teachers who were reappointed in accordance with the second provision as it existed prior to the commencement of Kumaun University (Twenty Third Amendment) First Statutes 1988 and a period of one year has not elapsed after the expiry of the period of their re-employment, may be considered for re-appointment for a further period of one year”.

Amendment of
Statutes 17.15

3. In Statutes 17.15 of the said Statutes –

(a) in the second proviso for the word 'one year', the words 'two years' shall be substituted ;

(b) after the second proviso, the following proviso shall be inserted, namely :-

“Provided also that the teachers who were reappointed in accordance with the second proviso as it existed prior to the commencement of Kumaun University (Twenty Third Amendment) First Statutes 1988 and a period of one year has not elapsed after the expiry of the period of their re-employment, may be considered for re-appointment for a further period of one year”.

By order
J.C. Pant
Principal Secretary

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**Uttar Pradesh Shasan
 Shiksha Anubhag – 10**

In pursuance of the provisions of clause (3) of article 348 of the Constitution, the Governor is pleased to order the publication of the following English translation of notification No. 4932/XV-X-88-6(3)/86, dated September 8, 1988.

NOTIFICATION

No. 4932/XV-X-88-6(3)/86,

Lucknow : dated September 8, 1988

In exercise of the powers under sub-section (1-A) of section 50 of the Uttar Pradesh State Universities Act, 1973 (President's Act No. 10 of 1973), as re-enacted and amended by the Uttar Pradesh Universities (Re-enactment and Amendment) Act, 1974 (U.P. Act No. 29 of 1974), read with section 21 of the Uttar Pradesh General Clauses Act, 1904 (U.P. Act No. 1 of 1904), the Governor is pleased to make the following Statutes with a view to amending the Kumaun University First Statutes, 1977 :

**KUMAUN UNIVERSITY (TWENTY FIFTH AMENDED)
 FIRST STATUES, 1988**

Short title and Commencement	1. (1) These Statutes may be called the Kumaun University (Twenty Fifth Amended) First Statutes, 1988. (2) They shall come into force with effect from the date of their publication in to Gazette.
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- Amendment of Statutes 7.01 of the Kumaun University First Statutes, 1977 hereinafter referred to as the said Statutes, after clause(e), the following clause shall be inserted, namely :-
“(f) Faculty of Technology.”
- Amendment of Statutes 7.02
3. In Statutes 7.02 of the said Statutes in clause (1) the words “other than the Faculties of Commerce. Law and Education” the words “other than the Faculties of Commerce, Law, Education and Technology : shall be substituted
Amendment of new 7. In Statutes 7.05-A following Statutes, shall be inserted, namely :-
Statutes 7.05 of the said Statutes the “7.05-A The Board of Faculty of Technology shall be Constituted as follows :-
(i) The Dean of the Faculty who shall be the Chairman;
(ii) All Head of the Department and all the Professors of the Subjects taught in the Faculty;
(iii) One Associate Professor and one Assistant Professor from each Department in Faculty by rotation in order of seniority for the period of one year;
(iv) The head of the Department of the University of the subjects taught in the Departments of Faculty of Science;
(v) Five other persons of whom two shall be teachers of Technology subjects in Universities constitutes, other than Kumaun University and
(vi) Three persons shall be the persons other than teachers possessing expert knowledge in Technology.”
- Insertion of new Statutes 7.10-A
5. After stature 7.10 of the said statutes the following statute shall be inserted, namely :-
“7.10-A The following shall e the departments comprise in the Faculty of Technology :-
(1) Computer Studies.
(2) Remote Sensing Technology Applications.
(3) Integrated Eco-development.
(4) Resource Utilization Studies.
(5) Energy Studies
(6) Management of Small Scale Industries & Business”.

By order
J.C. Pant

Principal Secretary

**Uttar Pradesh Shasan
Shiksha Anubhag – 10**

In pursuance of the provisions of clause (3) of article 348 of the Constitution, the Governor is pleased to order the publication of the following English translation of notification No. 6786/XV-X-88-15(226)/84, dated November 4, 1988.

**NOTIFICATION
No. 6786/XV-X-88-15(226)/84,
Lucknow : dated November 4, 1988.**

In exercise of the powers under sub-section (1-A) of section 50 of the Uttar Pradesh State Universities Act, 1973 (President's Act No. 10 of 1973), as re-enacted and amended by the Uttar Pradesh Universities (Re-enactment and Amendment) Act, 1974 (U.P. Act No. 29 of 1974), read with section 21 of the Uttar Pradesh General Clauses Act, 1904 (U.P. Act No. 1 of 1904), the Governor is pleased to make the following Statutes with a view to amending the Kumaun University First Statutes, 1977 :

**KUMAUN UNIVERSITY (TWENTY SIXTH AMENDED)
FIRST STATUES, 1988**

Short title and Commencement	<p>1. (1) These Statutes may be called the Kumaun University (Twenty Sixth Amended) First Statutes, 1988.</p> <p>(2) They shall come into force with effect from the date of their publication in to Gazette.</p>
Insertion of new Statutes 14.05	<p>2. For Statutes 14.04 of the Kumaun University First Statutes, 1977 the following Statute shall be inserted, namely :-</p> <p>“14.05(A) An Institute may be recognized by the Executive Council as an institution where research may be carried on in the fulfillment of the requirements of section 7(4) (b) of the Act after it has been recommended by the Academic Council with the concurrence of the Board of the Faculty concerned. The recognition so granted may be withdrawn by the Executive</p>

Council on the recommendation of the Academic Council made with the concurrence of the Board of the Faculty concerned.

(b) The management of the Institute so recognized shall vest in,

(i) A Committee of Management or other equivalent body appointed by the person or the body maintaining the Institute, the Constitution of which shall be reported to Executive Council,

(iii) A Director appointed by the person or the body maintaining the Institute.

(c) Research work in a recognized Institute may be guided by the Director and other teachers of the Institute who may be recognized as supervisors or advisers for the D.Litt. or D.Sc. or LL.D. or D.Phil. degree of the University.

(d) The Director and other teachers of the Institute, if they so agree, may deliver a course of advance Lectures to research students of the University with the consent of the Head of the Department concerned.

(e) Any person having requisite qualification desirous of carrying on research work at the Institute for research degree of the University shall make an application to the Registrar through the Director of the Institute. The application so received shall be placed before the Research Degree Committee of the University constituted under Ordinances and if approved by the committee the applicant shall be permitted to start work or payment of such fees as may be prescribed by the Ordinances.

(f) Any specific grant or donation received for an Institute shall be earmarked for the Institute and spent on the Institute. No part of the grant of a corresponding department of teaching in the University shall be spent for any Institute.”

By order
P.C. Sharma
Secretary

In pursuance of the provisions of clause (3) of article 348 of the Constitution, the Governor is pleased to order the publication of the following English translation of notification No 974/XV-X-89-15(9)/88, dated March 23, 1989.

NOTIFICATION
No. 974/XV-X-89-15(9)/88,
Lucknow : dated March 23, 1989.

In exercise of the powers under sub-section (1-A) of section 50 of the Uttar Pradesh State Universities Act, 1973 (President's Act No. 10 of 1973), as re-enacted and amended by the Uttar Pradesh Universities (Re-enactment and Amendment) Act, 1974 (U.P. Act No. 29 of 1974), read with section 21 of the Uttar Pradesh General Clauses Act, 1904 (U.P. Act No. 1 of 1904), the Governor is pleased to make the following Statutes with a view to amending the Kumaun University First Statutes, 1977 :

KUMAUN UNIVERSITY (TWENTY SEVENTH AMENDED)
FIRST STATUES, 1989

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| Short title and Commencement | <p>1. (1) These Statutes may be called the Kumaun University (Twenty Seventh Amended) First Statutes, 1989.</p> <p>(2) They shall come into force with effect from the date of their publication in to Gazette.</p> |
| Substitution of Statutes 11.01 | <p>2. For Statutes 11.01 of the Kumaun University First Statutes, 1977 hereinafter referred to as the said Statutes, the following statutes shall be substituted, namely :-</p> <p>(1) In the case of Faculties of Art (except the Department of Drawing, Painting and Music) and the Faculties of the Commerce and Science the Minimum qualifications for the post of Assistant Professor in the University shall be Master's degree or an equivalent degree of a foreign University in the relevant subject with at least 55 percent marks to its equivalent grade and consistently good academic record.</p> <p>(2) In the case of Faculty of Education, the minimum qualification for the post of a Assistant Professor in the University shall be Master's degree or an equivalents degree of</p> |

a foreign University in Education (that is an M.Ed. degree) with at least 55 percent marks or its equivalent grade and consistently good academic record.

(3) In the case of Faculty of Law the minimum qualifications for the post of Assistant Professor in the University shall be degree of Masters of Laws or an equivalent degree of a foreign University with at least 55 percent marks or its equivalent grade and consistently good academic record.

(4) In the case of Departments of Drawing, Painting and Music in the Faculty of Arts, the following shall be the minimum qualification for the post of a Assistant Professor in the University namely :-

Either

Master's degree or an equivalent degree or diploma recognized by the University in the relevant subject with at least 55 percent marks or its equivalent grade and consistently good academic record ;

A traditional or a professional artist with highly commendable professional achievement in the subject concerned.

(5) For the purpose of the Statute :-

(a) A candidate (other than a candidate for Assistant Professor ship in the Faculties of Education and Law) having obtained either 55 percent marks in Bachelor's degree examination and second class in Intermediate examination or 50 percent marks in each of the two examinations separately is said to have consistently good academic record;

(b) A candidate for Assistant Professor ship in the Faculty of Education having obtained either 55 percent marks in B.Ed. degree examination and second class in any other Bachelor's degree examinations, or 50 percent marks in each of the two examinations separately, is said to have consistently good academic record;

(c) A candidate for lectureship in the Faculty of Law having obtained either 55 percent marks in LL.B. degree examination and second class in any other Bachelor's degree examinations,

or 50 percent marks in each of the two examinations separately, is said to have consistently good academic record;

(6) For appointment to the post of Assistant Professor only those candidates shall be eligible who, besides fulfilling the minimum academic qualifications prescribed for the post of Assistant Professor, have qualified in the comprehensive test, if any, to be conducted as per scheme of University grants Commission.”

Amendment
Statute 11.13

3. In Statutes 11.13 of the said Statutes :-

(a) For clauses (1) to (7) the following clauses shall be substituted, namely :-

“(1) In the case of any college affiliated with the University the minimum qualifications for the post of Assistant Professor in the Faculties of Art (except the Department of Drawing, Painting and Music) and the Faculties of Commerce Science shall be Master’s degree or equivalent degree of a foreign University in the relevant subject with at least 55 percent marks or its equivalent grade and consistently good academic record.

(2) In the case of any college affiliated with the University the minimum qualifications for the post of Assistant Professor in the Faculty of Education shall be Master’s degree or an equivalent degree of a foreign University in Education (that is M.Ed. degree) with at least 55 percent marks or its equivalent grade and consistently good academic record.

(3) In the case of any college affiliated with the University the minimum qualifications for the post of Assistant Professor in the Faculty of Law shall be a degree of Master of Law or an equivalent degree of a foreign University with at least 55 percent marks or its equivalent grade and consistently good academic record.

(4) In the case of any college affiliated with the University, the following shall be minimum qualifications for the post of Assistant Professor in the Department of Drawing, Painting and Music in the Faculty of Arts namely :-

Either

Master's degree or an equivalent degree or diploma recognized by the University in the relevant subject with at least 55 percent marks or its equivalent grade and consistently good academic record.

Or

A traditional or a professional artist with highly commendable professional achievement in the subject concerned.

(5) For the purpose of the Statute, the expression "consistently good academic record" in relation to the Faculty of Education or Faculty of Law or other Faculties shall have the same meaning as given to it in sub-clause (a) or sub-clause(b) or sub-clause(c) of clause (5) of statute 11.01, as the case may be.

(6) For appointment to the post of Assistant Professor only those candidates shall be eligible who, beside fulfilling the minimum academic qualifications prescribed for the post of Assistant Professor have qualified in the comprehensive test, if any, to be conducted as per scheme of University grants Commission;" :-

(b) clause (8) shall be re-numbered as clause (7)

By order
P.C. Sharma
Secretary

**Uttar Pradesh Shasan
Shiksha Anubhag – 10**

In pursuance of the provisions of clause (3) of article 348 of the Constitution, the Governor is pleased to order the publication of the following English translation of notification No 5867/XV-X-90-15(9)/88, dated December 31, 1990.

NOTIFICATION
No. 5867/XV-X-90-15(9)/88,
Lucknow : dated December 31, 1990.

In exercise of the powers under sub-section (1-A) of section 50 of the Uttar Pradesh State Universities Act, 1973 (President's Act No. 10 of 1973), as re-enacted and amended by the Uttar Pradesh Universities (Re-enactment and Amendment) Act, 1974 (U.P. Act No. 29 of 1974), read with section 21 of the Uttar Pradesh General Clauses Act, 1904 (U.P. Act No. 1 of 1904), the Governor is pleased to make the following Statutes with a view to amending the Kumaun University First Statutes, 1977 :

KUMAUN UNIVERSITY (TWENTY EIGHT AMENDED)
FIRST STATUES, 1990

- | | |
|------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Short title and Commencement | 1. (1) These Statutes may be called the Kumaun University (Twenty Eight Amended) First Statutes, 1990.
(2) They shall come into force at once. |
| Amendment of Statutes 11.01 | 2. For Statutes 11.01 of the Kumaun University First Statutes 1977, hereinafter referred to as the said Statutes, in clause (6) the following proviso shall be inserted at the end, namely :- "Provided that the candidate –
(1) Who has passed University Grants Commission or Council of Scientific and Industrial Research of Junior Research fellowship examination :
(2) Who has already been awarded Ph.D. or M.Phil degree : or
(3) Who will be awarded M.Phil. degree up to December 1990 or Ph.D. degree up to December 1992.
Shall not be required to qualify in such a comprehensive test." |
| Amendment of Statutes 11.13 | 3. In statutes 11.13 of the said Statutes, in clause (6) of the following Statues 11.13 proviso shall be inserted at the end, namely :-
"Provided that the candidate –

(1) Who has passed University Grants Commission or Council of Scientific and Industrial Research or Junior Research fellowship examination; |

(2) Who has already been awarded Ph.D. or M.Phil. degree; or

(3) Who will be awarded M.Phil. degree up to December 1990 or Ph.D. Degree up to December 1992.

Shall not be required to qualify in such a comprehensive test.”

By order
N.C. Bajpai
Sachiv (I)

**Uttar Pradesh Shasan
Shiksha Anubhag – 10**

In pursuance of the provisions of clause (3) of article 348 of the Constitution, the Governor is pleased to order the publication of the following English translation of notification No 209/XV-X-95-15(14)/98, dated January 18, 1995.

**NOTIFICATION
No. 209/XV-X-95-15(14)/98,
Lucknow : dated January 18, 1995**

In exercise of the powers under sub-section (6) of section 50 of the Uttar Pradesh State Universities Act, 1973 (President's Act No. 10 of 1973), as re-enacted and amended by the Uttar Pradesh Universities (Re-enactment and Amendment) Act, 1974 (U.P. Act No. 29 of 1974), read with section 21 of the Uttar Pradesh General Clauses Act, 1904 (U.P. Act No. 1 of 1904), the Governor is pleased to make the following Statutes with a view to amending the Kumaun University First Statutes, 1977

:

**KUMAUN UNIVERSITY (TWENTY NINTH AMENDED)
FIRST STATUES, 1995**

- | | |
|------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|
| Short title and Commencement | 1. (1) These Statutes may be called the Kumaun University (Twenty Ninth Amended) First Statutes 1995.
(2) They shall come into force at once. |
| Amendment of | 2. In the Kumaun University First Statutes, 1977, hereinafter |

Statutes 11.01 Amendment referred to as the said Statutes, in statutes 11.01 in clause (6) for the existing proviso, the following proviso shall be substituted, namely :-
“Provided that a candidate :

- (1) Who has passed University Grants Commission or Council of Scientific and Industrial Research or Junior Research fellowship examination ; or
- (2) who has been awarded Ph.D. degree up to December 31, 1998 ; or
- (3) who has submitted thesis for Ph.D. Degree up to December 31, 1998 ; or
- (4) Who will be awarded M.Phil. degree up to December 31, 1992.

Shall not be required to qualify in such a comprehensive test.”

Amendment of Statute 11.18 3. In the said statute in statutes 11.18 in clause (6) for the existing proviso, the following proviso shall be substituted, namely :-
“Provided that a candidate :

- (1) who has passed University Grants Commission or Council of Scientific and Industrial Research or Junior Research fellowship examination ; or
- (2) who has been awarded Ph.D. degree up to December 31, 1998 : or
- (3) who has submitted thesis for Ph.D. Degree up to December 31, 1998; or
- (4) who will be awarded M.Phil. degree up to December 31, 1992.

Shall not be required to qualify in such a comprehensive test.”

Amendment of Statues 11.14 4. In said Statutes, in statutes 11.14 the words “in one of the subject taught in the college or in the subject allied or inter connected there with” and the words “in one of the subject taught in the college” wherever occurring, shall be omitted.

By Order
M. Rama Chandra
Sachive

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2& fo'ofoly; vuqнку vk;ksx }kjk dSfj;j ,MokalesaV Ldhe 2010 esa ;g fo|ky;ksa esa ,ksfl,V çksQslj ds dqy inksa dh la;k ds 0% dks çksQslj inuke çнку djus dh O;oLFkk ds lkFk&lkFk Lukrd rFkk LukrdksÜkj egkfo|ky;ksa esa çksQslj 25% in lhèkh HkrhZ }kjk rFkk 75% in dSfj;j ,MokalesaV Ldhe 2010 ds vUrxZr ,ksfl,V çksQslj ds leLr inksa esa ls çR;sd foHkkx esa ,d ,ksfl,V çksQslj dks ;wœthœlhœ }kjk fuèkkZfjr ekudksa ds vuqlkj çksQslj inuke çнку djus ds fy, okafNr inksa dh la;k dk oxhZdj.k funs'kd] mPp f'k{kk }kjk fd;k tk;sxA ftlds fy, vfrfjDr inksa dk l`tu ugha fd;k tk;sxA

3& IEçfr çns'k esa mPp f'k{k ds ç'kklfud dk;ksZa ds IEiknu ds fy;s i'Fkd ls laoxZ dk xBu ugha fd;k x;k gSA bl gsrq mPp f'k{k funks'kd @ lgk;d funks'kd inksa ij çkè;kidksa dh fu;qfDr;kj dh tkrh gSaA ç'kklfud inksa ij fu;qDr çkè;kidksa ls ;g vis{k ugha dh tk ldrh gS fd og f'k{k.k] 'kksèk o f'k{k.ksÙkj xfrfofèk;ksa esa lgHkkfxrk dj ;w-th-lh- }kjk fuèkkZfjr vdknfed fu"iknu ladsrkad ¼Academic Performance indicators½ ds vUrxZr fuèkkZfjr U;wure çklrkad çklr dj ldsaA vr% ç'kkfud inksa ij fu;qDr çkè;kidksa dk oS;fDrd çksUufr ds vUrxZr ,lksfl,V çksQslj@çksQslj inuke ,oa okafNr xzsM is Lohd`r djus ds fy, IEcfUèkr ç'kklfud inksa ij dh xbZ lsok dh vkyksP; mikfèk esa ;w-th-lh- }kjk fuèkkZfjr vdknfed fu"iknu ladsrkad ds vUrxZr U;wure çklrkad ifjdfYir :i ls çnku djus gsrq ewY;kadu dh 'krZ ls NwV nh tk;sxhA

4& mDr çLrkfor NwV dsoy ,d ckj ds fy, bl 'krZ ds lkFk çnku dh tk;sxh fd bls ;w-th-lh- ds fn'kk funksZ'k fdlh çdkj çHkkfor u gksA

5& mi;qZDr fo"k; ds IEcUèk esa eq>s ;g dgus dk funksZ'k gqvk gS fd fo'ofokj;ksa }kjk bl 'kklukns'k ds layXud esa mfYyf[kr O;oLFkk dks IEcfUèkr fo'ofokj; dh ifju;ekoyh esa lqlaxr LFkku ij lekos"kr@çfrLFkkfir djus ds fy;s okafNr dk;Zokgh dh tk; rFkk funks'kd] mPp f'k{k }kjk 'kklu ds mDr fu.kZ; ,oa vkns'k ls IHkh IEcfUèkr egkofokj;ksa dks voxr dj;k tk;A

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2- vr, o IE; d fopkjsijkUr mifjlanfHkZr 'kklukns'k fnukad 06 fnIEcj] 2001 ds layXud ds çLrj&14 esa iwoZ çkfoèkkfur O;oLFkk ds LFkku ij fuEufyf[kr O;oLFkk fd;s tkus dk fu.kZ; fy;k x;k gS &

iwoZ çkfoèkkfur O;oLFkk	orZeku çkfoèkkfur O;oLFkk
1	2
<p>If an incumbent Assistant Professor/Assistant Professor in Senior Scale / Assistant Professor in Selection Grade/Associate Professor (Promotion) is found suitable and recommended accordingly for promotion to the next higher senior scale / selection Grade/Associate Professor Grade/Professor Grade by the duly constituted Screening / Selection Committee at the first instance, the next higher grade would be admissible to him from the date of eligibility or 27th of the July 1998 whichever is later, but the designation (if any) shall be given to him from the date of taking over charge.</p>	<p>If an incumbent Assistant Professor/Assistant Professor in Senior Scale/Assistant Professor in Selection Grade/Associate Professor (Promotion) is found suitable and recommended accordingly for promotion to the next higher Senior Scale/Selection Grade/Associate Professor Grade/Professor Grade by the duly constituted Screening / Selection Committee at the first instance, the next higher grade and designation would be admissible to him from the date of eligibility or 27th of July 1998 whichever is later.</p>

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esa f{k{kdxsa dh Hkkjh deh dh fLFkfr dks n`f"Vxr j[krs gq, Jh jkT;iky mRrjk[k.M jkT; ds lanHkZ esa mPp f{k{kk foHkkx] ekuo lalkèku ea=ky; Hkkjr ljdkj] ubZ fnYyh ds vksOE,eOE la;k & 132@2006 – U – II / U – I (I) fnukad 31 fnlEcj] 2008 dh èkkjk& 8 ¼F½ esa çkfoèkkfur O;oLFkk dks ekU; djrs gq, mPp f{k{kk foHkkx ds vèkhuLFk jkT; fo'fo|ky;ksa] jktdh; egkfo|ky;ksa ,oa lgk;rk çklr v'kkldh; egkfo|ky;ksa esa d{k{kxr f{k{k.k ¼Class Room Teaching½ ds vUrxZr vkus okys f{k{kdxsa dh vfèko"kZrk vk;q lhek fnukad 4-9-2012 ls orZeku esa çkfoèkkfur 60 o"kZ ls c<+kdj 65 o"kZ fd;s tkus dh lg"kZ Lohd`fr çnku djrs gSaA

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कुमाऊँ विश्वविद्यालय, नैनीताल ।

पत्रांक:-सा0/पौईआर/जी0एल0बी0/1130

दिनांक:- 2/11/98

सेवा में,

1-सहायक लेखाधिकारी,
कुमाऊँ विश्वविद्यालय,
बल्मोड़ा परिसर, बल्मोड़ा ।

2-सहायक लेखाधिकारी,
कुमाऊँ विश्वविद्यालय,
डी0एल0बी0परिसर, नैनीताल ।

विषय:- सामान्य भविष्य निधि उत्तर प्रदेश प्रथम संशोधन नियमावली 19

महोदय,

अपुर्वत विषयक उ0उ0 शासन वित्त सामान्य अनुभाग-4 की अधिसूचना सं0 सा0-4-642/दस-97-502/85 लखनऊ दिनांक 29 जुलाई 1997 द्वारा सामान्य भविष्य निधि उ0 उ0 प्रथम संशोधन नियमावली 1997 जो तुरन्त प्रवृत्त का कड़ाई से अनुपालन लिए जाने हेतु अधिसूचना की फोटो प्रतिमा संलग्न कर प्रेषित की जा रही है ।

2- उपरोक्त संदर्भ में निर्देशित किया जाता है कि अधिसूचना में स्तम्भ-2 में प्रस्थापित नियमों के अन्तर्गत सामान्य भविष्य निधि के प्रकरणों पर तदनुसार कार्यवाही ली जाय । संलग्न अधिनियम में प्रतिस्थापित नियमों से आच्छादित प्रकरणों पर ही विश्वविद्यालय स्तर से सकारात्मक कार्यवाही की जानी संभव हो पायेगी ।

संलग्न अधिसूचना में निहित प्राविधानों से परिसरों में कार्यरत कर्मचारियों को भी तदनुसार अवगत करा दिया जाय ।

संलग्न:- यथोपरि,

भवदीय,

Sd

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कुलसचिव

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कुमाऊँ/2000

पृ०सं०सा०/बीईआर/जी०पी०एच/ 1130 तद्दिनांक

प्रतिलिपि :- उपयुक्त विषयक उ०, प्र० शासन वित्त ॥ सामान्य ॥ अनुभाग-4 से नि
अधिसूचना सं०सा०/-4-642/दस-97-502/85, लखनऊ दिनांक 29
1997 को फोटो प्रति निम्नलिखित को सूचनार्थ तदनुसार जावरत
कार्यवाही हेतु प्रेषित की जाती है ।

- 1:- परिसर निदेशक, कुमाऊँ विश्वविद्यालय अल्मोड़ा परिसर अल्मोड़ा
- 2:- परिसर निदेशक, कुमाऊँ विश्वविद्यालय, डी०एस०बी०परिसर नैनीताल
- 3:- वित्त अधिकारी, कुमाऊँ विश्वविद्यालय, नैनीताल ।
- 4:- सहायक कुलसचिव ॥ सामान्य ॥ अनुभाग ।
- 5:- अधिष्ठाता लिपिक, सामान्य अधिष्ठाता अनुभाग को अधिसूचना को
के नुसार कार्यवाही किए जाने हेतु ।

से० यशोपारि ।

Chh
प्र
कुलसचिव
14/10
2/1

उत्तर प्रदेश शासन
वित्त (सामान्य) अनुभाग-4

संख्या सा-4-642/दा-97-502/85
लखनऊ, दिनांक 29 जुलाई, 1997

अधिसूचना

संविधान के अनुच्छेद 309 के परन्तुक द्वारा प्रदत्त शक्ति का प्रयोग करके, राज्यपाल, सामान्य भविष्य निधि (उत्तर प्रदेश) नियमावली, 1985 में संशोधन करने की दृष्टि से निम्नलिखित नियमावली बनाते हैं :-

सामान्य भविष्य निधि (उत्तर प्रदेश) (प्रथम संशोधन) नियमावली, 1997

संकेत नाम और
प्रारम्भ

1—(1) यह नियमावली सामान्य भविष्य निधि (उत्तर प्रदेश) (प्रथम संशोधन) नियमावली, 1997 कही जायगी।

(2) यह तुरन्त प्रवृत्त होगी।

2—सामान्य भविष्य निधि (उत्तर प्रदेश) नियमावली, 1985 में, जिसे आगे उक्त नियमावली कहा गया है, नीचे स्तम्भ-1 में दिये गये नियम के स्थान पर स्तम्भ-2 में दिया गया नियम रख दिया जायगा, अर्थात्—

स्तम्भ-1

वर्तमान नियम

4—संविदा पर नियुक्त कर्मचारियों और पुनर्नियोजित पेंशन भोगियों से भिन्न समस्त स्थायी सरकारी सेवक और समस्त अस्थायी सरकारी सेवक एक वर्ष की निरंतर सेवा के पश्चात् निधि में अभिदान करेंगे।

पात्रता की
शर्तें

टिप्पणी-1—शिक्षुओं और परिवीक्षाधीन व्यक्तियों को इस नियम के प्रयोजनार्थ अस्थायी सरकारी सेवक समझा जायेगा।

टिप्पणी-2—कोई अस्थायी सरकारी सेवक जो एक वर्ष की निरंतर सेवा किसी मास के मध्य में पूरी करता है, वह अगले अनुवर्ती मास से निधि में अभिदान करेगा।

टिप्पणी-3—ऐसे अस्थायी सरकारी सेवक (जिसके अन्तर्गत शिक्षु और परिवीक्षाधीन व्यक्ति भी हैं) जिन्हें नियमित रिक्तियों के प्रति नियुक्त किया गया है और जिनकी एक वर्ष से अधिक अवधि तक सेवा करते रहने की संभावना है, एक वर्ष की सेवा पूरी होने के पूर्व किसी भी समय निधि में अभिदान कर सकते हैं।

टिप्पणी-4—जैसे ही कोई सरकारी सेवक निधि में अभिदान करने का दावा हो जाय वैसे ही कार्यपालक प्राधिकारियों को चाहिए कि वे इसकी सूचना लेखा अधिकारी को दे दें।

स्तम्भ-2

एतद्वारा प्रतिस्थापित नियम

4—संविदा पर नियुक्त कर्मचारियों और पुनर्नियोजित पेंशन भोगियों से भिन्न समस्त स्थायी सरकारी सेवक और समस्त अस्थायी सरकारी सेवक जिनकी सेवायें एक वर्ष से अधिक तक जारी रहने की सम्भावना हो सेवा में कार्यभार ग्रहण करने के दिनांक से निधि में अभिदान करेंगे।

टिप्पणी-1—शिक्षुओं और परिवीक्षाधीन व्यक्तियों को इस नियम के प्रयोजनार्थ अस्थायी सरकारी सेवक समझा जायेगा।

टिप्पणी-2—ऐसे अस्थायी सरकारी सेवक (जिसके अन्तर्गत शिक्षु और परिवीक्षाधीन व्यक्ति भी हैं) जिन्हें नियमित या अस्थायी रिक्तियों के प्रति नियुक्त किया गया है और जिनकी सेवायें एक वर्ष से अधिक तक जारी रहने की सम्भावना हो सेवा में कार्यभार ग्रहण करने के दिनांक से निधि में अभिदान करेंगे।

टिप्पणी-3—जैसे ही कोई सरकारी सेवक निधि में अभिदान करने का दावा हो जाय वैसे ही कार्यपालक प्राधिकारियों को चाहिए कि वे इसकी सूचना लेखा अधिकारी को दे दें।

4 Photos
G.P.N.

ARCA/ARCA
W.S.

Regd. 3.3.97

Sri Rakesh

A.R. (C)

8-98

नियम-17 का
संशोधन

3—उक्त नियमावली में, स्तम्भ-1 में दिये गये नियम-17 के स्थान पर स्तम्भ-2 में दिया गया नियम रख दिया जायगा,
अर्थात्:—

स्तम्भ-1

वर्तमान नियम

प्रत्याहरण की
शर्तें

17(1)-(क) किसी अभिदाता द्वारा निधि में उसके जमाखाते में विद्यमान धनराशि से नियम 16 के खण्ड (क), (ग), (घ) या (ङ) में विनिर्दिष्ट किसी एक या अधिक प्रयोजनों के लिये किसी एक समय में प्रत्याहृत कोई धनराशि साधारणतया ऐसी धनराशि के आधे या छः मास के वेतन, जो भी कम हो, से अधिक नहीं होगी। विशेष मामलों में स्वीकृति प्राधिकारी (एक) ऐसे उद्देश्य जिसके लिये प्रत्याहरण किया जा रहा है, और (दो) निधि में उसके जमाखाते में विद्यमान धनराशि का सम्यक् ध्यान रखते हुये, इस सीमा से अधिक धनराशि का जो निधि में उसके जमाखाते के अतिशेष के तीन चौथाई तक हो सकती है, प्रत्याहरण स्वीकृत कर सकता है :

परन्तु किसी भी मामले में नियम-16 के उपनियम (1) के खण्ड (ग) के उपखण्ड (घ) और (ङ) में विनिर्दिष्ट प्रयोजनों के लिये प्रत्याहरण की धनराशि 25,000 रुपये से अधिक न होगी।

टिप्पणी-1—गृह के निर्माण के मामले में यदि प्रत्याहरण की धनराशि 25,000 रु० से अधिक हो तो साधारणतया दो किस्तों में उसके आहरण की अनुज्ञा दी जायेगी। फिर भी यदि अभिदाता ने प्रत्याहरण की सम्पूर्ण धनराशि को एक किस्त में निर्मुक्त किये जाने के लिये आवेदन किया है और स्वीकृति प्राधिकारी का उसके लिये दिये गये औचित्य के सम्बन्ध में समाधान हो जाय तो तदनुसार सम्पूर्ण धनराशि को निर्मुक्त किया जा सकता है। स्वीकृति प्रत्याहरण की सम्पूर्ण धनराशि के लिये जारी की जायेगी और यदि उसका आहरण किस्तों में किया जाना हो तो उसकी संख्या स्वीकृति के आदेश में विनिर्दिष्ट की जायेगी।

टिप्पणी-2—(क) किसी स्थल, गृह या फ्लैट के एकदम क्रय के लिये या इस प्रयोजन के लिये, लिये गये ऋण के प्रतिदान के लिये एक किस्त में प्रत्याहरण की अनुमति दी जा सकती है। ऐसे मामलों में जहाँ अभिदाता को क्रय किये गये स्थल या गृह या फ्लैट के लिये या किसी योजना के अधीन, जिसके अन्तर्गत किसी विकास प्राधिकरण, आवास परिषद, स्थानीय निकाय या गृह निर्माण सहकारी समिति की स्व-वित्त पोषित योजना भी है, निर्मित गृह या फ्लैट के लिये किस्तों में भुगतान करना पड़े तो जब-जब उससे किसी किस्त का भुगतान करने के लिये कहा जाय उसे प्रत्याहरण करने की अनुज्ञा दी जायेगी। प्रत्येक ऐसे भुगतान को नियम 16 के उपनियम (1) के प्रयोजनों के लिये पृथक् प्रयोजन के लिये भुगतान समझा जायगा।

स्तम्भ-2

एतद्वारा प्रतिस्थापित नियम

प्रत्याहरण की
शर्तें

17(1)-(क) किसी अभिदाता द्वारा निधि में उसके जमाखाते में विद्यमान धनराशि से नियम 16 के खण्ड (क), (ग), (घ) या (ङ) में विनिर्दिष्ट किसी एक या अधिक प्रयोजनों के लिये किसी एक समय में प्रत्याहृत कोई धनराशि साधारणतया ऐसी धनराशि के आधे या छः मास के वेतन, जो भी कम हो, से अधिक नहीं होगी। विशेष मामलों में स्वीकृति प्राधिकारी (एक) ऐसे उद्देश्य जिसके लिये प्रत्याहरण किया जा रहा है, और (दो) निधि में उसके जमाखाते में विद्यमान धनराशि का सम्यक् ध्यान रखते हुये, इस सीमा से अधिक धनराशि का जो निधि में उसके जमाखाते के अतिशेष के तीन चौथाई तक हो सकता है, प्रत्याहरण स्वीकृत कर सकता है :

परन्तु किसी भी मामले में नियम-16 के उपनियम (1) के खण्ड (ग) के उपखण्ड (घ) और (ङ) में विनिर्दिष्ट प्रयोजनों के लिये प्रत्याहरण की धनराशि 40,000 रुपये से अधिक न होगी।

टिप्पणी-1—गृह के निर्माण के मामले में यदि प्रत्याहरण की धनराशि 25,000 रु० से अधिक हो तो साधारणतया दो किस्तों में उसके आहरण की अनुज्ञा दी जायेगी। फिर भी यदि अभिदाता ने प्रत्याहरण की सम्पूर्ण धनराशि को एक किस्त में निर्मुक्त किये जाने के लिये आवेदन किया है और स्वीकृति प्राधिकारी का उसके लिये दिये गये औचित्य के सम्बन्ध में समाधान हो जाय तो तदनुसार सम्पूर्ण धनराशि को निर्मुक्त किया जा सकता है। स्वीकृति प्रत्याहरण की सम्पूर्ण धनराशि के लिये जारी की जायेगी और यदि उसका आहरण किस्तों में किया जाना हो तो उसकी संख्या स्वीकृति के आदेश में विनिर्दिष्ट की जायेगी।

टिप्पणी-2—(क) किसी स्थल, गृह या फ्लैट के एकदम क्रय के लिये या इस प्रयोजन के लिये, लिये गये ऋण के प्रतिदान के लिये एक किस्त में प्रत्याहरण की अनुमति दी जा सकती है। ऐसे मामलों में जहाँ अभिदाता को क्रय किये गये स्थल या गृह या फ्लैट के लिये या किसी योजना के अधीन, जिसके अन्तर्गत किसी विकास प्राधिकरण, आवास परिषद, स्थानीय निकाय या गृह निर्माण सहकारी समिति की स्व-वित्त पोषित योजना भी है, निर्मित गृह या फ्लैट के लिये किस्तों में भुगतान करना पड़े तो जब-जब उससे किसी किस्त का भुगतान करने के लिये कहा जाय उसे प्रत्याहरण करने की अनुज्ञा दी जायेगी। प्रत्येक ऐसे भुगतान को नियम 16 के उपनियम (1) के प्रयोजनों के लिये पृथक् प्रयोजन के लिये भुगतान समझा जायगा।

स्तम्भ—1
वर्तमान नियम

(ख) नियम 16 के उपनियम (1) के खण्ड (ख) के उपखण्ड (एक) में विनिर्दिष्ट प्रयोजनों के लिये प्रत्याहरण की धनराशि की सीमा 50,000 रुपये या निधि में अभिदाता के जमा खाते में विद्यमान धनराशि की आधी या यथास्थिति, मोटरकार, मोटर साइकिल या स्कूटर (जिसके अन्तर्गत मोपेड भी है) का वास्तविक मूल्य, इनमें जो भी सबसे कम हो, तक होगी।

(ग) नियम 16 के उपनियम (1) के खण्ड (ख) के उपखण्ड (दो) में विनिर्दिष्ट प्रयोजनों के लिये प्रत्याहरण की धनराशि की सीमा 5,000 रुपये या निधि में अभिदाता के जमा खाते में विद्यमान धनराशि की आधी या मरम्मत या ओवरहालिंग करने की वास्तविक धनराशि, इनमें से जो भी सबसे कम हो, तक होगी।

(2) अभिदाता जिसको नियम 16 के अधीन निधि से धन निकालने की अनुज्ञा की गयी हो, स्वीकृति प्राधिकारी का ऐसी युक्तियुक्त अवधि के भीतर, जो उस प्राधिकारी द्वारा विनिर्दिष्ट की जाय, समाधान करेगा कि धन का प्रयोग उस प्रयोजन के लिये कर लिया गया है जिसके लिये उसका प्रत्याहरण किया गया था और यदि वह ऐसा करने में विफल रहता है तो इस प्रकार प्रत्याहृत सम्पूर्ण धनराशि उसके ऐसे भाग का जिसका उपयोग उस प्रयोजन के लिये जिसके लिये वह प्रत्याहृत किया गया था, नहीं किया गया है, प्रतिदान अभिदाता द्वारा निधि में एक मुश्त धनराशि में किया जायेगा और ऐसा भुगतान न करने पर स्वीकृति प्राधिकारी द्वारा उसकी परिलक्षियों से या तो एक मुश्त धनराशि में या मासिक किस्तों की ऐसी संख्या में जैसी अवधारित की जाय, वसूल किये जाने का आदेश दिया जायेगा।

टिप्पणी-1—विवाह के लिये किसी प्रत्याहरण का उपयोग तीन मास के भीतर किया जायेगा।

टिप्पणी-2—गृह का निर्माण धनराशि के प्रत्याहरण के छः मास के भीतर प्रारम्भ किया जायेगा और उसे निर्माण प्रारम्भ होने के दिनांक से एक वर्ष की अवधि के भीतर पूरा किया जाना चाहिये किन्तु यदि गृह का क्रय या मोचन किया जाना हो या उस प्रयोजन के लिये इसके पूर्व लिये गये किसी प्राइवेट ऋण का प्रतिदान करना हो तो उसे प्रत्याहरण के तीन मास के भीतर कर लिया जाना चाहिए।

स्तम्भ—2
एतद्वारा प्रतिस्थापित नियम

(ख) नियम 16 के उपनियम (1) के खण्ड (ख) के उपखण्ड (एक) में विनिर्दिष्ट प्रयोजनों के लिये प्रत्याहरण की धनराशि की सीमा 50,000 रुपये या निधि में अभिदाता के जमा खाते में विद्यमान धनराशि की आधी या यथास्थिति, मोटरकार, मोटर साइकिल या स्कूटर (जिसके अन्तर्गत मोपेड भी है) का वास्तविक मूल्य, इनमें जो भी कम हो, होगी।

(ग) नियम 16 के उपनियम (1) के खण्ड (ख) के उपखण्ड (दो) में विनिर्दिष्ट प्रयोजनों के लिये प्रत्याहरण की धनराशि की सीमा 5,000 रुपये या निधि में अभिदाता के जमा खाते में विद्यमान धनराशि की आधी या मरम्मत या ओवरहालिंग करने की वास्तविक धनराशि, इनमें से जो भी कम हो, होगी।

(2) अभिदाता जिसको नियम 16 के अधीन निधि से धन निकालने की अनुज्ञा दी गयी हो, स्वीकृति प्राधिकारी का ऐसी युक्तियुक्त अवधि के भीतर, जो उस प्राधिकारी द्वारा विनिर्दिष्ट की जाय, समाधान करेगा कि धन का प्रयोग उस प्रयोजन के लिये कर लिया गया है जिसके लिये उसका प्रत्याहरण किया गया था और यदि वह ऐसा करने में विफल रहता है तो इस प्रकार प्रत्याहृत सम्पूर्ण धनराशि उसके ऐसे भाग का जिसका उपयोग उस प्रयोजन के लिये जिसके लिये वह प्रत्याहृत किया गया था, नहीं किया गया है, प्रतिदान अभिदाता द्वारा निधि में एक मुश्त धनराशि में किया जायेगा और ऐसा भुगतान न करने पर स्वीकृति प्राधिकारी द्वारा उसकी परिलक्षियों से या तो एक मुश्त धनराशि में या मासिक किस्तों की ऐसी संख्या में जैसी अवधारित की जाय, वसूल किये जाने का आदेश दिया जायेगा।

टिप्पणी-1—विवाह के लिये किसी प्रत्याहरण का उपयोग तीन मास के भीतर किया जायेगा।

टिप्पणी-2—गृह का निर्माण धनराशि के प्रत्याहरण के छः मास के भीतर प्रारम्भ किया जायेगा और उसे निर्माण प्रारम्भ होने के दिनांक से एक वर्ष की अवधि के भीतर पूरा किया जाना चाहिये किन्तु यदि गृह का क्रय या मोचन किया जाना हो या उस प्रयोजन के लिये इसके पूर्व लिये गये किसी प्राइवेट ऋण का प्रतिदान करना हो तो उसे प्रत्याहरण के तीन मास के भीतर कर लिया जाना चाहिए।

स्तम्भ—1

वर्तमान नियम

टिप्पणी-3—गृह स्थल का क्रय, यथास्थिति प्रत्याहरण या प्रथम किस्त के प्रत्याहरण के एक माह की अवधि के भीतर किया जायेगा। इस शर्त की पूर्ति के संबंध में स्वीकृति प्राधिकारी स्थल के क्रय हेतु भुगतान करने के लिये प्रत्याहरण किस्त की धनराशि का उपयोग कर लिये जाने के प्रतीक स्वरूप विक्रेता, गृह निर्माण समिति, आदि द्वारा दी गयी रसीदें प्रस्तुत करने की अपेक्षा करेगा।

स्पष्टीकरण—विक्रय या अन्तरण विलेख के संबंध में किये गये वास्तविक व्यय को गृह या गृह स्थल के लागत के भाग के रूप में संगणित किया जा सकता है।

टिप्पणी-4—किसी बीमा पालिसी के लिये प्रत्याहरण का उपयोग उस दिनांक तक किया जायेगा जिस दिनांक को प्रीमियम का भुगतान किया जाना हो और अभिदाता से जीवन बीमा निगम द्वारा दी गयी रसीद की प्रमाणित या फोटोस्टेट प्रति प्रस्तुत करने की अपेक्षा की जायेगी, ऐसा न करने पर इस प्रयोजन के लिये कोई अग्रतर प्रत्याहरण की अनुज्ञा नहीं दी जायेगी।

(3) कोई अभिदाता जिसे नियम 16 के उपनियम (1) के खण्ड (ग) के उपखण्ड (क) (ख) वा (ग) के अधीन निधि में अपने जमा खाते में विद्यमान धनराशि से धन प्रत्याहृत करने की अनुज्ञा दी गयी हो राज्यपाल की पूर्व अनुज्ञा के बिना इस प्रकार प्रत्याहृत धनराशि से निर्मित या अर्जित किये गये गृह या क्रय किये गये गृह स्थल के कच्चे से, चाहे विक्रय, गिरवी (राज्यपाल को गिरवी से भिन्न) दान, विनियम द्वारा या अन्य प्रकार से, अलग नहीं होगा :—

परन्तु ऐसी अनुज्ञा—

(एक) तीन वर्ष से अनधिक किसी अवधि के लिये पट्टे पर दिये गये गृह या गृह स्थल के लिये, या

(दो) आवास परिषद, विकास प्राधिकरण, स्थानीय निकाय, राष्ट्रीय कृत बैंक, जीवन बीमा निगम के या केन्द्रीय या राज्य सरकार के स्वामित्वाधीन या नियंत्रणाधीन किसी अन्य निगम के जो नये गृह के निर्माण के लिये या किसी वर्तमान गृह में परिवर्धन या परिवर्तन करने के लिये ऋण देता हो, पक्ष में उसके गिरवी रखे जाने के लिये, आवश्यक नहीं होगी।

स्तम्भ—2

एतद्द्वारा प्रतिस्थापित नियम

टिप्पणी-3—गृह स्थल का क्रय, यथास्थिति प्रत्याहरण या प्रथम किस्त के प्रत्याहरण के एक माह की अवधि के भीतर किया जायेगा। इस शर्त की पूर्ति के संबंध में स्वीकृति प्राधिकारी स्थल के क्रय हेतु भुगतान करने के लिये यथास्थिति प्रत्याहरण या किसी प्रत्याहरण किस्त की धनराशि का उपयोग कर लिये जाने के प्रतीक स्वरूप विक्रेता, गृह निर्माण समिति, आदि द्वारा दी गयी रसीदें प्रस्तुत करने की अपेक्षा करेगा।

स्पष्टीकरण—विक्रय या अन्तरण विलेख के संबंध में किये गये वास्तविक व्यय को गृह या गृह स्थल के लागत के भाग के रूप में संगणित किया जा सकता है।

टिप्पणी-4—किसी बीमा पालिसी के लिये प्रत्याहरण का उपयोग उस दिनांक तक किया जायेगा जिस दिनांक को प्रीमियम का भुगतान किया जाना हो और अभिदाता से जीवन बीमा निगम द्वारा दी गयी रसीद की प्रमाणित या फोटोस्टेट प्रति प्रस्तुत करने की अपेक्षा की जायेगी, ऐसा न करने पर इस प्रयोजन के लिये कोई अग्रतर प्रत्याहरण की अनुज्ञा नहीं दी जायेगी।

(3) कोई अभिदाता जिसे नियम 16 के उपनियम (1) के खण्ड (ग) के उपखण्ड (क) (ख) वा (ग) के अधीन निधि में अपने जमा खाते में विद्यमान धनराशि से धन प्रत्याहृत करने की अनुज्ञा दी गयी हो, राज्यपाल की पूर्व अनुज्ञा के बिना इस प्रकार प्रत्याहृत धनराशि से निर्मित या अर्जित किये गये गृह या क्रय किये गये गृह स्थल के कच्चे से, चाहे विक्रय, गिरवी (राज्यपाल को गिरवी से भिन्न) दान, विनियम द्वारा या अन्य प्रकार से, अलग नहीं होगा :—

परन्तु ऐसी अनुज्ञा—

(एक) तीन वर्ष से अनधिक किसी अवधि के लिये पट्टे पर दिये गये गृह या गृह स्थल के लिये, या

(दो) आवास परिषद, विकास प्राधिकरण, स्थानीय निकाय, राष्ट्रीय कृत बैंक, जीवन बीमा निगम के या केन्द्रीय या राज्य सरकार के स्वामित्वाधीन या नियंत्रणाधीन किसी अन्य निगम के जो नये गृह के निर्माण के लिये या किसी वर्तमान गृह में परिवर्धन या परिवर्तन करने के लिये ऋण देता हो, पक्ष में उसके गिरवी रखे जाने के लिये, आवश्यक नहीं होगी।

4—उक्त नियमावली में, स्तम्भ-1 में दिये गये नियम-23 के स्थान पर स्तम्भ-2 में दिया गया नियम रख दिया जायगा, अर्थात्—

स्तम्भ—1

वर्तमान नियम

जमा से
सम्बद्ध बीमा
योजना

23—सेवा के दौरान अभिदाता की मृत्यु होने पर समूह "घ" के अभिदाताओं के मामले में लेखा अधिकारी और अन्य मामलों में द्वितीय अनुसूची के पैरा 2 में विनिर्दिष्ट प्राधिकारी निम्नलिखित शर्तों के अधीन रहते हुए, ऐसे अभिदाता की मृत्यु के ठीक पूर्ववर्ती 3 वर्ष के दौरान लेखे में औसत अतिशेष के बराबर अतिरिक्त धनराशि के भुगतान की स्वीकृति देगा और आहरण और वितरण अधिकारी के द्वारा अभिदाता के जमाखाते में विद्यमान धनराशि पाने के लिए हकदार व्यक्ति को उसका तुरन्त संवितरण करने का प्रबन्ध करेगा :-

(क) मृत्यु के मास के पूर्ववर्ती तीन वर्ष के दौरान ऐसे अभिदाता के जमा खाते में विद्यमान अतिशेष किसी भी समय निम्नलिखित की सीमा से कम न हुआ हो—

(एक) (समूह "क" के अभिदाता अर्थात् ऐसा) राजपत्रित अधिकारी जिसने उपर्युक्त तीन वर्ष की अवधि के वृहत् भाग में ऐसा पद धारण किया हो (जिसके वेतनमान का अधिकतम 1,720 रुपये से अधिक हो) के मामले में 4,000 रुपया,

(दो) समूह "ख" के अभिदाता (अर्थात् ऐसा राजपत्रित अधिकारी) जिसने उपर्युक्त तीन वर्ष की अवधि के वृहत् भाग में ऐसा पद धारण किया हो जिसके वेतनमान का अधिकतम 1,720 रुपये से अधिक न हो) के मामले में 2,500 रुपया,

(तीन) समूह "ग" के अभिदाता (अर्थात् ऐसा राजपत्रित अधिकारी जिसने उपर्युक्त तीन वर्ष की अवधि के वृहत् भाग में ऐसा पद धारण किया हो जिसके वेतनमान का न्यूनतम 354 रुपया या इससे अधिक हो) के मामले में 1000 रुपया,

(चार) (समूह "घ" के अभिदाता) अर्थात् समस्त अन्य राजपत्रित कर्मचारी के मामले में 500 रुपया ।

(ख) इस नियम के अधीन देय अतिरिक्त धनराशि 10,000 रुपये से अधिक नहीं होगी ।

(ग) अभिदाता ने अपनी मृत्यु के समय कम से कम पांच वर्ष की सेवा कर ली हो ।

स्तम्भ—2

एतद्द्वारा प्रतिस्थापित नियम

जमा से
सम्बद्ध बीमा
योजना

23—सेवा के दौरान अभिदाता की मृत्यु होने पर समूह "घ" के अभिदाताओं के मामले में लेखा अधिकारी और अन्य मामलों में द्वितीय अनुसूची के पैरा 2 में विनिर्दिष्ट प्राधिकारी निम्नलिखित शर्तों के अधीन रहते हुए, ऐसे अभिदाता की मृत्यु के ठीक पूर्ववर्ती 3 वर्ष के दौरान लेखे में औसत अतिशेष के बराबर अतिरिक्त धनराशि के भुगतान की स्वीकृति देगा और आहरण और वितरण अधिकारी के द्वारा अभिदाता के जमाखाते में विद्यमान धनराशि पाने के लिए हकदार व्यक्ति को उसका तुरन्त संवितरण करने का प्रबन्ध करेगा :-

(क) मृत्यु के मास के पूर्ववर्ती तीन वर्ष के दौरान ऐसे अभिदाता के जमा खाते में विद्यमान अतिशेष किसी भी समय निम्नलिखित की सीमा से कम न हुआ हो—

(एक) ऐसे अभिदाता जिसने उपर्युक्त तीन वर्ष की अवधि के वृहत् भाग में ऐसा पद धारण किया हो जिसके वेतनमान का अधिकतम 4,000 रुपये या अधिक हो के मामले में 12,000 रुपया,

(दो) ऐसा अभिदाता जिसने उपर्युक्त तीन वर्ष की अवधि के वृहत् भाग में ऐसा पद धारण किया हो जिसके वेतनमान का अधिकतम 2,900 रुपये या अधिक किन्तु 4,000 से कम हो के मामले में 7,500 रुपया,

(तीन) ऐसा अभिदाता जिसने उपर्युक्त तीन वर्ष की अवधि के वृहत् भाग में ऐसा पद धारण किया हो जिसके वेतनमान का न्यूनतम 1,151 रुपया या इससे अधिक किन्तु 2,900 रुपया से कम हो के मामले में 4,500 रुपया,

(चार) ऐसे अभिदाता जिसने उपर्युक्त तीन वर्ष की अवधि के वृहत् भाग में ऐसा पद धारण किया हो जिसके वेतनमान का अधिकतम 1,151 रुपये से कम हो, के मामले में 3,000 रुपये,

(ख) इस नियम के अधीन देय अतिरिक्त धनराशि 30,000 रुपये से अधिक नहीं होगी ।

(ग) अभिदाता ने अपनी मृत्यु के समय कम से कम पांच वर्ष की सेवा कर ली हो ।

स्तम्भ—1

वर्तमान नियम

टिप्पणी-1—औसत अतिशेष उस मास के जिसमें मृत्यु हुई हो, पूर्ववर्ती प्रत्येक 36 मास के अन्त में अभिदाता के जमाखाते में विद्यमान अतिशेष के आधार पर निकाला जायगा। इस प्रयोजन और उपर्युक्त विहित न्यूनतम अतिशेष की जांच करने के प्रयोजन के लिये भी—

(क) मार्च के अन्त में अतिशेष के अन्तर्गत नियम 11 के अनुसार जमा की गयी वार्षिक ब्याज भी होगी, और

(ख) यदि उपर्युक्त 36 मास का अंतिम मास मार्च न हो तो उक्त अंतिम मास के अन्त में अतिशेष के अन्तर्गत उस वित्तीय वर्ष के जिसमें मृत्यु हो, प्रारम्भ से उक्त अंतिम मास के अन्त तक की अवधि के संबंध में ब्याज भी है।

टिप्पणी-2—इस योजना के अधीन भुगतान पूर्ण रूपया में किया जायगा। धनराशि को निकटतम पूर्ण रुपये में पूर्णांकित किया जायेगा, रुपये के पचास पैसे से कम किसी भाग को छोड़ दिया जायेगा और किसी अन्य भाग को अगले उच्चतर रुपये के रूप में गिना जायेगा।

टिप्पणी-3—इस योजना के अधीन देय कोई धनराशि बीमा की धनराशि की प्रकृति का है और इस लिये भविष्य निधि अधिनियम, 1925 की धारा 3 द्वारा दिया गया संरक्षण इस योजना के अधीन देय धनराशियों पर लागू नहीं होता।

टिप्पणी-4—जब कोई सरकारी सेवक नियम 25 या 26 के अधीन निधि का सदस्य बन गया हो किन्तु, यथास्थिति, तीन वर्ष की सेवा पूरी करने या निधि का सदस्य बनने के दिनांक से पांच वर्ष की सेवा के पूर्व उसकी मृत्यु हो जाय तो पूर्ववर्ती सेवायोजक के अधीन उसकी सेवा की उस अवधि की गणना जिसके संबंध में उसके अभिदान की धनराशि और सेवायोजक का अंशदान, यदि कोई हो, तथा ब्याज प्राप्त हो गया हो, खण्ड (क) और खण्ड (ग) के प्रयोजनों के लिये की जायेगी। पूर्ववर्ती सेवायोजक के अधीन सेवा के संबंध में उपर्युक्त टिप्पणी-1 में निर्दिष्ट औसत अतिशेष उस सेवायोजक के अभिलेखों के आधार पर निकाला जायेगा।

टिप्पणी-5—समूह "घ" के अभिदाताओं से भिन्न अभिदाताओं के मामले में, इस नियम के अधीन भुगतान की गयी धनराशि की सूचना लेखा अधिकारी को दी जायेगी जो गणनाओं की जांच करेगा और यदि यह पाया जाय कि अधिक धनराशि का भुगतान कर दिया गया है तो उक्त धनराशि नियम 24 के

स्तम्भ—2

एतद्वारा प्रतिस्थापित नियम

टिप्पणी-1—औसत अतिशेष उस मास के जिसमें मृत्यु हुई हो, पूर्ववर्ती प्रत्येक 36 मास के अन्त में अभिदाता के जमाखाते में विद्यमान अतिशेष के आधार पर निकाला जायगा। इस प्रयोजन और उपर्युक्त विहित न्यूनतम अतिशेष की जांच करने के प्रयोजन के लिये भी—

(क) मार्च के अन्त में अतिशेष के अन्तर्गत नियम 11 के अनुसार जमा किया गया वार्षिक ब्याज भी होगा, और

(ख) यदि उपर्युक्त 36 मास का अंतिम मास मार्च न हो तो उक्त अंतिम मास के अन्त में अतिशेष के अन्तर्गत उस वित्तीय वर्ष के जिसमें मृत्यु हो, प्रारम्भ से उक्त अंतिम मास के अन्त तक की अवधि के संबंध में ब्याज भी है।

टिप्पणी-2—इस योजना के अधीन भुगतान पूर्ण रूपया में किया जायगा। धनराशि को निकटतम पूर्ण रुपये में पूर्णांकित किया जायेगा, रुपये के पचास पैसे से कम किसी भाग को छोड़ दिया जायेगा और किसी अन्य भाग को अगले उच्चतर रुपये के रूप में गिना जायेगा।

टिप्पणी-3—इस योजना के अधीन देय कोई धनराशि बीमा की धनराशि की प्रकृति का है और इस लिये भविष्य निधि अधिनियम, 1925 की धारा 3 द्वारा दिया गया संरक्षण इस योजना के अधीन देय धनराशियों पर लागू नहीं होता।

टिप्पणी-4—जब कोई सरकारी सेवक नियम 25 या 26 के अधीन निधि का सदस्य बन गया हो किन्तु, यथास्थिति, तीन वर्ष की सेवा पूरी करने या निधि का सदस्य बनने के दिनांक से पांच वर्ष की सेवा के पूर्व उसकी मृत्यु हो जाय तो पूर्ववर्ती सेवायोजक के अधीन उसकी सेवा की उस अवधि की गणना जिसके संबंध में उसके अभिदान की धनराशि और सेवायोजक का अंशदान, यदि कोई हो, तथा ब्याज प्राप्त हो गया हो, खण्ड (क) और खण्ड (ग) के प्रयोजनों के लिये की जायेगी। पूर्ववर्ती सेवायोजक के अधीन सेवा के संबंध में उपर्युक्त टिप्पणी-1 में निर्दिष्ट औसत अतिशेष उस सेवायोजक के अभिलेखों के आधार पर निकाला जायेगा।

टिप्पणी-5—समूह "घ" के अभिदाताओं से भिन्न अभिदाताओं के मामले में, इस नियम के अधीन भुगतान की गयी धनराशि की सूचना लेखा अधिकारी को दी जायेगी जो गणनाओं की जांच करेगा और यदि यह पाया जाय कि अधिक धनराशि का भुगतान कर दिया गया है तो उक्त धनराशि नियम 24 के

लेखों का वार्षिक विवरण अभिदाता को दिया जायेगा

सामान्य भविष्य निधि मास बुक

स्तम्भ—1

वर्तमान नियम

उपनियम (5) के खण्ड (ग) के अधीन भुगतान की जाने वाली अवशिष्ट धनराशि से काट ली जायेगी और शेष अतिशेष का भुगतान लेखा अधिकारी द्वारा ऐसी कटौती प्राधिकृत किये जाने के पश्चात् ही किया जायेगा। यदि किसी मामले में यह पाया जाय कि इस नियम के अधीन कम भुगतान किया गया है तो देय अतिशेष को उपर्युक्त अवशिष्ट धनराशि में जोड़ दिया जायेगा और ऐसी कुल धनराशि का भुगतान लेखाधिकारी द्वारा प्राधिकृत किया जायेगा।

लेखे का
वार्षिक
विवरण
अभिदाता
को दिया
जायेगा

27—(1) लेखा अधिकारी प्रतिवर्ष की समाप्ति के छः मास के भीतर प्रत्येक अभिदाता को निधि में उसके लेखे का विवरण भेजेगा जिसमें वर्ष की पहली अप्रैल, को विद्यमान प्रारम्भिक अतिशेष वर्ष के दौरान जमा की गयी या नाम डाली गयी धनराशि, वर्ष के 31 मार्च को जमा की गयी ब्याज और प्रोत्साहन बोनस, यदि कोई हो, की कुल धनराशि और उस दिनांक को विद्यमान अन्तिम अतिशेष को दर्शाया जायेगा।

(2) लेखा अधिकारी लेखा विवरण-पत्र के दूसरी ओर लुप्त जमा, यदि कोई हो, का पूरा विवरण भी देगा।

(3) अभिदाताओं को वार्षिक विवरण की शुद्धता के संबंध में स्वयं अपना समाधान कर लेना चाहिये और गलतियों को सम्बद्ध आहरण एवं वितरण अधिकारी द्वारा सम्यक् रूप से सत्यापित की गयी सामान्य भविष्य निधि पास बुक के सुसंगत उद्धारणों सहित उसकी प्राप्ति के दिनांक से तीन मास के भीतर लेखा अधिकारी की जानकारी में लाया जाना चाहिये।

सामान्य
भविष्य निधि
पास बुक

28—(1) समस्त आहरण एवं वितरण अधिकारी अपने अधीन कार्य करने वाले प्रत्येक अभिदाता के सामान्य भविष्य निधि लेखा के संबंध में सामान्य भविष्य निधि पास बुक ऐसी रीति से और ऐसे प्रपत्र में रखेंगे जैसा सरकार द्वारा विहित किया जाय और अभिदाता ऐसी फीस का, जैसी निहित की जाय, भुगतान करने पर सामान्य भविष्य निधि पास बुक की एक प्रति प्राप्त करने और ऐसे अन्तराल पर और ऐसी रीति से जैसे सरकार द्वारा विहित की जाय, उसे अद्यतन करने का हकदार होगा।

लेखे का
वार्षिक
विवरण
अभिदाता
को दिया
जायेगा

उपनियम (5) के खण्ड (ग) के अधीन भुगतान की जाने वाली अवशिष्ट धनराशि से काट ली जायेगी और शेष अतिशेष का भुगतान लेखा अधिकारी द्वारा ऐसी कटौती प्राधिकृत किये जाने के पश्चात् ही किया जायेगा। यदि किसी मामले में यह पाया जाय कि इस नियम के अधीन कम भुगतान किया गया है तो देय अतिशेष को उपर्युक्त अवशिष्ट धनराशि में जोड़ दिया जायेगा और ऐसी कुल धनराशि का भुगतान लेखाधिकारी द्वारा प्राधिकृत किया जायेगा।

27—(1) लेखा अधिकारी प्रतिवर्ष की समाप्ति के छः मास के भीतर प्रत्येक अभिदाता को निधि में उसके लेखे का विवरण भेजेगा जिसमें वर्ष की पहली अप्रैल, को विद्यमान प्रारम्भिक अतिशेष वर्ष के दौरान जमा की गयी या नाम डाली गयी धनराशि, वर्ष के 31 मार्च को जमा की गयी ब्याज की कुल धनराशि और उस दिनांक को विद्यमान अन्तिम अतिशेष को दर्शाया जायेगा।

(2) लेखा अधिकारी लेखा विवरण-पत्र के दूसरी ओर लुप्त जमा, यदि कोई हो, का पूरा विवरण भी देगा।

(3) अभिदाताओं को वार्षिक विवरण की शुद्धता के संबंध में स्वयं अपना समाधान कर लेना चाहिये और गलतियों को सम्बद्ध आहरण एवं वितरण अधिकारी द्वारा सम्यक् रूप से सत्यापित की गयी सामान्य भविष्य निधि पास बुक के सुसंगत उद्धारणों सहित उसकी प्राप्ति के दिनांक से तीन मास के भीतर लेखा अधिकारी की जानकारी में लाया जाना चाहिये। प्रत्येक आहरण एवं वितरण अधिकारी का यह भी एक व्यक्तिगत दायित्व होगा कि वे सम्बद्ध अधिष्ठाण के समस्त कर्मचारियों के महालेखाकार कार्यालय की लेखा पर्ची/लेजरों की लुप्त प्रविष्टियों को भविष्य निधि पास बुकों की प्रमाणित प्रतियों को भेजकर या पत्र-व्यवहार द्वारा या अपने व्यक्तिगत प्रयासों के माध्यम से ठीक करायें।

सामान्य
भविष्य निधि
पास बुक

28—(1) समस्त आहरण एवं वितरण अधिकारी अपने अधीन कार्य करने वाले प्रत्येक अभिदाता के सामान्य भविष्य निधि लेखा के संबंध में सामान्य भविष्य निधि पास बुक, ऐसी रीति से और ऐसे प्रपत्र में रखेंगे जैसा सरकार द्वारा विहित किया जाय और अभिदाता ऐसी फीस का, जैसी निहित की जाय, भुगतान करने पर सामान्य भविष्य निधि पास बुक की एक प्रति प्राप्त करने और ऐसे अन्तराल पर और ऐसी रीति से जैसी सरकार द्वारा विहित की जाय, उसे अद्यतन करने का हकदार होगा।

मास के
अन्त
अतिशेष के
ग्रेजन और
च करने के

के अन्तर्गत
गया वार्षिक

अंतिम मास
के अन्त में
वर्ष के जिसमें
मास के अन्त
ज भी है।

अधीन भुगतान
को निकटतम
रूपये के पचास
जायेगा और
रूपये के रूप में

अधीन देय कोई
कृति का है और
1925 की धारा 3
के अधीन देय

हारी सेवक नियम
द्वारा बन गया हो
लेवा पूरी करने या
से पांच वर्ष की
जाय तो पूर्ववत्
को उस अवधि की
भिदान की धनराशि
यदि कोई हो, तथा
क) और खण्ड (ग)
पूर्ववर्ती सेवायोजक
नियुक्त टिप्पणी-1 में
योजक के अभिलेखों

के अभिदाताओं से
इस नियम के अधीन
सूचना लेखा अधिकारी
की जांच करेगा और
धनराशि का भुगतान
नियम 24 के

स्तम्भ—1

वर्तमान नियम

(2) जब किसी अभिदाता का स्थानान्तरण किसी अन्य सरकारी विभाग या उपक्रम में हो जाय, तब उसके स्थानान्तरण के दिनांक तक के लिये हर प्रकार से पूर्ण उसकी पास बुक उसके अन्तिम वेतन प्रमाण-पत्र सहित ऐसे अन्य सरकारी विभाग या उपक्रम को अग्रसारित किया जायेगा और सामान्य भविष्य निधि पास बुक में स्थानान्तरण के दिनांक को विद्यमान अन्त अतिशेष का उल्लेख अन्तिम वेतन प्रमाण-पत्र में किया जायेगा। इस प्रकार प्राप्त पास बुक को ऐसे सरकारी विभाग उपक्रम द्वारा ऐसी रीति से रखा जायेगा जैसी उपनियम (1) में विहित है।

स्तम्भ—2

एतद्वारा प्रतिस्थापित नियम

(2) जब किसी अभिदाता का स्थानान्तरण किसी अन्य सरकारी विभाग या उपक्रम में हो जाय, तब उसके स्थानान्तरण के दिनांक तक के लिये हर प्रकार से पूर्ण उसकी पास बुक उसके अन्तिम वेतन प्रमाण-पत्र सहित ऐसे अन्य सरकारी विभाग या उपक्रम को अग्रसारित किया जायेगा और सामान्य भविष्य निधि पास बुक में स्थानान्तरण के दिनांक को विद्यमान अन्त अतिशेष का उल्लेख अन्तिम वेतन प्रमाण-पत्र में किया जायेगा। इस प्रकार प्राप्त पास बुक को ऐसे सरकारी विभाग उपक्रम द्वारा ऐसी रीति से रखा जायेगा जैसी उपनियम (1) में विहित है।

(2-क) आहरण एवं वितरण अधिकारी द्वारा प्रत्येक वर्ष महालेखाकार, उत्तर प्रदेश को निम्नलिखित सूचनाएं दी जायेंगी :-

(क) ऐसे अभिदाताओं के नाम और लेखा संख्या जिनका पूर्व एक वर्ष में नामांकन हुआ हो,

(ख) ऐसे अभिदाताओं की सूची जिन्होंने अन्य कार्यालयों/विभागों से स्थानान्तरण द्वारा वर्ष के मध्य में कार्यभार ग्रहण किया हो,

(ग) ऐसे अभिदाताओं की सूची जो वर्ष के मध्य में अन्य कार्यालय/विभागों को स्थानान्तरित हुए हों,

(घ) ऐसे अभिदाताओं की सूची जो आगामी 18 मास के दौरान सेवानिवृत्त होने जा रहे हों।

आज्ञा से,
पी० उमाशंकर,
सचिव।

संख्या सा-4-642 (1)/दस-97-502/85, तदुदिनांक

प्रतिलिपि निम्नलिखित को सूचनार्थ एवं आवश्यक कार्यवाही हेतु प्रेषित:-

- 1—महालेखाकार, आडिट/लेखा प्रथम एवं द्वितीय, उ० प्र०, इलाहाबाद।
- 2—समस्त विभागाध्यक्ष एवं प्रमुख कार्यालयाध्यक्ष, उत्तर प्रदेश।
- 3—सचिवालय के समस्त अनुभाग।
- 4—सचिव, विधान सभा/परिषद्, विधान भवन, लखनऊ।
- 5—निदेशक, मुद्रण एवं लेखन-सामग्री, उ० प्र०, इलाहाबाद और इस अभ्युक्ति के साथ प्रेषित कि कृपया अधिसूचना को प्रदेश गजट के आगामी अंक में प्रकाशित करा दें।

आज्ञा से,
शिव प्रकाश,
संयुक्त सचिव।

उत्तरांचल शासन

वित्त (सामान्य नियम-वेतन आयोग) अनुभाग-7

संख्या- 21 / XXVII(7)अंशमे0यो0 / 2005

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अधिसूचना

राज्य सरकार ने, अपने दीर्घकालीन राजकौपीय हितों और केन्द्र सरकार द्वारा अपनाई गई रीति के विस्तृत अनुसरण को दृष्टिगत रखते हुए, राज्य सरकार की सेवा में और ऐसे समस्त शासन के नियंत्रणाधीन स्वायत्तशासी संस्थाओं और शासन से सहायता प्राप्त शिक्षण संस्थाओं में, जिनमें राज्य कर्मचारियों की वर्तमान पेंशन योजना की भौति पेंशन योजना लागू है और उनका वित्त पोषण राज्य सरकार की समेकित निधि से किया जाता है, नये प्रवेशकों पर वर्तमान में परिभाषित "लाभ पेंशन योजना" के स्थान पर नवपरिभाषित "अंशदान पेंशन योजना" लागू करने के निम्नलिखित प्रस्ताव को अनुमोदित किया है :-

(i) राज्य सरकारी सेवा में और ऊपर उल्लिखित राज्य नियंत्रणाधीन समस्त स्वायत्तशासी संस्थाओं/राज्य सहायता प्राप्त शिक्षण संस्थाओं में समस्त नई भर्तियों पर 01 अक्टूबर, 2005 से नई परिभाषित अंशदायी पेंशन योजना अनिवार्य रूप से लागू होगी। तथापि वर्तमान पेंशन योजना से आच्छादित ऐसे कर्मचारी, जिनकी सेवारत 01 अक्टूबर, 2005 को 10 वर्ष से कम की हो, भी वर्तमान पेंशन योजना के स्थान पर नई पेंशन योजना का विकल्प दे सकते हैं।

(ii) नई परिभाषित अंशदायी पेंशन योजना के अन्तर्गत वेतन, महंगाई वेतन और महंगाई भत्ते के 10 प्रतिशत के समतुल्य धनराशि का मासिक अंशदान किया जायेगा। इसी के समतुल्य सेवायोजक का अंशदान राज्य सरकार अथवा सम्बन्धित स्वायत्तशासी संस्था/निजी शिक्षण संस्था द्वारा किया जायेगा। सम्बन्धित स्वायत्तशासी संस्थाओं/निजी शिक्षण संस्थाओं को सेवायोजक के अंशदान के लिए तब तक अनुदान दिया जायेगा जब तक ये संस्थायें ऐसा अंशदान करने हेतु स्वयं सक्षम न हो जायें। अंशदान तथा निवेश से होने वाली आय को एक खाते में जमा किया जायेगा, जो पेंशन टियर-1 खाता होगा। सेवा अवधि में इस खाते से किसी भी आहरण की अनुमति नहीं दी जायेगी। नये प्रवेशकों, जो वर्तमान अंशदायी पेंशन योजना से आच्छादित होंगे, उन्हें पूर्व से परिभाषित पेंशन सह सामान्य भविष्य निधि योजना के उपबन्धों के लाभ प्राप्त नहीं होंगे।

(iii) चूंकि नये भर्तीशुदा लोक सामान्य भविष्य निधि में अंशदान करने में सक्षम नहीं होंगे, अतः वे पेंशन टियर-1 खाते के अतिरिक्त एक स्वैच्छिक टियर-2 खाता भी रख सकते हैं, परन्तु सेवायोजक टियर-2 खाते में कोई अंशदान नहीं करेगा। टियर-2 खाते में आस्तियों का निवेश/प्रबन्धन ठीक उसी प्रक्रिया के अनुसार किया जायेगा, जो पेंशन टियर-1 खाते के लिए है। तथापि, कर्मचारी अपने

"टियर-2" खाते के धन के सम्पूर्ण अंश या उसके किसी भाग को किसी भी समय निकालने के लिए स्वतंत्र होगा।

(iv) कोई कर्मचारी अपनी सेवानिवृत्ति के समय पेंशन प्रणाली के टियर-1 को सामान्यतया छोड़ सकेगा। ऐसा करते समय कर्मचारी से अनिवार्य रूप से यह अपेक्षा की जायेगी कि वह किसी मान्यता प्राप्त बीमा कम्पनी से एक वार्षिकी का क्रय करें और उसमें अपनी पेंशन सम्पत्ति के 40 प्रतिशत का निवेश करें जिससे कि वह सेवानिवृत्ति के समय अपने जीवनकाल के लिए तथा उसके आश्रित माता-पिता तथा उसके विवाहिती के लिए पेंशन की व्यवस्था कर सके। शेष पेंशन सम्पत्ति कर्मचारी द्वारा एकमुश्त रूप में प्राप्त की जायेगी जिसे वह किसी भी रीति में उपभोग करने के लिए स्वतंत्र होगा। कर्मचारी द्वारा सेवानिवृत्ति के पूर्व ही पेंशन टियर-1 को छोड़ने की दशा में अनिवार्य वार्षिकीकरण निवेश पेंशन सम्पत्ति का 80 प्रतिशत होगा।

(v) ऐसे अनेक पेंशन निधि प्रबन्धक होंगे जो मुख्य रूप से तीन श्रेणियों के निवेशपरक विकल्प प्रस्तावित करेंगे। पेंशन निधि प्रबन्धक तथा अभिलेखपाल संयुक्त रूप से अपने विगत कार्य-कलाप के बारे में आसानी से समझी जाने वाली सूचना देंगे, जिससे कि कर्मचारी निवेशात्मक विकल्पों में से सूचित विकल्पों को चुन सके।

2- उपरोक्तानुसार उत्तर प्रदेश रिटायरमेंट बेनीफिट्स रूल-1961 एवं उत्तर प्रदेश भविष्य निधि नियमावली-1985 के सुसंगत प्राविधान इस क्रम में संशोधित किये गये हैं।

3- दिनांक 01 अक्टूबर, 2006 को या उसके बाद नव-नियुक्त/भर्ती होने वाले कर्मचारियों एवं अधिकारियों द्वारा हिन्दी एवं अंग्रेजी में प्रपत्र-1 (संलग्न) पर वांछित विवरण, सम्बन्धित कार्यालयाध्यक्ष/आहरण वितरण अधिकारी को उपलब्ध कराया जायेगा तथा प्रपत्र-2 (संलग्न) पर सम्बन्धित कार्यालयाध्यक्ष/आहरण वितरण अधिकारी द्वारा उक्त विवरण सम्बन्धित कोषागार एवं निदेशक, लेखा एवं हकदारी उत्तरांचल, 23 लक्ष्मी रोड (डालनवाला), देहरादून को भेजा जायेगा। निदेशक, लेखा एवं हकदारी, उत्तरांचल द्वारा प्रपत्र-1 एवं प्रपत्र-2 के आधार पर कम्प्यूटर पर आधारित एक "डाटा बेस" तैयार किया जायेगा, जिसे भारत सरकार में केन्द्रीय अभिलेखपाल/Central Record Keeping Agency (CRA) एवं पेंशन निधि प्रबन्धक को आवश्यकतानुसार उपलब्ध कराया जायेगा।

4- कोषागार/आहरण वितरण अधिकारी द्वारा अंशदायी पेंशन हेतु विवरण, प्रपत्र-3 (संलग्न) पर सूचना तैयार कर वेतन देयक (bill) के साथ संलग्न करके प्रेषित किया जायेगा जिसे प्रतिमाह की 05 तारीख तक कोषागार द्वारा इसी प्रपत्र पर आहरण वितरण अधिकारी/कार्यालयाध्यक्षवार संकलित सूचित निदेशक, लेखा एवं हकदारी, उत्तरांचल को उपलब्ध कराया जायेगा। जब तक कि भारत सरकार द्वारा राज्य के लिए पेंशन निधि

प्रबन्धक की नियुक्ति न कर दी जाय, इस प्रकार के लेखों का रखरखाव उक्त निदेशालय द्वारा किया जायेगा। पेंशन निधि प्रबन्धक द्वारा कार्य संचालन के पूर्व इस प्रकार की निधि पर सामान्य भविष्य निधि पर अनुमन्य व्याज दर अनुमन्य होगी, जिसका भुगतान राज्य सरकार द्वारा किया जायेगा।

5- जब तक अलग से मानक मद निर्धारित नहीं किया जाता, अंशदायी पेंशन योजना के अधीन नियोक्ता के अंशदान की धनराशि को 01-वेतन मद से ही भुगतान किया जायेगा, जो वेतन, महंगाई वेतन एवं महंगाई भत्ता की धनराशि के योग के 10 प्रतिशत के बराबर होगी। एकीकृत भुगतान एवं लेखा प्रणाली के इनपुट-1 में अन्य वेतन शीर्षक के अधीन "एकीकृत पेंशन हेतु वेतन" के अन्तर्गत भुगतान पुस्तांकित किया जायेगा।

6- पेंशन निधि में नियोक्ता के अंश तथा अधिकारी/कर्मचारी के वेतन, महंगाई वेतन एवं महंगाई भत्ते की धनराशि के योग के 10 प्रतिशत अंश की सकल धनराशि कोषागार द्वारा मुख्य लेखा शीर्षक 8011-बीमा तथा पेंशन निधि के लघुशीर्षक 106-अन्य बीमा तथा पेंशन निधि के उपशीर्षक 05-पेंशन निधि में अंशदान तथा पुनर्विनियोग की इकाई/मानक मद 33-पेंशन में जमा किया जायेगा। निदेशक, लेखा एवं हकदारी, उत्तरांचल, उक्त जमा धनराशि के आहरण वितरण हेतु सक्षम प्राधिकारी होंगे और भारत सरकार द्वारा पेंशन निधि प्रबन्धक नियुक्त किये जाने के बाद, उनके द्वारा स्थापित नियमों एवं प्रक्रियाओं के अधीन धनराशि पेंशन निधि प्रबन्धक को भेजा जायेगा। निदेशक द्वारा पेंशन निधि से सम्बन्धी वांछित सूचना/विवरण पेंशन निधि नियामक एवं विकास प्राधिकरण (PFRDA), केन्द्रीय अभिलेखपाल (CRA), राज्य सरकार तथा अन्य सुसंगत स्तरों को उपलब्ध कराया जायेगा।

7- नवीन पेंशन योजना के प्रचालनीकरण के लिए प्रभावी दिनांक : 01 अक्टूबर, 2005 होगी।

संलग्नक:- निर्धारित प्रपत्र(3)

इन्दु कुमार पान्डे
प्रमुख सचिव।

संख्या- 21(1)/XXVII(7)अं0पे0यो0/2005, तददिनांक।

प्रतिलिपि निम्नलिखित को सूचनार्थ एवं आवश्यक कार्यवाही हेतु प्रेषित :-

- 1- समस्त प्रमुख सचिव/सचिव, उत्तरांचल शासन।
- 2- समस्त विभागाध्यक्ष एवं कार्यालयाध्यक्ष, उत्तरांचल।
- 3- महालेखाकार उत्तरांचल, देहरादून।
- 4- रजिस्ट्रार जनरल, माननीय उच्च न्यायालय उत्तरांचल, नैनीताल।
- 5- स्थानिक आयुक्त उत्तरांचल, नई दिल्ली।

- 6- सचिव, विधानसभा उत्तरांचल।
- 7- सचिव, श्री राज्यपाल, उत्तरांचल।
- 8- उत्तरांचल सचिवालय के समस्त अनुभाग।
- 9- समस्त कोषागार अधिकारी, उत्तरांचल।
- 10- निदेशक, उत्तरांचल प्रशासनिक अकादमी, नैनीताल।
- 11- उप निदेशक, राजकीय मुद्रणालय, रुड़की को राजपत्र में प्रकाशनार्थ।
- 12- वरिष्ठ तकनीकी निदेशक, एन०आई०सी० उत्तरांचल एकक, देहरादून।

आज्ञा

(टी०एन० सिंह)

अपर सचिव, वित्त।

प्रमक,

घरिष्ठ कोषाधिकारी,
नैनीताल ।

सेवा में,

समस्त आहरण वितरण अधिकारी,
कोषागार नैनीताल ।

पत्रांक 181 / पेंशन / 2006-2007 दिनांक 27 मई, 2006
विषय- अंशदान पेंशन योजना के सम्बन्ध में ।
महोदय,

उत्तरांचल शासन वित्त (सामान्य नियम वेतन आयोग) अनुगाम-7 के शासनादेश संख्या 2/xxvii अ.पै.यो./2005 देहरादून दिनांक 25 अक्टूबर 2005 की अधिलूचना द्वारा राज्य सरकार ने अपने दीर्घ कालीन राजकोषीय हितों और केंद्र सरकार द्वारा अपनाई गई नीति के विरुद्ध अनुसरण को दृष्टिगत रखते हुए राज्य सरकार की सेवा में और ऐसे समस्त शारान के नियंत्रणाधीन स्वायत्तशासी संस्थाओं और शासन से सहायता प्राप्त शिक्षण संस्थाओं में जिनमें राज्य कर्मचारियों की वर्तमान पेंशन योजना की भौतिक पेंशन योजना लागू है, और उनका वित्त पोषण राज्य सरकार की समेकित निधि से किया जाता है, नये प्रवेशकों पर वर्तमान में परिभाषित लाभ पेंशन योजना के स्थान पर नव परिभाषित अंशदान पेंशन योजना लागू की गई है । (प्रति संलग्न)

उक्त योजना 1 अक्टूबर 2005 से राज्य सरकारी सेवा में और उपर्युक्त राज्य नियंत्रणाधीन समस्त स्वायत्तशासी संस्थाओं / राज्य सहायता प्राप्त शिक्षण संस्थाओं में नई भर्तियों पर लागू होगी । तथापि वर्तमान पेंशन योजना से आच्छादित ऐसे कर्मचारी जिनकी सेवाये 1 अक्टूबर, 2005 को 10 वर्ष से कम की हों, भी वर्तमान में पेंशन योजना के स्थान पर नई पेंशन योजना का शिकल्प दे सकते हैं ।

उक्त योजना से आच्छादित सरकारी सेवक प्रपत्र 1 (संलग्न) में हिन्दी तथा अंग्रेजी में बौध्दित पूर्ण विवरण कार्यालयवाध्यक्ष/आहरण वितरण अधिकारी को उपलब्ध करायेगा । सम्बन्धित कार्यालयवाध्यक्ष उक्त विवरण की एक प्रति सम्बन्धित कोषागार एवं निदेशक लेखा एवं हफ्तवारी 23 सप्टेम्बर 2005 देहरादून को प्रपत्र 2 (संलग्न) के साथ प्रेषित करेंगे । ताकि कर्मचारी का डाटाबेस तैयार किया जा सके ।

महोदय

उप निदेशक (लीक)

आधिष्ठात सहायक

29/5/06

कमरा 2

कोषागार/आहरण वितरण अधिकारी द्वारा अशदायी पेशान हेतु प्रिपत्र 3 (संलग्न) पर संकलित सूचना तैयार कर घेतल देयक के साथ प्रिपत्र 5 तारीख तक कोषागार आहरण वितरण अधिकारी/कार्यालयवाधुअ धर संकलित कर निदेशक लेखा एंव हकदारी उत्तरांचल को उपलब्ध कराया जायेगा ।

अतः समस्त आहरण एंव वितरण अधिकारी दिनांक 1 अक्टूबर, 2005 को वा उसके बाद नियुक्त कर्मचारियों के सम्बन्ध में प्रपत्र 1 प 2 पर सूचना अविलम्ब प्रत्येक माह की 2 तारीख तक कोषागार को उपलब्ध करायें । ताकि प्रपत्र 3 पर संकलित सूचना निदेशक लेखा एंव हकदारी 23 लक्ष्मी रोड देहरादून को नियत समय पर प्रेषित की जा सके । साथ ही प्रपत्र 1 की एक प्रति तथा उसका संकलित प्रपत्र 2 में तैयार कर निदेशक लेखा एंव हकदारी को भी उपलब्ध करायें ।

उल्लङ्घन:- उपरोक्तानुसार ।

भरतीय


वरिष्ठ कोषाधिकारी,
भरतीय

उत्तराखण्ड शासन
वित्त(वे0आ0-सा0नि0)अनु0-7
संख्या: 52/xxvii(7)56/2012
देहरादून, दिनांक: 22 मार्च, 2012

कार्यालय ज्ञाप

विषय:- दिनांक 01, अक्टूबर 2005 से राज्य में लागू अंशदायी पेंशन योजना के स्वायत्तशासी संस्थाएँ/अशासकीय विद्यालय/विश्वविद्यालय आदि में कियान्वयन के सम्बन्ध में स्पष्टीकरण।

राज्य सरकार की अधिसूचना संख्या - 21/XXVII(7) अं0पै0यो0/2005, दिनांक 25, अक्टूबर 2005 के द्वारा दिनांक 01 अक्टूबर 2005 को अथवा इसके बाद राज्य सरकार की सेवा में आए समस्त कार्मिक और जो शासन के नियंत्रणाधीन स्वायत्तशासी संस्थाओं और शासन से सहायता प्राप्त शिक्षण संस्थाओं में जिनमें राज्य कर्मचारियों की भांति पुरानी पेंशन योजना लागू थी, और उनका वित्त पोषण राज्य सरकार की समेकित निधि से किया जाता है, हेतु अनिवार्य रूप से अंशदान पेंशन योजना लागू है।

इस सम्बन्ध में अब तक समय-समय पर अधिसूचना संख्या-21/XXVII (7)अं0पै0यो0 / 2005, दिनांक 25 अक्टूबर, 2005, कार्यालय ज्ञाप संख्या -132/XXVII (7) / 2006, दिनांक 24 जुलाई, 2006, सं0 - 346/XXVII (7) / 2007, दिनांक 21 नवम्बर, 2007, सं0- 210/XXVII (7) / 2008, दिनांक 3 जुलाई, 2008, संख्या-643/XXVII (7) (अं0पै0यो0) / 2010 दिनांक 11, अगस्त, 2010 व संख्या-272/XXVII (7)56 / 2011 दिनांक 09 दिसम्बर, 2011 जारी किये जा चुके हैं।

पूर्व में स्वायत्तशासी संस्थाएँ/स्थानीय निकाय/अशासकीय विद्यालय/विश्वविद्यालय आदि जहाँ अंशदायी पेंशन योजना लागू है, तथा एकीकृत भुगतान प्रणाली के माध्यम से कोषागारों से वेतन आहरित नहीं होता है से सम्बन्धित कार्मिकों हेतु शासनादेश सं0 - 346/XXVII (7) / 2007, दिनांक 21 नवम्बर, 2007, सं0- 210/XXVII (7) / 2008, दिनांक 3 जुलाई, 2008, में अंशदान के लेखांकन व धनराशि के निवेश की प्रक्रिया निर्धारित की गयी थी।

शासनादेश संख्या-643/XXVII (7) (अं0पै0यो0) / 2010 दिनांक 11, अगस्त, 2010 द्वारा राज्य सरकार के कार्मिकों हेतु उक्त योजना का सफलतापूर्वक कियान्वयन हो रहा है। अब राजकीय कर्मचारियों की भांति राज्य की स्वायत्तशासी संस्थाएँ/निकाय अथवा सहायता प्राप्त शिक्षण संस्थाओं में कार्यरत कार्मिक जिनका वेतन कोषागारों से एकीकृत भुगतान लेखा प्रणाली से आहरित नहीं होता है और जिनमें राज्य कर्मचारियों की भांति पुरानी पेंशन योजना लागू थी, हेतु योजना के कियान्वयन के सम्बन्ध में श्री राज्यपाल निम्नलिखित व्यवस्था किये जाने की सहर्ष स्वीकृति प्रदान करते हैं।

- 1- राज्य सरकार की ओर से निदेशक लेखा एवं हकदारी, उत्तराखण्ड एवं सी0आर0ए0 व एन0पी0एस ट्रस्ट के मध्य अनुबन्ध की शर्तें उक्त संस्थाओं पर भी यथावत लागू होंगी।
- 2- ऐसी समस्त संस्थाएँ/विभाग राज्य स्तर पर 'एकल सम्पर्क बिन्दु' के लिए योजना से सम्बन्धित समस्त कियोकलापों के संचालन के लिए सी0आर0ए0 से इण्टरफेस के रूप में एक नोडल अधिकारी नामित करेंगे, जो योजना से सम्बन्धित समस्त कियोकलापों हेतु उत्तरदायी होगा।
- 3- योजना से जाँचादित कार्मिकों का डाटा व धनराशि क्रमशः सी0आर0ए0 व एन0पी0एस ट्रस्ट को प्रेषण से पूर्व उक्त संस्थाओं को पंजीकरण हेतु पी0एफ0आर0डी0ए0 (Pension Fund Regulatory and Development Authority) को सहमति पत्र (Letter of Consent) उपलब्ध कराना होगा जिसकी एक प्रतिलिपि सी0आर0ए0, एन0पी0एस0ट्रस्ट, सम्बन्धित विभाग के विभागाध्यक्ष व राज्य के नोडल आफिस निदेशालय लेखा एवं हकदारी, उत्तराखण्ड देहरादून को भी भेजी जायेगी।
- 4- ऐसी संस्थाओं को सी0आर0ए0 में पंजीकरण हेतु मास्टर कियेशन फार्म (MCF), सहमति पत्र (Letter of Consent) के साथ सी0आर0ए0 को उपलब्ध करना होगा।

- 5- उपरोक्त प्रस्तर - 3 व 4 में उल्लेखित सहमति पत्र (Letter of Consent) व मास्टर कियेशन फार्म (MCF) प्रथम बार समस्त संस्थाओं को अनुमोदन हेतु निदेशक, लेखा एवं हकदारी के माध्यम से प्रेषित करने होंगे।
- 6- समस्त संस्थाएँ जिनमें उक्त योजना लागू है, एवं जो शासनादेश संख्या- 21/XXVII (7) अंपेंयो/दिनांक 25/10/2005 में उल्लेखित शर्तें पूरी करते हों (शासन के नियंत्रणाधीन स्वायत्तशासी संस्थाओं और शासन से सहायता प्राप्त शिक्षण संस्थाओं में जिनमें राज्य कर्मचारियों की भांति पुरानी पेंशन योजना लागू थी, और उनका वित्त पोषण राज्य सरकार की समेकित निधि से किया जाता है) वे इस आशय का प्रमाण पत्र एवं संदर्भित शासनादेश भी निदेशालय लेखी एवं हकदारी को उपलब्ध करावेंगी।
- 7- शासनादेश सं- 174 /XXVII (7)फ0मैने0 / 2009 दिनांक 21 जुलाई, 2009 के द्वारा राज्य में नई पेंशन योजना के सम्बन्ध में निदेशक लेखा एवं हकदारी को नोडल आफिस का कार्य सौंपा गया है। अतः योजना की राज्य स्तर पर मॉनिटरिंग हेतु सी0आर0ए0 में डी0टी0ए0 (Directorate of Treasuries & Accounts), के रूप में निदेशक लेखा एवं हकदारी का पंजीकरण पूर्व में किया गया है।
- 8- योजना से सम्बन्धित सी0आर0ए0 में पंजीकरण हेतु समस्त संस्थाओं को सी0आर0ए0 द्वारा निर्धारित प्रारूप के अनुसार डी0टी0ओ0 (District Treasuries office) व डी0डी0ओ0 (Drawing Disbursing Officer) के फार्म क्रमशः N2 व N3 भरकर सी0आर0ए0 में जमा करने होंगे।
- 9- सी0आर0ए0 में कन्द्रीब्यूशन फाईल अपलोड एवं ट्रष्टी बैंक में धनराशि जमा करने हेतु दो माडल उपलब्ध हैं। केन्द्रीकृत माडल जिसमें किसी विभाग/संस्था द्वारा राज्य स्तर पर समस्त आंकड़ों व धनराशि को केवल एक कार्यालय द्वारा क्रमशः सी0आर0ए0 व ट्रष्टी बैंक को हस्तगत किया जायेगा। विकेन्द्रीकृत माडल में राज्य के विभिन्न स्थानों पर स्थित कार्यालयों से कन्द्रीब्यूशन फाईल व धनराशि अपलोड की जायेगी। इस सम्बन्ध में उपरोक्त संस्थाएँ अपनाए गये प्रारूप से मास्टर कियेशन फार्म (MCF) के माध्यम से पंजीकरण के समय सी0आर0ए0 को अवगत करावेंगी।
- 10- योजना से सम्बन्धित धनराशि व आंकड़ों का प्रेषण इलैक्ट्रॉनिक माध्यम से होता है। अतः जिन संस्थाओं/विभागों में राज्य स्तरीय अनेक कार्यालय हैं, में योजना का प्रारूप सी0आर0ए0 को डाटा अपलोड व ट्रष्टी बैंक को धनराशि का प्रेषण हेतु विभागाध्यक्ष स्तर पर केन्द्रीकृत (Centralised) मोड अपनाया जायं, जिससे पूरे विभाग में एकरूपता बनी रहेगी।
- 11- उपरोक्त पंजीकरण प्रक्रिया के उपरान्त योजना से आच्छादित कार्मिकों का पंजीकरण सी0आर0ए0 से निर्धारित प्रान (Permanent retirement Account Number) फार्म Annexure S1 के माध्यम से सी0आर0ए0 के फॅसिलिटेशन सेंटर, से किया जायेगा।
- 12- उपरोक्त फार्म एवं प्रारूप सी0आर0ए0 की वेवसाईट www.npscra.nsdli.co.in/downloads/Forms/Autonomous_bodies पर उपलब्ध है, जिनको आसानी से डाउनलोड किया जा सकता है।
- 13- एक बार सी0आर0ए0 में कार्मिकों के पंजीकरण के बाद संस्थाओं को चयनित माडल (Centralized or Decentralized) के अनुरूप सब्सक्राइबर कन्द्रीब्यूशन फाईल सी0आर0ए0 सिस्टम में अपलोड की जानी होगी एवं सम्बन्धित धनराशि ट्रष्टी बैंक में जमा की जायेगी। फाईल अपलोड करने के सम्बन्ध में आवश्यक प्रशिक्षण पंजीकरण के उपरान्त सी0आर0ए0 द्वारा दिया जायेगा।
- 14- पंजीकरण प्रक्रिया के पूर्ण होने के उपरान्त लिगेसी डाटा को यथाशीघ्र अपलोड करना सुनिश्चित किया जाये।
- 15- उक्त संस्थाओं में योजना से आच्छादित कार्मिकों का सी0आर0ए0 में खाते खुलवाने, ट्रान्जक्शन चार्ज व आंकड़ों का वार्षिक अनुरक्षण आदि के सम्बन्ध में एन0एस0डी0एल0 (सी0आर0ए0) को राज्य सरकार के साथ अनुबन्ध के अनुसार भुगतान सम्बन्धित संस्थाओं द्वारा ही किया जायेगा।
- 16- शासनादेश संख्या-643/XXVII (7) (अं0पेंयो0) / 2010 दिनांक 11 अगस्त, 2010 में प्रतिनियुक्ति पर गये राजकीय कार्मिकों के जमा अंशदान का ड्राफ्ट निदेशालय लेखा एवं हकदारी को उपलब्ध कराने हेतु व्यवस्था की गयी थी। परन्तु उपरोक्त व्यवस्था के बाद इन संस्थाओं/

विभागों में प्रतिनियुक्ति पर कार्यरत कार्मिकों का अंशदान वेतन आहरित करने वाले विभाग/संस्था द्वारा अंशदान सीधे सी0आर0ए0 व ट्रस्टी बैंक में जमा किया जायेगा।
उक्तवत निर्गत की जा रही संशोधित व्यवस्था के दृष्टिगत इस विषय में जारी अधिसूचना कार्यालय ज्ञाप केवल उक्त सीमा तक संशोधित समझे जाये।

भुवदीय
Heemal
(हेमलता डौंडियाल)
सचिव, वित्त।

संख्या 52 (1)/XXVII (7)56/2012, तददिनांक।

प्रतिलिपि निम्नलिखित को सूचनार्थ एवं आवध्यक कार्यवाही हेतु प्रेषित:-

- 1- समस्त प्रमुख सचिव/सचिव उत्तराखण्ड शासन।
- 2- समस्त विभागाध्यक्ष एवं कार्यालयाध्यक्ष उत्तराखण्ड।
- 1- महालेखाकार, उत्तराखण्ड देहरादून।
- रजिस्ट्रार जनरल, मा10 उच्च न्यायालय उत्तराखण्ड नैनीताल।
- स्थानिक आयुक्त उत्तराखण्ड नई दिल्ली।
- सचिव, विधान सभा उत्तराखण्ड देहरादून।
- सचिव, श्री राज्यपाल उत्तराखण्ड देहरादून।
- उत्तराखण्ड सचिवालय के समस्त अनुभाग।
- समस्त कोषागार अधिकारी उत्तराखण्ड।
- निदेशक, लेखा एवं हकदारी, उत्तराखण्ड।
- निदेशक, उत्तराखण्ड प्रशासनिक अकादमी नैनीताल।
- उप निदेशक, राजकीय मुद्रणालय रुड़की।
- वरिष्ठ तकनीकी निदेशक, एन0आई0सी0 उत्तराखण्ड एकक देहरादून।
- वित्त आडिट प्रकोष्ठ, उत्तराखण्ड शासन।
- गार्ड फाईल।

आज्ञा से
Shard
(शरद चन्द्र पाण्डेय)
अपर सचिव, वित्त

अध्याय 30
अवकाश का नगदीकरण
(Leave Encashment)

अवकाश नगदीकरण की सुविधा दिनांक 1-4-1973 से (शासनादेश संख्या-सा-4-217/दस-202/70, दिनांक 24-3-73) प्रदान की गयी है। शासनादेश संख्या-सा-4-1905/दस-81-202/1970, दिनांक 30-10-1981 के अनुसार 1979-80 के वेतन आयोग की संस्तुतियों के अनुसार पुनरीक्षित वेतनमानों में 2900 रु० (दिनांक 1-1-86 से) तक वेतन पाने वाले सरकारी कर्मचारियों को 30 दिन के अर्जित अवकाश तथा 2900 रु० (दिनांक 1-1-86 से) प्रति माह से अधिक वेतन पाने वाले सरकारी सेवकों को 15 दिन के अर्जित अवकाश के नगदीकरण की सुविधा अवकाश के वास्तविक उपभोग के बिना, इस शर्त पर अनुमन्य की गई कि उपरोक्त 30 अथवा 15 दिन के अभ्यर्पण के पश्चात् उस सरकारी सेवक के लेखे में अभ्यर्पण के दिनांक को 60 दिन का अर्जित अवकाश, कम से कम, शेष रह जाय (शासनादेश संख्या-सा-4-1284/दस-200/88, दिनांक 17-9-88)।

अभ्यर्पण के फलस्वरूप संबंधित सेवक को निम्नलिखित अवकाश वेतन एवं भत्ते मिलेंगे :—

- (1) अभ्यर्पण के दिनांक को अनुज्ञेय वेतन, नान प्रैक्टिसिंग अर्थात् दिन 30 एलाउन्स, नगर प्रतिकर भत्ता, पर्वतीय विकास भत्ता, एवं x अथवा 15 जैसी भी महंगाई भत्ता। स्थिति हो।

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- (2) मकान किराया भत्ता देय नहीं होगा।
(3) अभ्यर्पण की सुविधा राज्य सरकार के अधीन कार्यरत अखिल भारतीय सेवा के अधिकारियों को भी अनुमन्य होगी।
(4) यह सुविधा कैलेंडर वर्ष में एक बार मिलेगी।

समूह 'क' तथा 'ख' के अधिकारियों को अवकाश नगदीकरण की सुविधा शासनादेश संख्या-सा-2-64/दस-99-200/88, दिनांक 29-1-99 तथा समूह 'ग' तथा 'घ' की सुविधा शा० आ० संख्या-सा-4-966/दस, दिनांक 27-12-99 द्वारा स्थगित कर दी गई है।

सेवानिवृत्ति/सेवा समाप्ति पर अर्जित अवकाश का नगदीकरण

शासनादेश संख्या-सा-4-1002/दस-200/77, दिनांक 2-6-1978 संपठित सा-4-1687/दस-83-200/77 दिनांक 25-7-83 तथा सा-4-392/दस-99-203/86, दिनांक 4-7-99 के अनुसार सेवानिवृत्त होने वाले सरकारी सेवकों को अधिकतम 300 दिन तक के अर्जित अवकाश, जो उसके लेखे में बकाया हो, का नगदीकरण स्वीकृत किया जा सकता है। इसमें वेतन एवं महंगाई भत्ता मिलेगा। नगर प्रतिकर भत्ता तथा मकान किराया भत्ता देय न होगा।

गना निम्न प्रकार से की जायेगी :—

निवृत्ति की तिथि को देय वेतन एवं
महंगाई भत्ता

अधिकतम 300 अथवा जितने दिन का
अर्जित अवकाश शेष हो

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- (1) नोटिस देकर सेवा समाप्त की जाने की स्थिति में 300 दिन तक की अर्जित अवकाश के नगदीकरण की सुविधा मिलेगी।
- (2) सेवा से त्यागपत्र देने वाले कर्मचारी को देय अर्जित अवकाश के आधे का नगदीकरण स्वीकृत किया जा सकता है किन्तु यह अधिकतम 150 दिन होना चाहिए। 150 दिन की सुविधा दिनांक 1-7-99 से लागू होगी। अर्द्धवेतन का कोई अंश का नगदीकरण नहीं होगा।
- (3) पुनर्नियोजन की अवधि में अर्जित किये हुए अवकाश की वह अवधि जो सेवानिवृत्ति पर नगदीकरण स्वीकृत की गई अवधि को मिलाकर 300 दिन से अधिक न हो।
- (4) अस्वस्थता के आधार पर सेवा से असमर्थ (इनवैलिड) होने पर देय अर्जित एवं निजी कार्य पर अर्द्धवेतन के अवकाश दोनों का नगदीकरण स्वीकृत किया जा सकता है। अस्थायी कर्मचारियों को निजी कार्य के अर्द्धवेतन के अवकाश के नगदीकरण की सुविधा अनुमन्य न होगी। अर्द्धवेतन के अवकाश की अवधि इस तिथि के आगे न बढ़नी चाहिए जिससे कर्मचारी अधिव्ययता की उम्र पार करता। यह सुविधा 1-7-1999 से 300 दिन कर दी गई है। अर्द्धवेतन पर निजी कार्य पर अवकाश के नगदीकरण की धनराशि से पेंशन की धनराशि घटा दी जायेगी।

मृत्यु होने की दशा में जमा अर्जित अवकाश का नगदीकरण

सेवाकाल में मृत्यु हो जाने पर संबंधित सरकारी सेवक के अवकाश लेखा में जमा अधिकतम 300 दिन तक के अर्जित अवकाश का नगदीकरण उसके परिवार को स्वीकृत किया जा सकता है।

अवकाश लेखा रखने हेतु उदाहरण

यदि किसी कर्मचारी के अवकाश लेखे में 176 दिन का अवकाश जमा है और वह दिनांक 24-12-98 से 27 दिन के अर्जित अवकाश पर जाता है तो उसको निम्न प्रकार से समायोजित किया जायेगा :—

(1)	दिनांक 23-12-98 को जमा अर्जित अवकाश	175 दिन
(2)	दिनांक 24-12-98 से 31-12-98 तक लिया गया 8 दिन का अर्जित अवकाश	(-) 8 दिन
(3)	दिनांक 31-12-98 को शेष अवकाश	167 दिन
(4)	दिनांक 1-1-99 को जमा होने वाला अवकाश	16 दिन
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(5)	दिनांक 1-1-99 से 19-1-99 तक का शेष अवकाश जो 27 दिन का दिनांक 24-12-98 से लिया गया	योग 183 दिन
		(-) 19 दिन
(6)	शेष अवकाश	<hr/>
		164 दिन

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उत्तर प्रदेश शासन

वित्त (सामान्य) अनुभाग-4

संख्या-सा-4-392/वस-99-203/86

लखनऊ, दिनांक 4 जुलाई, 1999

कार्यालय-ज्ञाप

विषय :- अवकाश खाते में उपाजित अवकाश जमा करने की अधिकतम सीमा में वृद्धि।

अयोहस्ताक्षरी को उपर्युक्त विषय पर यह कहने का निदेश हुआ है कि अधिसूचना संख्या सा-4-1071/वस-92-201/76, दिनांक 21-12-1992 एवं सा-4-1072/वस-92-201/76, दिनांक 21-12-1992 द्वारा संशोधित वित्तीय नियमावली खण्ड-2, भाग 2 से 4 के मूल नियम 81-बी (1) एवं सहायक नियम-157-ए (1) में यह प्रतिबन्ध है कि जब सरकारी सेवक द्वारा अर्जित किये गये कुल उपाजित अवकाश का योग 240 दिन हो जायेगा तो वह ऐसा अवकाश अर्जित नहीं करेगा।

2. उपर्युक्त के संबंध में वेतन समिति, उत्तर प्रदेश (1998) के सातवें प्रतिवेदन तथा उस पर लिये गए निर्णयानुसार राज्यपाल महोदय ने सरकारी सेवकों के अवकाश खाते में उपाजित अवकाश जमा करने की अधिकतम सीमा 240 दिन के स्थान पर 300 दिन निर्धारित करने की स्वीकृति सहर्ष प्रदान कर दी है।

3. यह आदेश तत्काल प्रभावी होगा।

4. संबंधित अवकाश नियमों में आवश्यक संशोधन यथासमय पृथक से किया जायेगा।

मु० हलीम खॉं,

सचिव।

सेवा में,

समस्त विभागाध्यक्ष एवं प्रमुख कार्यालयाध्यक्ष, उत्तर प्रदेश।

निर्णय संदर्भ

(Case Laws)

Absence converted to leave

Constable was proceeded against for unauthorised absence from duty and was dismissed. The absence was converted into leave without pay. After regularisation of the absence there was no longer any unauthorised absence and the charge did not survive and no punishment could be sustained. [State of Punjab vs. Bakshish Singh, 1998 (5) SLR 625 (SC)]

इन्दु कुमार पाण्डे,
सचिव वित्त,
उत्तरांचल शासन।

सेवाओं,

1. प्रमुख सचिव/सचिव
उत्तरांचल शासन।
2. समस्त विभागाध्यक्ष एवं कार्यालय
उत्तरांचल।

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24/1/2001

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वित्त सामाज्य अनुभाग

देहरादून दिनांक 29 दिसम्बर, 2000

विषय : 9 नवम्बर 2000 या तदुपरान्त सेवानिवृत्त उत्तरांचल राज्य हेतु नियुक्त या विकल्प देने वाले कर्मचारियों/अधिकारियों के सेवानैतिक लाभ स्वीकृत करने के सम्बन्ध में।

महोदय,

उपर्युक्त के क्रम में मुझ पर कहे का निर्देश हुआ है कि 9 नवम्बर, 2000 के पूर्व जिनके नियुक्ति प्राधिकारी उत्तरांचल राज्य के भौगोलिक सीमा के अधीन स्थित थे या उत्तर प्रदेश सरकार द्वारा घोषित पर्वतीय उप संवर्ग (हरिद्वार को वर्तमान में शामिल करते हुये) के लिए नियुक्त थे अथवा 9 नवम्बर, 2000 या तदुपरान्त भारत सरकार/राज्य सरकार द्वारा उत्तरांचल राज्य आवंटित/विकल्प स्वीकृत किया गया हो, ऐसे अधिकारियों/कर्मचारियों के 9 नवम्बर, 2000 या उसके बाद सेवानिवृत्त होने पर जब तक अन्यथा आदेश न हों, सेवानैतिक लाभ हेतु निम्नलिखित प्रक्रिया अपनायी जाय :-

- क) वर्ग "घ" के कर्मचारियों के प्रकरण में पूर्व की शर्तित कार्यालय/अध्यक्ष द्वारा स्वीकृतियां निर्धारित प्रक्रिया के अधीन जारी किया जाय।
- ख) वर्ग "ग" एवं "ग" के कर्मचारियों/अधिकारियों के प्रकरण में पूर्व निर्धारित नियम/प्रक्रिया के अधीन पेंशन, ग्रेच्युटी तथा राशिकरण की स्वीकृति मंडलीय उपर निर्देशक कोषागार एवं पेंशन द्वारा स्वीकृत किया जाये।
- ग) वर्ग "क" के अधिकारियों हेतु पेंशन, ग्रेच्युटी तथा राशिकरण की स्वीकृति हेतु समस्त प्राधिकारी निर्देशक कोषागार एवं वित्त सेवार्य उत्तरांचल - देहरादून होंगे। निर्देशक कोषागार एवं वित्त सेवार्य के कार्यालय में सिम्प्यटर आदि के स्थापना तक उपर निर्देशक कोषागार एवं पेंशन - गढ़वाल मंडल के कम्प्यूटर से स्वीकृतियां जारी की जायेगी। उपर निर्देशक कोषागार एवं पेंशन, गढ़वाल मंडल तत्काल प्रयास से स्टाफ एवं कम्प्यूटर सम्बन्धी सभी उपकरण सहित निर्देशक कोषागार एवं वित्त सेवार्य उत्तरांचल देहरादून से सम्बद्ध किया जाता है जहाँ से वह उत्तरांचल के वर्ग "क" तथा गढ़वाल मंडल के वर्ग "ख" एवं "ग" के पेंशन आदि सम्बन्धी प्राधिकार पत्र जारी करेंगे।
- घ) विशेष परिस्थिति में सेवानिवृत्त की तिथि पर सेवा नैतिक लाभ न स्वीकृत करने की स्थिति में पूर्व में निर्धारित प्रक्रिया के अधीन अनन्तिम पेंशन सूक्ष्म प्राधिकारी द्वारा अनिवार्य रूप से स्वीकृत किया जाये।
- च) सेवानिवृत्त होने पर वेप उपर नकदीकरण सम्बन्धित अधिकारी/कर्मचारी को अंतिम अवकाश स्वीकृत करने हेतु अधिकृत सूक्ष्म अधिकारी द्वारा किया जाये।

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